



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

JUN 6 2008

Honorable Joan Miles
Director
Department of Public Health and Human Services
P.O. Box 4210
Helena, MT 59604

Dear Director Miles:

Thank you for the timely submission of Montana's FFY 2006 Annual Performance Report (APR) and revised State Performance Plan (SPP) under Part C of the Individuals with Disabilities Education Act (IDEA), as amended in 2004. We also acknowledge the revisions to Montana's APR received on April 14, 2008. We appreciate the State's efforts in preparing these documents.

The Department has determined that, under IDEA sections 616(d) and 642, Montana's meets the requirements of Part C of the IDEA. The Department's determination is based on the totality of the State's data and information including the State's FFY 2006 APR and revised SPP, other State-reported data, and other publicly available information. See the enclosure entitled "How the Department Made Determinations under Section 616(d) of the IDEA in 2008" for further details.

Specific factors affecting OSEP's determination that Montana met requirements under IDEA sections 616(d) and 642 include: (1) Montana provided valid and reliable FFY 2006 data reflecting the measurement for each indicator; and (2) Montana reported correction or high levels of compliance for Indicators 1, 7, 8A, 8B, and 8C. We commend Montana for its performance.

The enclosed table provides OSEP's analysis of the State's FFY 2006 APR and revised SPP and identifies, by indicator, OSEP's review of any revisions made by the State to its targets, improvement activities (timelines and resources) and baseline data in the State's SPP. It also identifies, by indicator, the State's status in meeting its targets, whether the State's data reflect progress or slippage, and whether the State corrected noncompliance and provided valid and reliable data.

As you know, your State must report annually to the public on the performance of each early intervention service (EIS) program located in the State on the targets in the SPP under IDEA sections 616(b)(2)(C)(ii)(I) and 642. In addition, your State must review EIS program performance against targets in the State's SPP, determine if each EIS program meets the requirements of the IDEA and inform each EIS program of its determination. For further information regarding these requirements, see the SPP/APR Calendar at <http://spp-apr-calendar.rrfcnwork.org/>. Finally, if you included revisions to baseline, targets or improvement activities in your APR submission, and OSEP accepted those revisions, please ensure that you update your SPP accordingly and that the updated SPP is made available to the public.

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Our mission is to ensure equal access to education and to promote educational excellence throughout the Nation.

OSEP is committed to supporting Montana's efforts to improve results for infants and toddlers with disabilities and their families and looks forward to working with your State over the next year. If you have any questions, would like to discuss this further, or want to request technical assistance, please do not hesitate to call Jacquelyn Twining-Martin, your OSEP State Contact, at 202-245-7558.

Sincerely,

A handwritten signature in black ink, appearing to read "William W. Knudsen", with a long horizontal flourish extending to the right.

William W. Knudsen
Acting Director
Office of Special Education Programs

Enclosures

cc: Part C Coordinator

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

Broad Stakeholder Input. Montana's lead agency, The Developmental Disabilities Program (DPP) of the Department of Health and Human Services engaged in a variety of activities to ensure broad stakeholder input.

- DDP staff was informed of the SPP requirements, including stakeholder input.
- DDP and other Part C technical assistance representatives informed the Family Support Services Advisory Council (FSSAC – Montana's ICC), regional Part C agency staff, Montana's Comprehensive System of Personnel Development (CSPD) including their subcommittee of early childhood professional development, Parents Let's Unite for Kids (PLUK – Montana's Parent Training and Information Center), Montana Council On Developmental Disabilities, and other groups concerned with early intervention services about the new IDEA, SPP requirements for accountability and how they can provide input and/or review drafts of the SPP.
- The DDP and FSSAC formed a Part C Work Group to address the SPP, the new IDEA 2004, and Part C improvements. This group includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director. Most of this group had worked on the last Part C APR. The group reviewed the SPP requirements, previous APRs, other early intervention data for preparation of a draft SPP.
- Dr. Kathy Hebbeler of the ECO Center consulted with the Part C Coordinator and Part C GSEG Director on several occasions and directly worked with the Part C Work Group on components of the SPP draft.
- The Part C Work Group directly provided input and assisted in the development of the draft of the SPP.
- The draft SPP was disseminated to the FSSAC members, discussed at the November 2005 FSSAC meeting where additional input was provided.
- The Part C Coordinator and Part C GSEG Director worked directly with PLUK staff (who receive or received in the past Part C services) to review and provide input for the SPP draft and final SPP.
- The Director of the Montana Council On Developmental Disabilities discussed the Part C SPP and shared with them a Part C SPP draft at their November meeting, and informed the members how they could provide feedback to the Part C Coordinator.
- The regional Part C agencies informed their boards or directors and advisory boards about the draft SPP and how they could provide input for the final SPP.
- The Part C Coordinator and Part C technical assistance provider reviewed all stakeholder input as part of the development of the final SPP.

Dissemination of Montana's Part C SPP to the Public include:

- Announcement of the Part C SPP and how to obtain a copy in Montana's major newspapers.
- The Part C SPP will be on the following websites: DDP/FSSAC; <http://www.dphhs.mt.gov/fssac>; Office of Public Instruction (OPI) Special Education; PLUK; Montana Council On Developmental Disabilities; regional Part C agency.
- Availability of the Part C SPP has been discussed and will be disseminated to the members of the FSSAC, Special Education Advisory Panel, Montana Council On Developmental Disabilities, Board of Directors for the regional Part C agencies, and CSPD.
- Other advisory councils and planning groups associated with young children and people with disabilities will be made aware of the Part C SPP and how to obtain a copy.
- PLUK will disseminate the Part C SPP through their weekly electronic newsletter, which is also available in print.

- Montana State Library will have copies available to send out to other state libraries throughout Montana. On their website they will have a link, so that it can be accessed through the web. They will also include it in their electronic database catalog.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 USC 1416(a)(3)(A) and 1442)

Measurement:

Percent = # of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100.

Account for untimely receipt of services.

Overview of Issue/Description of System or Process:

Brief overview of Part C services in Montana. Part C services are provided through contracts with seven independent nonprofit Part C agencies in five service regions covering all areas of the state. These services are monitored by the Developmental Disabilities Program's (DDP) Part C Coordinator and other DDP state level staff as well as DDP staff in offices in each of the regions (See Indicator 9 for an overview of the DDP supervision and monitoring for Part C services).

Early intervention services have been designed to maximize family competency and minimize family dependency on service agencies. Parents have the opportunity to write their own service measurable result/outcomes and objectives and are the primary decision makers for the Individual Family Service Plan (IFSP). The system recognizes and respects the variety of "family roles" which families may choose to play in developing, implementing and evaluating early intervention services for themselves and their children. The services are designed to meet the diverse individual needs of families. Family education and support services include: (1) Child-focused and family-focused education. The child-focused instruction includes development and monitoring of learning programs that the family may implement with their child in the natural settings of their home and community and within the normal context of their daily routine. Family-focused instruction includes assisting family members to learn skills they must possess to become the primary change agent and an effective advocate for their child. (2) Direct child instruction consists of direct "hands-on" teaching provided to the child by the Family Support Specialist (FSS) or other Part C service provider. (3) Family support includes services and resources to assist the family to develop in areas that are beneficial to the child and the family as a whole. These services may include providing equipment from loan libraries; social and emotional support; general education information; information regarding available community and statewide resources and making referrals to appropriate agencies; and follow-along services. (4) The FSS assumes an active role as service coordinator and family advocate in accessing services and provides instruction to the family to enable them to someday assume the role of support coordinator and, if desired, to access services on their own. Part C services in Montana are home-based, no matter where families live in the state. At times, families may choose to have home visits or meetings occur in some other community setting (e.g., a rural family will be shopping etc. in the community where the Part C agency is located, so the family may choose to work with their FSS at a setting of their choice).

Once a child is determined eligible for Part C Services a FSS is assigned and necessary activities are started to develop the child and family's initial IFSP within 45 calendar days after a referral. The focus of planning for services is the completion of assessments, information gathering, and development of the IFSP with parents and other IFSP team members. Services and supports identified in the IFSP can be provided once the IFSP is completed and written parental consent has been obtained. The IFSP includes all the information required by Part C of the IDEA. Given that the FSS provides services identified on the IFSP, IFSP services are usually initiated immediately, sometimes right after the IFSP has been approved. The family and FSS must review the child and family's IFSP monthly or more frequently if conditions warrant or if the family request review. The IFSP is a dynamic document and following appropriate procedures can be changed to reflect new priorities concerning services.

Part C Personnel in Montana. Family Support Specialist (FSS) are required to go through a rigorous certification process. The purpose of this is to insure that they are qualified and competent to provide Part C services within their local agencies and the State. The FSS must exhibit and maintain understanding of the skills necessary for the 10 early intervention competencies. The competencies insure that FSSs have a broad array of knowledge and skills required to assist children with disabilities and their families. The competencies are based on recommendations made by professional organizations (e.g., DEC of CEC), researchers in the field of early intervention and university faculty involved in professional development in early intervention. The certification system includes two levels. New FSSs must meet minimum certification requirements required for the first level of certification, which cover their first two years of employment. During that time period, new FSSs receive training, coaching and mentoring in providing Part C early intervention services. Further, the new FSS develops a portfolio that documents they possess the skill and knowledge for each of the 10 FSS competencies. The portfolio's are reviewed and evaluated by a state FSS Certification Panel made up of the Part C Coordinator, parents who have or are receiving Part C services, an early intervention university faculty member, a fully certified FSS and director of one of the regional Part C agencies. After an FSS's portfolio is evaluated, the FSS is interviewed by the Panel concerning any competencies the Panel did not find sufficient supporting documentation in the FSS's portfolio. The Panel then determines if the FSS meets full certification, needs to provide further documentation, or needs to seek further training for a particular competency(s).

The DPP requires that for other early intervention professionals providing Part C services meet Montana's highest established certification or licensing qualifications for their individual occupations. With the advent of Part C services in Montana, the state developed, implemented, and maintains a system of personnel qualifications that requires the use of state-credentialed paraprofessionals and professionals to provide a broad range of Part C services to eligible children and their families. The following personnel must meet State Board of Occupational Licensing's highest requirements for each entry level position in order to provide Part C early intervention services to Part C eligible children and their families: Audiologist, Speech/Language Pathologist, Physical Therapist, Occupational Therapist, Psychologist/Family Therapist, Registered Professional Nurse, Registered Dietitian/Licensed Nutritionist, Physicians/Pediatrician, Social Worker, Mobility Training Specialist, Vision Specialists (including ophthalmologists and optometrists).

Montana's Comprehensive System of Personnel Development (CSPD): The DPP has participated in Montana's CSPD that was developed under Part B of the IDEA and is continued, while not required by the new IDEA for Part B, by Montana's SEA, the Office of Public Instruction. The CSPD directly relates to pre-service and in-service educational activities for early intervention professional service providers identified in Part C including: FSSs, Special Educators, Speech and Language Pathologists, audiologists, occupational therapists, pediatricians and other physicians, physical therapists, psychologists, social workers, nurses, registered dietitians, vision specialists orientations and mobility specialists, and family therapists. In addition, educational opportunities are made available to other professionals, such as childcare providers, Early Head Start staff, and preschool staff who provide direct or related early intervention services. The CSPD assures professional development include:

Technical assistance and support for pre-service and in-service training to be conducted on an interdisciplinary basis when appropriate.

Technical assistance and support for training a variety of personnel needed to meet the requirements of Part C, including public and private providers, primary referral sources, paraprofessionals, families, and persons who will serve as support coordinators.

The early intervention education activities cover:

- Understanding the basic components of early intervention services available in the state, including Part C Services referral processes,
- Meeting the interrelated social or emotional health, developmental, and educational needs of eligible children with research based early intervention practices;
- Assisting families in enhancing the development of their children and participating fully in the development and implementation of IFSPs.

The early intervention education activities may also relate to:

- Implementing innovative strategies and activities for recruitment and retention of early intervention service providers;
- Promoting the preparation of early intervention providers who are fully and appropriately qualified to provide early intervention services under Part C.

Part C General Supervision/Monitoring and Data Reporting: Please refer to Indicator 9 for a description of Montana's Part C general supervision and monitoring of Part C services as well as the plan for reporting the state APR and APR's for each of the seven regional Part C agencies.

Part C System Improvement Comprehensive Strategic Plan: The DDP, FSSAC and regional Part C agencies have established a Part C System Improvement Comprehensive Strategic Plan to direct improvement outcomes, objectives and activities across all facets of Part C services, including the activities listed for each Indicator in the SPP. The plan is routinely updated for the status of each outcome and objective, to make any additions or changes, and it is reviewed for input with the FSSAC, DDP and regional Part C agencies. The Part C Coordinator and Part C Work Group with designated partners implement the objectives and activities for each outcome. This plan ensures that the various improvement strategies are coordinated and systemically tracked.

Baseline Data for FFY 2004 (2004-2005):

100% of infants and toddlers with IFSPs receive early intervention services on their IFSPs in a timely manner.

Discussion of Baseline Data:

Definition of Timely Services: The definition of "timely" of services is within 30 days of the parent (s) signing the IFSP, Part C service(s) schedule to be provided within the first 30 days are provided.

Given the role of FSSs in Montana they not only coordinate services provided by other early intervention service providers, but also directly provide child and family focused services, it is routine that Part C services on the child's and family's IFSP are provided immediately or very soon after the parent(s) sign the IFSP.

Baseline Data Collection: As part of DDP's monitoring of Part C services, each of the seven Part C agencies, covering the whole state, were required to randomly select from all the Part C eligible children with IFSPs, 5 children/families representative of all Part C children/families in their particular region. The files were reviewed for each child/family selected to determine if services were provided according to the definition of timely services, and recording: (1) if the Part C service(s) were provided in a timely manner, and if not, (2) the number of days over the timely definition for each child if services scheduled were not provided within the 30 days after the IFSP was signed and (3) the reason the services were not provided (e.g., child was sick, winter weather prevented travel, service

provider canceled). The definition and procedures/instructions were developed by the Part C Coordinator and the Part C Work Group (with representatives from each of the regional Part C agencies) to ensure uniform implementation of the data collection. The seven regional Part C agencies reported the data to the Part C Coordinator who compiled the data for the SPP. Each of the Part C agencies will keep in their SPP record for this indicator, including the identification of the children/families randomly selected, so that the DDP during the annual monitoring visit will be able to verify the accuracy (reliability and validity) of the data reported.

Other Data Related to Timely Provision of Part C Services:

Other data gathered as part of Montana's general supervision and monitoring processes address factors that promote the timely delivery of early intervention services. See Indicator 9 for a description of those processes. One monitoring activity is annually reviewing each regional Part C agency by DDP staff that includes reviewing a random sample of 5 files for children in Part C services using MONTANA'S COMPREHENSIVE EVALUATION PROCESS FOR FAMILY EDUCATION AND SUPPORT SERVICES TOOL. Results related to Indicator 1 for Fiscal Year (FY) 2004-2005 include:

- Thirty-five out of thirty-five (100%) files reviewed indicated that services were provided without delay and according to Part C requirements for children/family.
- Thirty-five out of thirty-five (100%) files reviewed showed that each agency provided coordination services for each eligible child and family review.
- Thirty-five out of thirty-five (100%) files documented that resources and support services as identified on the IFSP are provided to each eligible child and family.
- Thirty-five out of thirty-five (100%) files reviewed that IFSPs are in place within 45 days of the referral date.
- Thirty-five out of thirty-five (100%) files reviewed documented contacts with families for the purpose of providing support coordination, direct services, or to provide supervision and consultation to subcontracted personnel.
- Monitoring indicated that for each agency there was a timely assignment of a Family Support Specialist to each child and family.

The following data is from the monitoring of seven regional Part C agencies in FY 2002-2003 and FY 2003-2004. This data indicates a strong trend in providing timely services.

- Thirty-five out of thirty five (100%) files reviewed indicated that services were provided without delay and according to Part C requirements for children/families eligible for Part C Services. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty five (100%) files reviewed showed that each agency provided coordination services for each eligible child and family in the file review. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that resources and support services as identified on the IFSP are provided to each eligible child/family. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed showed that agency cooperated/coordinated with other community service agencies in meeting child and family needs for the files reviewed. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented contacts with families for the purpose of providing support coordination, direct services, or to provide supervision and consultation to subcontracted personnel. (FY 2002-2003, 100%, FY2003-2004, 100%)

SPP Template – Part C (3)

Montana
State

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2006 (2006-2007)	100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2007 (2007-2008)	100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2008 (2008-2009)	100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2009 (2009-2010)	100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.
2010 (2010-2011)	100 % of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Biannually Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.	(1) January 1, 2006 to June 30, 2006 (2) July 1, 2007 to June 30, 2008 (3) July 1, 2010 to June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
2. Provide initial and annual training for the Quality Improvement Specialists.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

	30,2010 (5)July 1, 2010- June 30,2011	
3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop, implement and monitor an improvement Plan or Corrective Action.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
4. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 1.	(1)January 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
5. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers.	January 1, 2006 to December 31, 2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
6. Biannually assess personnel development needs concerning early intervention practices associated with Indicator 1.	(1) January 1, 2006 to June 30, 2006 (2) July 1, 2007 to June 30, 2008 (3) July 1, 2010 to June 30, 2011	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.

Measurement:

Percent = # of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System or Process:

All seven regional Part C agencies focus on providing early intervention services in natural environments. The majority of infants and toddlers receive services in the home. Montana's Part C services and the other Developmental Disability Program's (DDP) children and family services are home-based. As noted in Indicator 1, Family Support Specialist (FSS) not only provide service coordination, but also provide direct child and family focused early intervention services. Further, Montana strongly supports childcare settings that are inclusive of all children. The Child and Family Services Division of the Department of Health and Human Services has worked closely with Part C of DDP and The University of Montana Rural Institute's Child Care Plus (a program that has had a series of demonstration and training projects for child care providers to serve all children funded by OSEP) to support child care providers with training, technical assistance and subsidies to provide child care services to young children with developmental delays and disabilities. Further, Montana was selected by OSEP and the U.S. Department of Health and Human Services to participate in a national effort to promote full inclusion of young children with delays and disabilities being coordinated by NECTAC. In addition to services provided in the home and community settings, a number of the agencies offer playgroups or parent training groups in their center, to offer families the opportunity to network with other parents and for their children to interact with other peers with and without disabilities.

The DDP monitors the seven regional Part C agencies annually. This is part of the Quality Assurance Process, which is described in more detail in Indicator #9. This process looks at IFSPs to see where services are being provided. When early intervention services are not provided in natural environments, the IFSP and files must include an appropriate justification as to why they are not.

The December 1, 2004 618 data were compiled by the seven regional Part C agencies data managers according to DDP's training and instructions. The information is then submitted to the DDP's Part C Coordinator to analyze all of the data statewide and for each agency. The DDP reviews the compiled data from the seven regional Part C agencies and will do follow up on information that may need some clarification.

The data and information collected through these processes are reported to each regional Part C agency, the DDP regional office administrators, Part C coordinator, DDP state level administrators, and the Family Support Service Advisory Council (ICC). The Part C Coordinator and other DDP staff analyze the data and report findings to the above groups for purposes of program monitoring and improvement planning.

Baseline Data for FFY 2004 (2004-2005):

December 1, 2004, Child Count: 93% of infants and toddlers with IFSPs primarily receive early intervention services in the home or programs for typically developing children.

- Home: 89%
- Programs for typically developing children: 4%

December 1, 2004 Child Count Data Table

FY 2003-2004	Numbers Served	Percent Served
Total number of children in December 1 child Count	677	
Home	600	89%
Program designed for typically developing children	24	4%
Program designed for children with developmental delay	18	3%
Hospital (inpatient)	4	1%
Residential	0	0%
Service Provider	27	4%
Other Settings	4	1%

Primary Settings by age:

- 160 infants, zero to one (94%), receive services in the home or program designed for typically developing children.
- 204 toddlers ages one to two (93%), receive services in the home or programs designed for typically developing children.
- 260 toddlers two to three (91%), receive services in the home or programs designed for typically developing children.

Discussion of Baseline Data:

The child count data concerning services being primarily provided in natural environment is consistent with 618 and other data from previous years. As noted, Montana strongly supports the delivery of Part C and other services for children with delays and disabilities in natural environments. Through Part C/DDP monitoring, IFSPs and files are reviewed to ensure that services are provided in natural environment and when not, appropriately explained in the IFSP and the child's file. If it appears to be a systemic issue with an agency as identified through the 618 data, monitoring or other means, the Part C Coordinator will address the issue with the regional Part C agency. See the below table for trend data.

Child Count Data from 1999 – 2004 Table

	1999	2000	2001	2002	2003	2004
Total number of children in December 1 Child Count	580	574	600	574	628	677
Program designed for typically developing children	13 (2%)	30 (5%)	17 (3%)	20 (3%)	16 (3%)	24 (4%)
Home	525 (91%)	520 (91%)	551 (92%)	527 (92%)	559 (89%)	600 (89%)
Percent of infants and Toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children	93%	96%	95%	95%	92%	93%
Program designed for children with developmental delay	8 (1.4%)	2 (0%)	0 (0%)	1 (0%)	22 (4%)	18 (3%)
Hospital (inpatient)	3 (1%)	4 (1%)	0 (0%)	5 (1%)	0 (0%)	4 (1%)
Residential	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Service Provider	31 (5%)	15 (3%)	31 (5%)	20 (3%)	29 (5%)	27 (4%)
Other Settings	0 (0%)	3 (1%)	1 (0%)	1 (0%)	2 (0%)	4 (1%)

* % were rounded to whole #'s, in rounding the % to whole numbers, the % may be lesser or greater than 100%.

The data was collected from the Federal Child Count on Settings for the FY 1999, 2000, 2001, 2002, 2003, and 2004 which indicates that 92-96% of Part C services are provided either in the family's home or programs designed for typically developing children. This is a much higher rate than the national average for this data (Ranges from 1999, 73% to 2002, 83%, data found in OSEP/Westat Part C/B State Data Profiles 2005). Services provided primarily in the home setting have increased 1% from the FY 2003. Of the 8% (53/677) of children who receive services in settings other than home or program for typical developing children, 4% of the 8% of these children were served in Service Providers in the community and locations where children with or without disabilities would receive services. The majority of these children are involved in a regional Part C agency that is part of a school district that conducts a special education preschool readiness program for toddlers. The DDP will work with the agency to make the program inclusive of all children and not just toddlers with delays or disabilities. However, the parents are supportive of the program and made the choice to enroll their toddlers in the program as noted on their IFSPs and in their files. The other situations where children are not served in their natural environments occurred because the specific services were not available in natural settings in their communities.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	93% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.
2006 (2006-2007)	93% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.

SPP Template – Part C (3)

Montana
State

2007 (2007-2008)	94% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children
2008 (2008-2009)	94% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children
2009 (2009-2010)	95% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children
2010 (2010-2011)	95% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children

Improvement Activities/Timelines/Resources:

Activity	Timeline	Resources
1. Based on each regional Part C agencies 618 data and monitoring data, work with the regional Part C agencies and the Quality Improvement Specialist to continue to promote utilization of appropriate community settings and programs for typically developing children in which services are delivered.	(1)February 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Seven regional Part C agencies, Part C Coordinator, Quality Improvement Specialist.
2. Collaborate with the Child and Family Services Division for providing training and technical assistance for the support of early childhood childcare settings that serve all children with appropriate high quality services.	(1)February 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Seven regional Part C agencies, Part C Coordinator, Child and Family Service Division, and Child Care Plus, Child Care Regional Resource Centers and child care providers.
3. Analyze the outcomes of Activity 2 above and plan with the Child and Family Services Division for providing training and technical assistance for the support of early childhood child care settings that serve all children with appropriate	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010	Seven regional Part C agencies, Part C Coordinator, Child and Family Service Division, and Child Care Plus, Child Care Regional Resource Centers and child care providers.

SPP Template – Part C (3)

Montana
State

high quality services.	(5)July 1, 2010- June 30,2011	
4. Based on Activity 3 above collaborate with the Child and Family Services Division for providing training and technical assistance for the support of early childhood childcare settings that serve all children with appropriate high quality services.	(1)January 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Seven regional Part C agencies, Part C Coordinator, Child and Family Service Division, and Child Care Plus, Child Care Regional Resource Centers and child care providers.
5. Annually review 618 data and monitoring data for state and each regional Part C agency and provide focused training and technical assistance for regional Part C agency staff/Family Support Specialists, Quality Improvement Specialist on 618 settings definitions and data collection requirements, monitoring requirements for Indicator 2.	(1)January 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Seven regional Part C agencies, FSSAC, and Part C coordinator.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 USC 1416(a)(3)(A) and 1442)

Measurement:**A. Positive social-emotional skills (including social relationships):**

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improve functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication):

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.

- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

Overview of Issue/Description of System or Process:

Overview in the 2005 SPP:

The DDP and FSSAC formed a Part C Work Group to address the SPP, the new IDEA 2004, and Part C improvements. This group includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director. Most of this group had worked on the last Part C APR. In addition, Dr. Kathy Hebbeler from the ECO Center directly assisted the work group in making decisions about how to approach data collection for Indicator 3. The following components were part of Indicator 3 data collection system:

- All infants and toddlers who were at least six months of age were included in the data collection. Exceptions were: (1) toddlers who enter Part C services within six months of turning three years old, and (2) children (any age) who were in the program less than six months before they moved or exited Part C services.
- Data collection occurred during the development of the initial IFSP and for FFY 2006, with the development of subsequent IFSPs, and at or near exit or transition.
- The data sources included data form evaluations and assessments and the judgment of IFSP team members, including parents. Parents were involved in whatever ways they felt most comfortable providing their observations of their child's progress/development. Thus, parents could be directly involved in deciding for each sub-indicator if their child met the criteria for a, b, or c, or parents choose to provide the Family Support Specialist (FSS) or whole IFSP team their observations concerning their child's progress/development and the FSS use that information in the measurement process. For infants and toddlers who unexpectedly exit Part C services, the FSS collected the most recent data available for the child to make the decisions concerning the early childhood sub-indicators.
- Evaluations and assessments used both norm-referenced and curriculum/criterion-referenced instruments. Based on a survey from FSSs concerning instruments they used to develop a current IFSP for each Part C child they served and the most recent research-based early intervention practices for evaluations and assessments, a list of instruments has been finalized for measurement of Indicator 3. In addition, the final lists of instruments were developed with recommendations from the ECO Center.
- The Part C Work Group developed the initial list of approved instruments with the assistance of Dr. Hebbeler. The approved instruments include: Early Learning Accomplishment Profile (ELAP); Developmental Profile II; Alpern-Boll (DP-II); Hawaii Early Learning Profile (HELP); Battelle Developmental Inventory (Battelle); Developmental Assessment of Young Children (DAY-C); Infant Toddler Developmental Assessment (IDA); Carolina Curriculum for Infants and Toddlers with Special Needs (Carolina); Vineland Adaptive Behavior Scales (Vineland); and Portage Growing Birth to Three (Portage 1-3). In addition, The Ounce of Prevention Scale (OUNCE) is also being considered.
- Montana adopted the ECO Center's Child Outcome Summary Form, their procedures for the form, and other ECO resources designed for this process (e.g., instrument crosswalks). As noted above, input was based on IFSP team member's (including parents', FSS and other team members) observations and assessment data.
- Montana's Part C Work Group developed all the specific measurement activities and procedures for reporting by each FSS and each regional Part C agency. Each FSS was responsible for completing the measurement activities and entering the data into the appropriate form. Following the uniform procedures, each agency completed Indicator 3 Part

C Agency Reporting Form and submitted the form to the Part C Coordinator and their regional DDP staff member responsible for monitoring their agency. The Part C Coordinator compiles the data for the APR.

- In addition, regional DDP staff responsible for each regional Part C agency will monitor these steps. The steps will be outlined in the DDP monitoring tool and procedures (see Indicator 9 for an overview of DDP's monitoring system for the regional Part C agencies). DDP staff will be provided training and technical assistance in monitoring for this Indicator.
- The DDP, Montana Part C GSEG Project and ECO Center will conduct training on the data collection system for regional Part C agency staff and DDP monitoring staff. Further, training will continue on research-based early intervention practices for evaluation and assessment, specifically focusing on the instruments for Indicator 3 data collection as well as for development of IFSP child-focused outcomes and programs to be carried out in natural environments and during infants' and toddlers' normal routines and activities.
- The data concerning Indicator 3 was used to create early intervention practice improvements at the levels of individual FSSs, regional Part C agency, and DDP/FSSAC. In conjunction with Montana's Part C GSEG, the DDP developed guidelines for using this data to identify individual FSS's and agency training needs concerning early intervention practices, and identify areas where system improvements may be needed (e.g., FSS orientation, data collection procedures) at a regional Part C agency and/or the DDP state level.
- Indicator 3 data was collected from April 1, 2006 through September 30, 2006 for the entry data to be reported for Indicator 3 on the February 1, 2007 APR.
- Montana developed the Early Child Outcomes: Demonstrating and Reporting the Results of Early Intervention Services for Infants and Toddlers Manual. Montana's Director of the GSEG gave a presentation on Montana's process and manual at the NECTAC and ECO National Conference on Child and Family Outcomes Conference held in Albuquerque, NM. Montana's manual has also been posted on ECO's webpage and other states have used the document to base their child outcome process on.
- All of the steps of the Indicator 3 data collection system will be evaluated and forms and procedures will be revised accordingly, and training and technical assistance will be provided.

Approaches added after 2005 SPP Submission:

All the above criteria and child outcomes/COSF procedures continue to be applied to Montana's approach for Indicator 3 Child Outcomes.

- Montana updated the Early Childhood Outcomes: Demonstrating and Reporting the Results of Early Intervention Services for Infants and Toddlers Collecting Outcomes Data- October 1, 2006 to June 30, 2007. The updated manual includes all the ECO Center updates that were provided on their website or by ECO staff. This version includes instructions for all Child Outcomes measures at annual IFSP meetings and transition/exits, in addition to the baseline measurement. The manual covers the Child Outcome data collection for the 2008 APR. The manual provides a foundation for quality assurance for implementing the childhood outcomes system in Montana. This system utilizes the Early Childhood Outcomes Center's and Montana's Early Childhood Outcomes documents guidelines and process for completing the COSF(s) to determine children's functioning is accordance with OSEP's requirements for the three early childhood outcomes.
- To ensure the quality of the data collected, Montana developed quality assurance procedures and manual for individually reviewing each COSF(s) to determine if the COSF was completed in accordance with Montana's and the ECO Center's guidelines. These procedures provide steps to (1) review each COSF, (2) record each COSF item as correct or having an issue with the entry (e.g., entry of COSF summary information for a rating was technically correct but could have been improved) and (3) summarize all the COSF review data on a COSF verification form for each regional Part C agency. In addition, information concerning how the local teams determined early childhood outcomes levels of functioning for each child and outcomes is also collected. All

of this information is shared with and used to assist the regional Part C agencies in improving the accuracy and quality of the data reported on the COSF(s).

- The Part C work group requested that there be a condense version of the Early Child Outcomes: Demonstrating and Reporting the Results of Early Intervention Services for Infants and Toddlers Manual that Part C staff could use as a quick reference guide during COSF determination meetings for completing the COSF for a child. The quick reference child outcome manual was developed, reviewed by the Part C work group, revised, disseminated and is being used during COsF determination meetings. The Early Child Outcomes: Demonstrating and Reporting the Results of Early Intervention Services for Infants and Toddlers Manual is used as a reference guide and for training with Part C staff.
- Montana used a “train the trainers” model for implementing the child outcomes/COSF system. The Part C work group members included supervisors and senior Family Support Specialist (FSS). As noted previously, these people participated in the development of Montana’s approach for child outcomes and the development of all guidance manuals. In addition, they received training from Dr. Hebbeler of the ECO Center. In turn, these people trained the FSSs and other Part C staff in their agencies as well as new staff starting after the initial training. Further, they provide refresher training to their staff on a regular basis. Often the refresher training occurs after each regional Part C agency receives their quality assurance data for the COSFs completed during a previous quarter. On an annual basis, the Part C work group participates in refresher training with the Part C Coordinator and Part C GSEG Director.
- Montana is in the processes of creating a database to enter all COSF(s) data to be able to extract the data that will be needed for future APRs. Montana ‘s Part C Coordinator and GESG Director continue to review each COSF(s) submitted by each of the seven regional Part C agencies on a quarterly basis to ensure that the data is valid and reliable.
- Montana continues to participate in ECO Center’s and OSEP’s conference calls and national meetings. Montana GESG director presented during a net-conference call about Montana’s process in reviewing the COSF(s) to ensure that the state is receiving valid and reliable data. The Part C Coordinator and GESG director also presented at ECO’s annual National meeting on the process of ensuring reliable and valid data with the COSF(s) that are submitted to the state. Montana’s the Early Child Outcomes: Demonstrating and Reporting the Results of Early Intervention Services for Infants and Toddlers Manual and Quality Assurance Manual are disseminated through the ECO Center’s/NECTAC’s website.

Baseline Data for FFY 2006 (2006-2007):

This is NOT baseline data. Child outcomes data reported in 2010 will be considered baseline data. The first year of progress data for children exiting in 2006 – 2007 is presented in the tables below.

A. Positive social-emotional skills (including social relationships):	Number of children	% of children and (calculation)
a. Percent of infants and toddlers who did not improve functioning	1	1.92% (1/52*100=1.92%)
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	9	17.31% (9/52*100=17.31%)
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	12	23.08% (12/52*100= 23.08%)
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged	19	36.54%

SPP Template – Part C (3)

Montana
State

peers		(19/52*100= 36.54%)
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	11	21.15% (11/52*100=21.15%)
Total	52	100%

B. Acquisition and use of knowledge and skills (including early language/communication):	Number of children	% of children and (calculation)
a. Percent of infants and toddlers who did not improve functioning	1	1.92% (1/52*100=1.92%)
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	6	11.54% (6/52*100=11.54%)
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	17	32.69% (17/52*100= 32.69%)
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	21	40.38% (21/52*100= 40.38%)
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	7	13.46% (7/52*100=13.46)
Total	52	100%

C. Use of appropriate behaviors to meet their needs:	Number of children	% of children and (calculation)
a. Percent of infants and toddlers who did not improve functioning	3	5.77% (3/52*100=5.77%)
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	10	19.23% (10/52*100=19.23%)
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	6	11.54% (6/52*100= 11.54%)
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	27	51.92% (27/52*100= 51.92%)
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	6	11.54% (6/52*100= 11.54%)
Total	52	100%

Discussion of Baseline Data:

Child outcomes data reported in 2010 will be considered baseline data.

Montana collected progress data for all infants and toddlers who (1) had baseline COSFs completed between 04/01/06 and 9/30/06, (2) were in services at least six months, and (3) had an exit COSF completed in the reporting period of 07/01/06 to 06/30/07. For this time period, Montana had a small

SPP Template – Part C (3)

Montana
State

number of infants and toddlers who met this criterion. There were 246 of infants and toddlers who had a baseline COSF completed between 04/01/06 and 12/31/06. Progress data (exit COSFs) were completed for 52 children during the year (07/01/06 to 06/30/07). Thus, most of the children who had baseline data/COSF completed between 04/01/06 and 9/30/06 were 6 months to 24 months old when they entered the Part C program, and are still participating in the program. (Most of these infants and toddlers will not have exit data until next year or the following year.)

The child outcome data were collected following the procedures and guidelines outlined in the Indicator 3 Overview section and contained in Montana's Early Childhood Outcomes: Demonstrating and Reporting the Results of Early Intervention Services for Infants and Toddlers Collecting Outcomes Data- October 1, 2006 to June 30, 2007. As noted, Montana has adopted the ECO Center's COSF 7 point scale, system for determining child outcomes, and definition for comparison to same-aged peers. Each COSF was completed by a COSF team convened for the child in each regional Part C agency. Each regional Part C agency accumulates all their COSFs completed for a quarter, reviews the COSFs for accuracy, and sends the COSFs to the Part C Coordinator. Further, each COSF was reviewed for quality assurance of the data and COSF process by the Part C Coordinator and Part C GSEG Project Director. If errors are found, they are corrected with the appropriate regional Part C agency. Once all COSFs quality assurance reviews are completed for a regional Part C agency for a quarter and a report is completed, the agency is sent their report and if necessary, provided further technical assistance/training.

It should be noted that the child outcome data reported for the 07/01/06 to 06/30/07 time period is not representative of all children participating in Part C in Montana. It is just a snapshot of a small number of infants and toddlers that have only participated in Part C services for a short period of time. It will take several years before Montana's child outcome data represents all the children participating in Part C services.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Targets to be set on the 2-1-10 Annual Performance Report for each of the three sub-indicators.
2006 (2006-2007)	Targets to be set on the 2-1-10 Annual Performance Report for each of the three sub-indicators.
2007 (2007-2008)	Targets to be set on the 2-1-10 Annual Performance Report for each of the three sub-indicators.
2008 (2008-2009)	Targets to be set on the 2-1-10 Annual Performance Report for each of the three sub-indicators.
2009	Targets to be set on the 2-1-10 Annual Performance Report for each of the three sub-

SPP Template – Part C (3)

Montana
State

(2009-2010)	indicators.
2010 (2010-2011)	Targets to be set on the 2-1-10 Annual Performance Report for each of the three sub-indicators.

Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Annually, Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's "related" data collection process for this indicator.	(1) January 1, 2008 to June 30, 2008 (2) July 1, 2008- June 30, 2009 (3) July 1, 2009- June 30, 2010 (4) July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
2. Provide initial and annual training for the Quality Improvement Specialists concerning child outcomes, the COSF process and data collection related to child outcomes.	(1) January 31, 2008- June 30, 2008 (2) July 1, 2008- June 30, 2009 (3) July 1, 2009- June 30, 2010 (4) July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
3. DDP will monitor programs for compliance with this indicator and related requirements. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	(1) January 31, 2008- June 30, 2008 (2) July 1, 2008- June 30, 2009 (3) July 1, 2009- June 30, 2010 (4) July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

4. Regional Part C agencies will train new FSSs on the Part C requirements, competences, and child outcomes/COSF system procedures related to Indicator 3.	<p>(1) January 31, 2008- June 30,2008</p> <p>(2)July 1, 2008- June 30,2009</p> <p>(3)July 1, 2009- June 30,2010</p> <p>(4)July 1, 2010- June 30,2011</p>	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
5. Conduct quality assurance reviews of child outcomes COSFs and data, develop and disseminate quality assurance reports for each regional Part C agency, and when necessary, provide additional agency specific technical assistance and training.	<p>(1) January 31, 2008- June 30,2008</p> <p>(2)July 1, 2008- June 30,2009</p> <p>(3)July 1, 2009- June 30,2010</p> <p>(4)July 1, 2010- June 30,2011</p>	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
6. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers.	<p>(1) January 31, 2008- June 30,2008</p> <p>(2)July 1, 2008- June 30,2009</p> <p>(3)July 1, 2009- June 30,2010</p> <p>(4)July 1, 2010- June 30,2011</p>	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
7. Biannually assess statewide personnel development needs concerning early intervention practices associated with Indicator 3.	<p>(1) January 31, 2008 - June 30, 2009</p> <p>(3)July 1, 2009 – June 30, 2011</p>	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
8. Provide training concerning early intervention practices associated with Indicator 3 identified in activity 7 (above)	<p>(1) January 31, 2008 - June 30, 2009</p> <p>(3)July 1, 2009 – June 30, 2011</p>	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

<p>9. Annually review and update all Montana manuals and resources concerning child outcomes, the COSF process and data collection related to child outcomes.</p>	<p>(1) January 31, 2008- June 30,2008</p> <p>(2)July 1, 2008- June 30,2009</p> <p>(3)July 1, 2009- June 30,2010</p> <p>(4)July 1, 2010- June 30,2011</p>	<p>Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p>
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Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 USC 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family know their rights divided by the # of respondent families participating in Part C times 100.
- B. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs divided by the # of respondent families participating in Part C times 100.
- C. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.

Overview of Issue/Description of System or Process:

The DDP and FSSAC formed a Part C Work Group to address the SPP/APR, the new IDEA 2004, and Part C improvements. This group includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director. Most of this group had worked on the last Part C APR (2004). In addition, Dr. Kathy Hebbeler from the ECO Center directly assisted the work group in making decisions about how to approach data collection for Indicator 4 and ECO Center's survey questions for Indicator 4. The following components were part of the Indicator 4 data collection system:

- All families whose children had an IFSP and participated in Part C services were surveyed about the three sub-indicators for Indicator 4.
- Data collection occurred during each regional Part C agency's parent survey process, which occurs on an annual basis, and initiated according to each agency's timeline.
- Parents provided the data concerning Indicator 4 by completing identical Indicator 4 sub-indicator items on each regional Part C agencies parent survey. This was done through a mailings or directly delivered survey or through a telephone or in-person interview. The parents or interviewer submit the survey data anonymously. If parents wanted to submit the data with their name, they could, it was their choice.
- Montana adopted the ECO Center's Family Outcomes Survey items for Indicator 4.
- The Indicator 4 data were collected by each regional Part C agency. Following uniform procedures, each agency completed the Indicator 4 Part C Agency Reporting Form and submitted the form to the Part C Coordinator and their regional DDP staff member (Quality

- improvement Specialist - QIS) responsible for monitoring their agency. The Part C Coordinator compiled the data for the APR.
- Montana's Part C Work Group developed all the specific measurement activities and procedures for reporting by each family and each regional Part C agency. Further, this group addressed procedures to ensure a good survey response from parents involved in the survey.
 - In addition, regional DDP staff responsible for each regional Part C agency monitored the regional Part C agency's parent survey process. The steps are outlined in the DDP monitoring tool and procedures (see Indicator 9 for an overview of DDP's monitoring system for the regional Part C agencies). In addition, DDP staff will be provided further training and technical assistance in monitoring for Indicator 4 during interviews with families as part of the Part C monitoring process.
 - The DDP, Montana Part C GSEG Project and ECO Center conducted training on the data collection system for regional Part C agency staff and DDP monitoring staff.
 - Further, training will continue on research-based early intervention practices.
 - The data concerning Indicator 4 will also be used to create early intervention practice improvements at the levels of individual FSSs, regional Part C agency, and DDP/FSSAC. In conjunction with Montana's Part C GSEG, the DDP will develop guidelines for using these data to identify FSS and agency training needs concerning early intervention practices, and identify areas where system improvements may be needed (e.g., FSS orientation, providing family-centered services) at a regional Part C agency and/or the DDP state level.
 - Indicator 4 data collection began January 30, 2006 through September 30, 2006 for the baseline data.

All of the steps of the Indicator 4 data collection system will continued to be evaluated and forms and procedures will be revised accordingly, and training and technical assistance will be provided. Further, the FSSAC and Part C Work Group will consider utilizing additional statewide survey items for future parent surveys.

Baseline Data for FFY 2005 (2005-2006):

Baseline Data including all seven regional Part C agencies using either ECO Center's Family Outcome Items or one agencies items related to Indicator 4, A., B., and C. sub-indicators:

- 87.5% = $(210/240 \times 100)$, reported that early intervention services have helped the family know their rights
- 90.1% = $(229/254 \times 100)$, reported that early intervention services have helped the family effectively communicate their children's needs
- 84.5% = $(236/279 \times 100)$, reported that early intervention services have helped the family help their children develop and learn

Discussion of Baseline Data:

All seven regional Part C agencies conducted a parent survey for the FFY 2005 (2005-2006).

Six out of the seven regional Part C agencies were able to incorporate ECO Center's three questions on Family Outcomes and use the rating scale as a way to measure family's response. One agency was unable to use ECO Center's questions and rating scale for the FFY 2005 APR due to having in place early in FFY 2005 a survey contractor, agency survey and contracted timeline for completion of their survey. It would have been a financial hardship for the agency to have completed an additional survey. It also may have been confusing to parents who just responded to the agency's survey. The Part C Coordinator and the technical assistance/GSEG director looked at the agency's survey items to identify questions that would apply to this outcome. There were six items that applied to the three Indicator 4 sub-indicators, two items per sub-indicator and they were on a five point scale with scale descriptor three being a positive statement. These items were very similar to items on the NCSEAM

SPP Template – Part C (3)

Montana
State

family survey. For FFY 2006, this regional Part C agency will be able to provide the Family Outcome data using ECO Center's survey questions and rating scale next reporting period.

The first set of Baseline data using the ECO Center's Family Outcomes items does not include the one agency that was unable to use these items for FFY2005. The baseline data were reviewed by the Part C Coordinator:

- How many total responses each regional Part C agency received for the total survey.
- How many total responses each of the regional Part C agencies received for each question in response to Family Outcomes.
- All responses that were five and below were collected as "no" responses.
- Those were then totaled for the state using only six of the seven regional Part C agencies.
- All questions answered with a 5-7 were "yes" responses.
- Those were then totaled for the state using only six of the seven regional Part C agencies.
- Those questions that no responses were provided were not included in the baseline data.

The second set of Baseline data includes the results from the regional Part C agencies that used the ECO Center's Family Outcomes survey items and results of the six items related to the three sub-indicators for Indicator 4. The baseline data were reviewed by the Part C Coordinator according to the steps below and then combined with the data from the other regional Part C agencies:

- How many total responses the regional Part C agency received for the total survey.
- How many total responses the regional Part C agencies received for each of the six questions in responding to Family Outcomes sub-indicators.
- All responses that were 2 and below were collected as "no" responses for each item for a sub-indicator.
- Those were then totaled for each sub-indicator for the regional Part C agency.
- All questions answered with a 3-5 were "yes" responses for each item for a sub-indicator.
- Those were then totaled for each sub-indicator for the regional Part C agency.
- Those questions that no responses were provided were not included in the baseline data.
- These data were combined with the data from the other regional Part C agencies data.

In addition, part of Montana's monitoring is to review all Consumer/Parent Survey Results for each of the seven regional Part C agencies.

- Seven out of seven (100%) regional Part C agencies consumer satisfaction surveys documented those families were extremely satisfied or satisfied with the Part C serves that they received.
- Seven out of seven (100%) regional Part C agencies consumer satisfaction surveys documented that those families were aware of procedural safeguards, including ways to make a complaint and resolve service issues.

FFY	Measurable and Rigorous Target
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SPP Template – Part C (3)

Montana
State

2006 (2006-2007)	<p>A. 89% will report that early intervention services has helped the family help their children develop and learn</p> <p>B. 89%, will report that early intervention services has helped the family effectively communicate their children's needs</p> <p>C. 84% will report that early intervention services has helped the family help their children develop and learn</p>
2007 (2007-2008)	<p>A. 89% will report that early intervention services has helped the family help their children develop and learn</p> <p>B. 89% will report that early intervention services has helped the family effectively communicate their children's needs</p> <p>C. 84% will report that early intervention services has helped the family help their children develop and learn</p>
2008 (2008-2009)	<p>A. 91% will report that early intervention services has helped the family help their children develop and learn</p> <p>B. 91% will report that early intervention services has helped the family effectively communicate their children's needs</p> <p>C. 86% will report that early intervention services has helped the family help their children develop and learn</p>
2009 (2009-2010)	<p>A. 91% will report that early intervention services has helped the family help their children develop and learn</p> <p>B. 91% will report that early intervention services has helped the family effectively communicate their children's needs</p> <p>C. 86% will report that early intervention services has helped the family help their children develop and learn</p>
2010 (2010-2011)	<p>A. 93% will report that early intervention services has helped the family help their children develop and learn</p> <p>B. 93% will report that early intervention services has helped the family effectively communicate their children's needs</p> <p>C. 88% will report that early intervention services has helped the family help their children develop and learn</p>

Improvement Activities/Timelines/Resources:

Improvement Activities/Timelines/Resources (New for SPP):

New SPP Activities	Timelines	Resources
1. Biannually, Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education	(1) July 1, 2007 to June 30, 2008	FSSAC, Providers Managers, Part C technical

SPP Template – Part C (3)

Montana
State

and Support Services, to enhance the State's data collection process for this indicator.	(2) July 1, 2010 to June 30, 2011	assistance and trainers, and the Part C Coordinator.
2. Provide initial and annual training for the Quality Improvement Specialists.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop, implement and monitor an improvement Plan or Corrective Action.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
4. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 4.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

<p>5. Montana's Part C workgroup will continue:</p> <ul style="list-style-type: none"> a. To review data b. Discussing issue/problem solving issues related to family outcomes. c. Review and refine the approach to receiving a good survey response. 	<p>(1) July 1, 2006 to June 30, 2007</p> <p>(2) July 1, 2007 to June 30, 2008</p>	<p>Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p>
<p>6. Biannually assess personnel development needs concerning early intervention practices associated with Indicator 4.</p>	<p>(1) July 1, 2006 to June 30, 2007</p> <p>(2) July 1, 2008 to June 30, 2009</p> <p>(3) July 1, 2010 to June 30, 2011</p>	<p>Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p>
<p>7. Provide in-service training and resources concerning research-based practice for improvement with child development and child outcomes for infants and toddlers.</p>	<p>(1) July 1, 2006-June 30,2007</p>	<p>Regional Part C agency managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.</p>

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to National data.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program in conjunction with the Family Support Services Advisory Council (FSSAC, Montana's ICC) and regional Part C agencies, coordinate the Part C comprehensive public awareness and child find system designed to identify infants and toddlers with disabilities who may be eligible for Part C services. The system and state policies and procedures comply with the Part C IDEA statutes and rules and regulations for public awareness and child find systems. The system includes: public awareness including all primary referral sources, child find procedures, coordination of child find activities across state and local agencies, referral procedures of potentially eligible children to qualified Part C provider agencies, and responsive eligibility determination procedures. The Part C comprehensive child find system is implemented in cooperation with, and is consistent with, Office Public Instruction's (OPI) Part B of IDEA child find program. Parents Let's Unite for Kids, Montana's Parent Training and Information Center, serves as the central directory for Part C and is directly involved in public awareness and child find efforts.

The system includes multiple ways for making timely referrals to regional Part C agencies by primary referral sources. Rigorous standards are in place for appropriately identifying infants and toddlers who may benefit from Part C services and referring potentially eligible infants and toddlers for services. Child find procedures reach out to all children, including infants and toddlers with disabilities who are receiving services from or attending public or private health and human service programs, hospitals and clinics, child care programs, Early Head Start and Head Start or schools. The Development Disabilities Program, with the assistance of the FSSAC, implements the comprehensive public awareness and child find system which assures that all infants and toddlers who may be eligible for Part C services are identified, located, and evaluated. This system is conducted in cooperation and coordination with all other similar statewide and major child find screening (e.g., newborn hearing) efforts. The seven regional Part C agencies are responsible for coordinating and cooperating with child find efforts in their regions and for evaluating eligibility for Part C services. This includes programs like Child and Family Protective Services (under CAPTA) that have policies and procedures for the referral for early intervention services of all children under the age of three who are involved in a substantiated case of child abuse or neglect; or is identified as affected by illegal

substance abuse, or withdrawal symptoms resulting from prenatal drug exposure. Further the DDP and regional Part C agencies coordinate public awareness and child find with various Tribal services for Native Americans living on or off of Indian Reservations. In addition, public awareness activities are designed by each regional Part C agency to specifically reach out to populations that might be difficult to reach through broad-based public awareness activities. These activities have been augmented by the successful implementation of the Dynamic Community Connections Projects (OSEP funded Child Find demonstration Project) in rural communities in each of the five DPP service regions.

The DDP is responsible for the seven regional Part C agencies child find efforts through monitoring (1) contractual agreements with the regional part C agencies, and (2) state and local interagency agreements. The DDP implements a continuous data collection system designed to determine which infants and toddlers are receiving Part C services and to determine the extent to which primary referral sources disseminate information on the availability of Part C early intervention services and make referrals for services. Pamphlets, brochures and other public awareness materials and activities are disseminated by the seven regional Part C agencies to physicians' offices, hospitals, neonatal hospitals, child care resource and referral agencies, homeless family shelters, public health departments, Child and Family Services, WIC offices and other appropriate primary referral source locations. The states central directory, Parent's Lets Unite for Kids, also disseminates information about Part C services and referral.

Baseline Data for FFY 2004 (2004-2005):

- (Measurement a.1, New Narrow Eligibility Criteria data, Table 8-4a for the data and Table 8-3c for the new list of Narrow states) Montana's December 1, 2004, child count data (618) indicates 1.58% of Montana's infants are served compared to .92% for the other 16 states with the New Narrow Eligibility Criteria.
- (Measurement a.2, Old Narrow Eligibility Criteria data, Table 8-4a for the data and Table 8-3a for the old list of Narrow states) Montana's December 1, 2004, child count data (618) indicates 1.58% of Montana's infants are served compared to .97% for the other 8 states with the Old Narrow Eligibility Criteria.
- (Measurement b., National data, Table 8-4a) Montana's December 1, 2004, indicates 1.58% of Montana's infants are served compared to .98% National baseline.

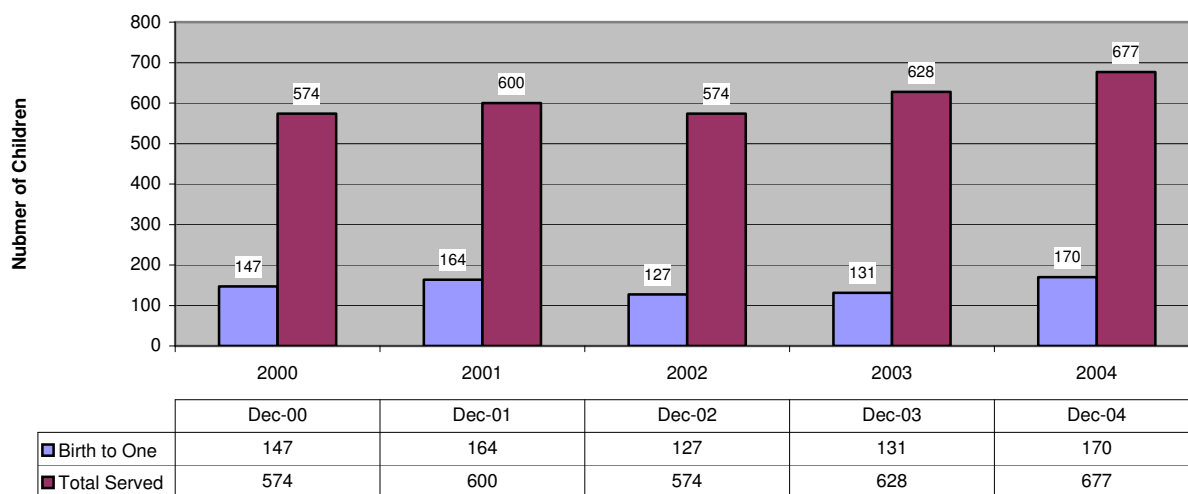
Discussion of Baseline Data:

Montana's public awareness and child find efforts are very successful in identifying and serving infants in Part C. Montana serves 1.58% of all infants in the state, which is a higher rate than the average percent, served for states with a narrow eligibility criteria like Montana (compared to both the old and new list of states as well as the National baseline rate). However, Montana will continue to thoroughly evaluate public awareness and child find activities and data to ensure that Part C is identifying infants who might be eligible for Part C as soon as possible.

Additional Child Count Data and Comparisons:

Also see additional data for Indicator 6.

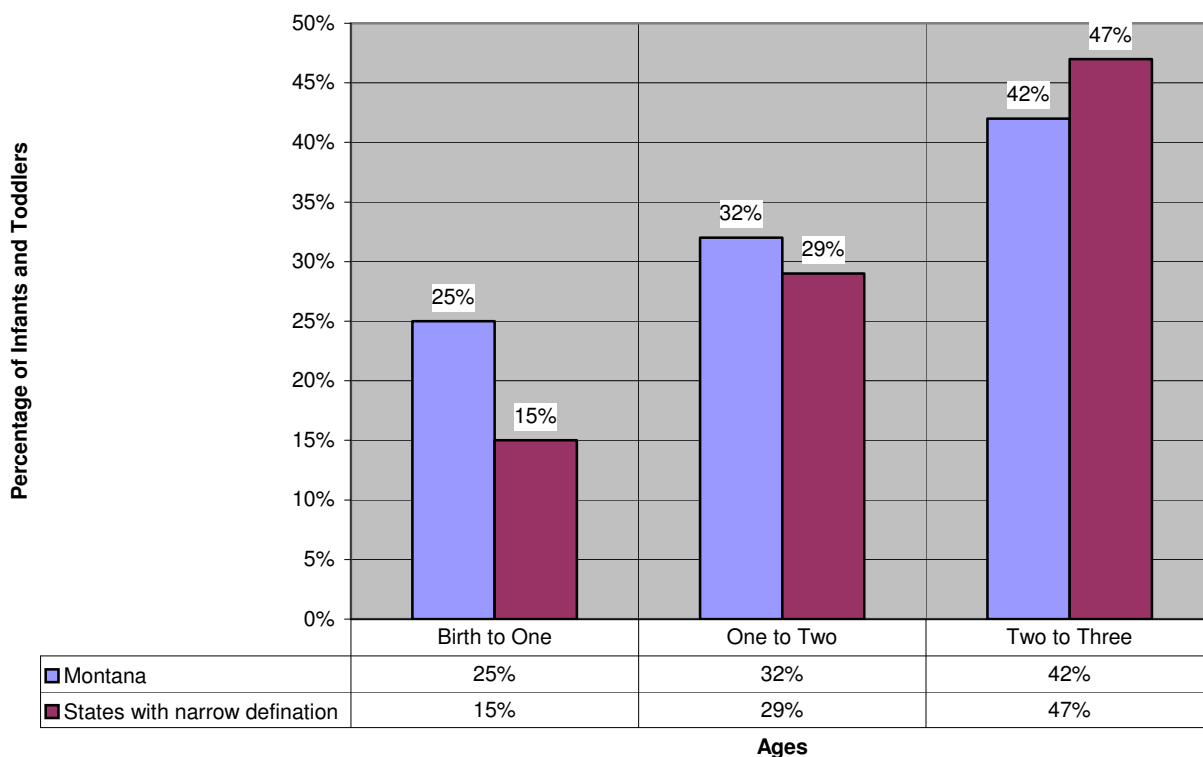
Number of Birth to One Infants, Being Served in Early Intervention in Montana for the Years from December 2000 through December 2004



December 1, Child Count

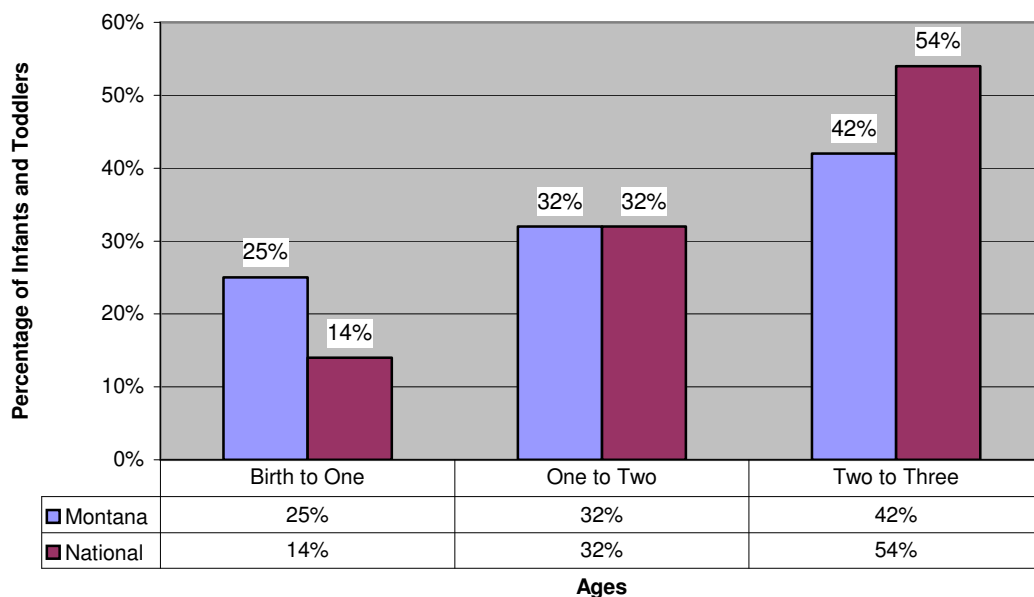
- December 1, 2000, 147 of 574 (26%) are children, birth to one.
- December 1, 2001, 164 of 600 (28%) are children, birth to one.
- December 1, 2002, 127 of 574 (22%) are children, birth to one.
- December 1, 2003, 131 of 628 (21%) are children, birth to one.
- December 1, 2004, 170 of 677 (25%) are children, birth to one.

Percentage of Infants and Toddlers (0-3) Served by Age in Montana Compared to the New Narrow Definition States on December 1, 2004 Child Count (source WESTAT website, Table AH1)



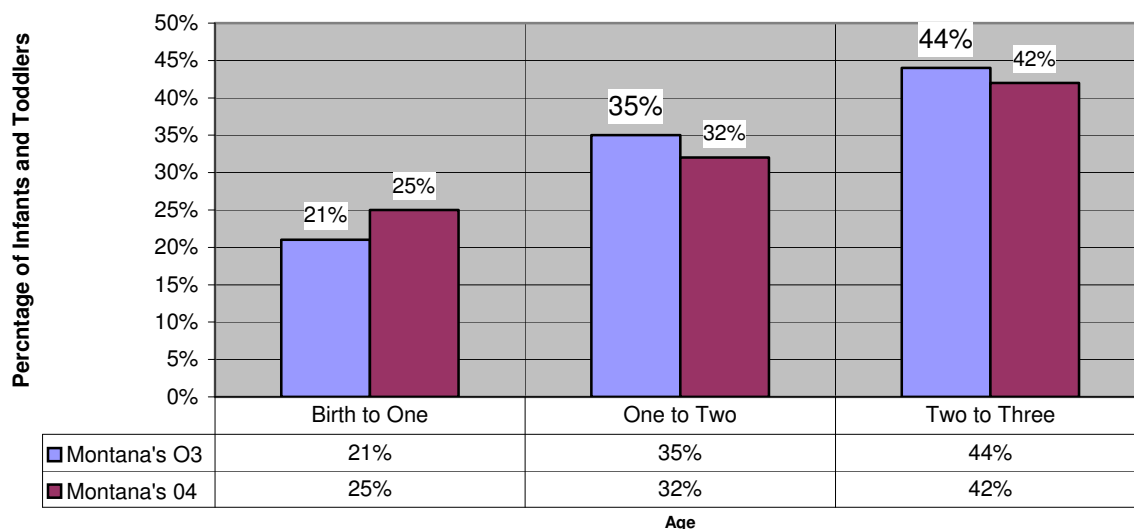
- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 4,916 infants (0-1) of 34,002 children in Part C. Infants, with the new narrow eligibility criteria definition, represent 15% of all children served in Part C early intervention with this definition.
- Montana shows 10% more infants (0-1) being served compared to other states with the new narrow definitions in early intervention services.

Percentage of Infants and Toddlers (0-3) Served By Age in Montana Compared to National Data on December 1, 2004 Child Count (source WESTAT website, Table AH1)



- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 38,192 (birth to one) of a total of 275,484 children in Part C in the United States, which represents 14% of all children served in Part C early intervention.
- On the December 1, 2004 Child Count, Montana shows 11% more Birth to One Infants being served in Montana as compared to the OSEP's National data.

**Comparison of Percentage of Infants and Toddlers (0-3) Served By Age on Montana's
December 1 Child Count for 2003 and 2004**



- Montana's 2003 and 2004 Child Count data for infants (birth to one), has an increase of 4%.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Part C in Montana will serve 1.58 % of all infants in the state.
2006 (2006-2007)	Part C in Montana will serve 1.58 % of all infants in the state.
2007 (2007-2008)	Part C in Montana will serve 1.62 % of all infants in the state.
2008 (2008-2009)	Part C in Montana will serve 1.62 % of all infants in the state.
2009 (2009-2010)	Part C in Montana will serve 1.62 % of all infants in the state.
2010 (2010-2011)	Part C in Montana will serve 1.68 % of all infants in the state.

Improvement Activities/Timelines/Resources:

SPP Template – Part C (3)

Montana
State

Activities	Timelines	Resources
1. Annually review child find data by state and regional Part C agency to determine steps needed to improve child find efforts.	(1) January 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
2. Annually review, revise (when needed), develop and disseminate child find materials and activities.	(1) January 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
3. Disseminate child find materials and conduct public awareness activities with targeted medical staff in clinics and hospitals on an ongoing basis and analyze impact and revise strategies and materials biannually.	(1) July 1, 2006- June 30, 2008 (2) July 1, 2008- June 30, 2010 (3) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
4. Montana will review the TRACE recommendations that are relevant to the state's child find system and determine how to implement the ones that fit the child find system and state demographics.	July 1, 2006 to June 30, 2009	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
5. Annually review to ensure linkage of Part C early intervention to other local early childhood health and	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

human services with specific emphasis on programs and providers serving infants.	<p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p>	
6. Review annually and enhance linkages of Part C early intervention with the Department of Public Health and Human Services statewide programs for infants, toddlers and young children and their screening and child find programs.	<p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p>	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
7. Review and update annually, Montana's Central Directory concerning Part C Services.	<p>(1) July 1, 2005-June 30, 2006</p> <p>(2) July 1, 2006 – June 30, 2007</p> <p>(3) July 1, 2007 – June 30, 2008</p> <p>(4) July 1, 2008 – June 30, 2009</p> <p>(5) July 1, 2009 – June 30, 2010</p> <p>(6) July 1, 2010 – June 30, 2011</p>	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to National data.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program in conjunction with the Family Support Services Advisory Council (FSSAC, Montana's ICC) and regional Part C agencies, coordinate the Part C comprehensive public awareness and child find system designed to identify infants and toddlers with disabilities who may be eligible for Part C services. The system and state policies and procedures comply to the Part C IDEA statutes and rules and regulations for public awareness and child find systems. The system includes: public awareness including all primary referral sources, child find procedures, coordination of child find activities across state and local agencies, referral procedures of potentially eligible children to qualified Part C provider agencies, and responsive eligibility determination procedures. The Part C comprehensive child find system is implemented in cooperation with, and is consistent with, Office Public Instruction's (OPI) Part B of IDEA child find program. Parents Let's Unite for Kids, Montana's Parent Training and Information Center, serves as the central directory for Part C and is directly involved in public awareness and child find efforts.

The system includes multiple ways for making timely referrals to regional Part C agencies by primary referral sources. Rigorous standards are in place for appropriately identifying infants and toddlers who may benefit from Part C services and referring potentially eligible infants and toddlers for services. Child find procedures reach out to all children, including infants and toddlers with disabilities who are receiving services from or attending public or private health and human service programs, hospitals and clinics, child care programs, Early Head Start and Head Start or schools. The Development Disabilities Program, with the assistance of the FSSAC, implements the comprehensive public awareness and child find system which assures that all infants and toddlers who may be eligible for Part C services are identified, located, and evaluated. This system is conducted in cooperation and coordination with all other similar statewide and major child find efforts. The seven regional Part C agencies are responsible for coordinating and cooperating with child find efforts in their regions and for evaluating eligibility for Part C services. This includes programs like Child and Family Protective Services (under CAPTA) that have policies and procedures for the referral for early intervention services of all children under the age of three who are involved in a substantiated case of child abuse or neglect; or is identified as affected by illegal substance abuse, or withdrawal symptoms

resulting from prenatal drug exposure. Additionally, the DDP works with new-born screening programs in Montana like the Newborn Hearing Screening Program. Representatives from these programs are on the Family Support Services Advisory Council. Further the DDP and regional Part C agencies coordinate public awareness and child find with various Tribal services for Native Americans living on or off of Indian Reservations. In addition, public awareness activities are designed by each regional Part C agency to specifically reach out to populations that might be difficult to reach through broad-based public awareness activities. These activities have been augmented by the successful implementation of the Dynamic Community Connections Projects (OSEP funded Child Find demonstration Project) in rural communities in each of the five DPP service regions.

The DDP is responsible for the seven regional Part C agencies child find efforts through monitoring (1) contractual agreements with the regional part C agencies, and (2) state and local interagency agreements. The DDP implements a continuous data collection system designed to determine which infants and toddlers are receiving Part C services and to determine the extent to which primary referral sources disseminate information on the availability of Part C early intervention services and make referrals for services. Pamphlets, brochures and other public awareness materials and activities are disseminated by the seven regional Part C agencies to physicians' offices, hospitals, neonatal hospitals, child care resource and referral agencies, homeless family shelters, public health departments, Child and Family Services, WIC offices and other appropriate primary referral source locations. The states central directory, Parent's Lets Unite for Kids, also disseminates information about Part C services and referral.

Baseline Data for FFY 2004 (2004-2005):

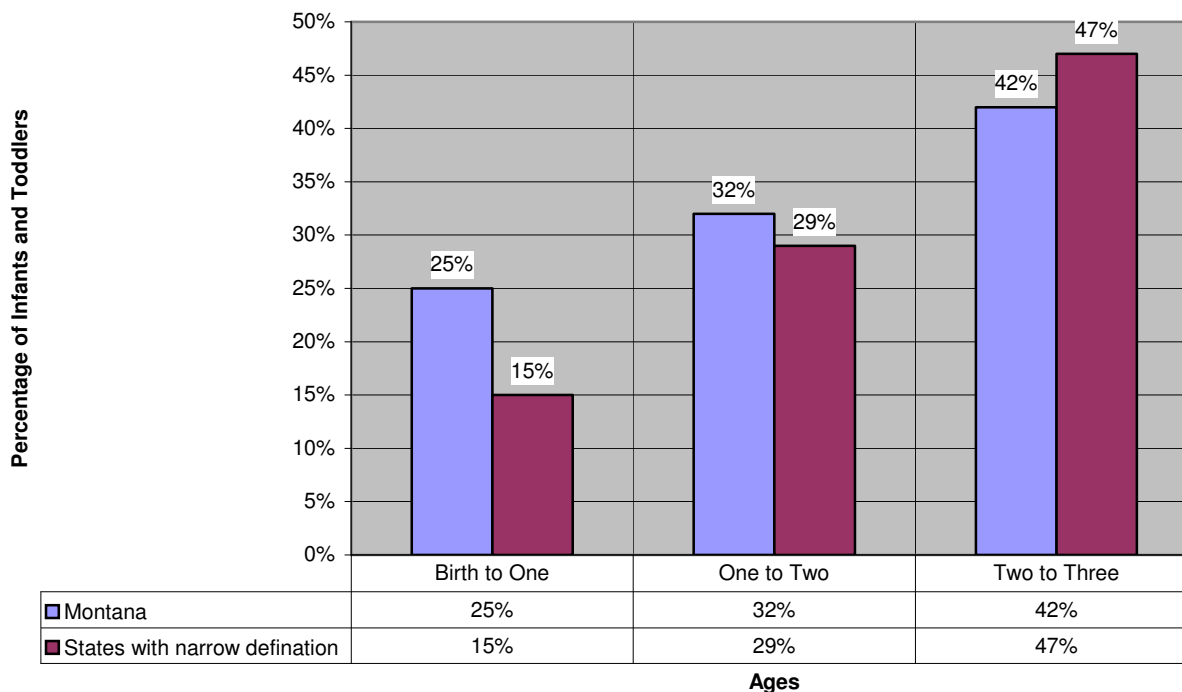
- (Measurement a.1, New Narrow Eligibility Criteria data from Table 8-3c.) Montana's December 1, 2004 child count data (618) indicates 2.13% of Montana's infants and toddlers are served compared to 1.8% for the other 16 states with the New Narrow Eligibility Criteria.
- (Measurement a.2, Old Narrow Eligibility Criteria data from Table 8-3a) Montana's December 1, 2004, child count data (618) indicates 2.13% of Montana's infants and toddlers are served compared to 1.8% for the other 8 states with the Old Narrow Eligibility Criteria.
- (Measurement b, National data, Table 8-3a) Montana's December 1, 2004, child count data (618) indicates 2.13% of Montana's infants and toddlers are served compared to 2.30% for the national average of all states, including states serving "at risk" infants and toddlers.

Discussion of Baseline Data:

Montana's public awareness and child find efforts are very successful in identifying and serving infants and toddlers in Part C. Montana serves 2.13% of all infants and toddlers in the state which is a higher rate than the average percent served for states with a narrow eligibility criteria like Montana (compared to both the old and new list of states). However, Montana will continue to thoroughly evaluate public awareness and child find activities and data to ensure that Part C is identifying infants and toddlers who might be eligible for Part C as soon as possible. Further, child count data indicate that infants and toddlers receiving Part C services are demographically and ethnically representative of Montana. Approximately 8% of Montana's population is Native American, which is the largest non-white population. Native American infants and toddlers make up nearly 21% of the children in Part C.

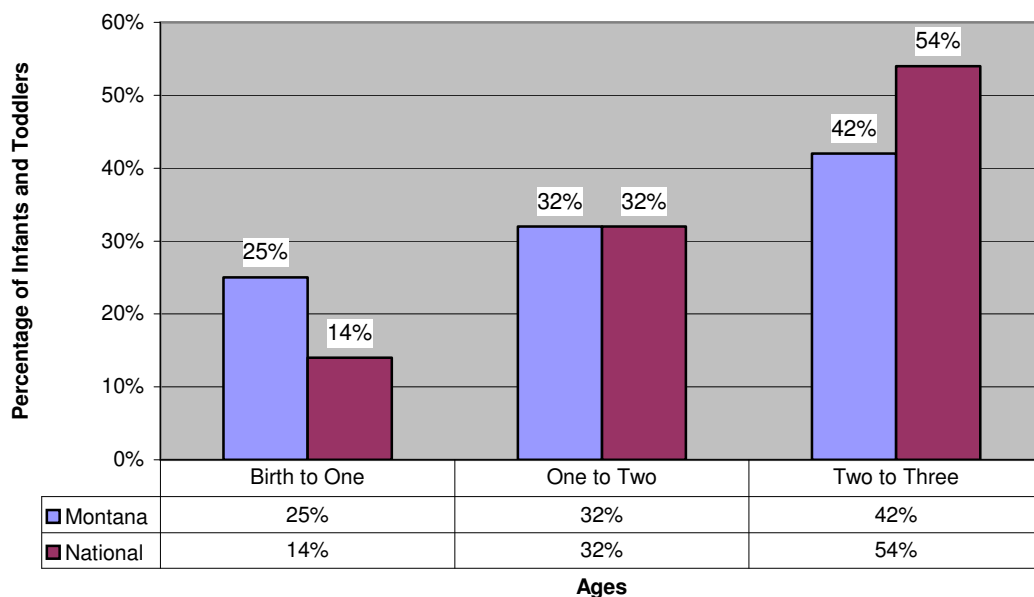
Additional Child Count Data and Comparisons:

Percentage of Infants and Toddlers (0-3) Served by Age in Montana Compared to the New Narrow Definition States on December 1, 2004 Child Count (source WESTAT website, Table AH1)



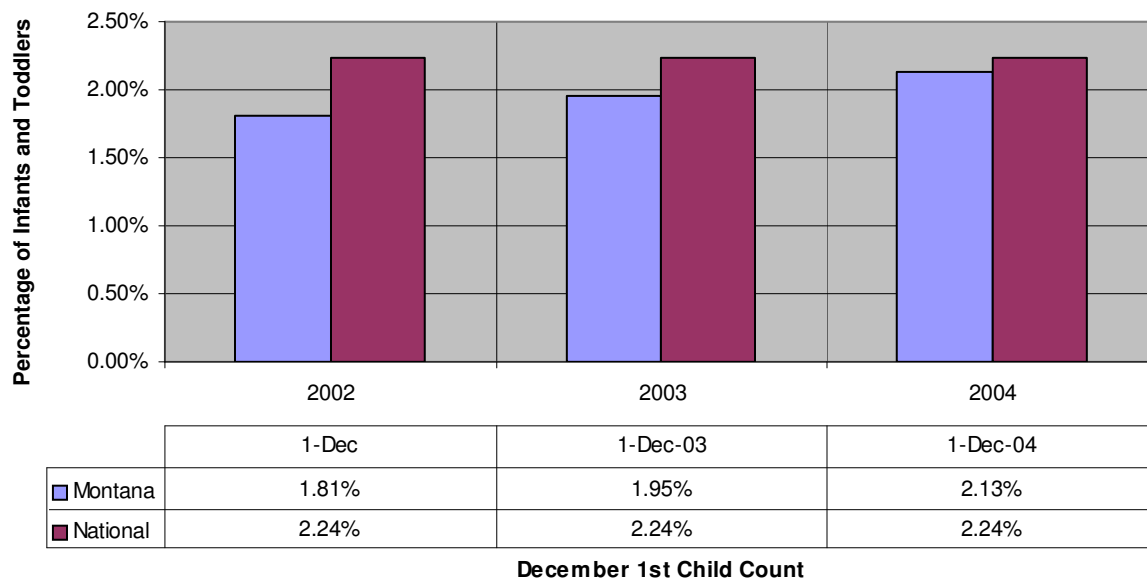
- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 4,916 infants (0-1) of 34,002 children in Part C. Infants, with the new narrow eligibility criteria definition, represent 15% of all children served in Part C early intervention with this definition.
- On December 1, 2004 Child Count, Montana reported 220 children (1 -2) of 677 total infants and toddlers in Part C. This age range represents 32% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 9,826 children (1-2) of 34,002 children in Part C. Toddlers (1-2), with the new narrow eligibility criteria definition, represent 29% of all children served in Part C early intervention with this definition.
- On December 1, 2004 Child Count, Montana reported 287 children (2-3) of 677 total infants and toddlers in Part C. This age range represents 42% of all children being served in Part C early intervention in Montana. States with the new narrow definition reported by OSEP had a total of 16,107 children (2-3) of 34,002 children in Part C. Toddlers (2-3), with the new narrow eligibility criteria definition, represent 47% of all children served in Part C early intervention with this definition.

Percentage of Infants and Toddlers (0-3) Served By Age in Montana Compared to National Data on December 1, 2004 Child Count (source WESTAT website, Table AH1)



- On December 1, 2004 Child Count, Montana reported 170 infants (birth to one) of 677 total infants and toddlers in Part C. Infants represent 25% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 39,021 (birth to one) of a total of 269,596 children in Part C in the United States, which represents 14% of all children served in Part C early intervention.
- On December 1, 2004 Child Count, Montana reported 220 children (one to two) of 677 total infants and toddlers in Part C. Children in this age range represent 32% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 85,218 (one to two) of a total of 269,596 children in Part C in the United States, which represents 32% of all children served in Part C early intervention.
- On December 1, 2004 Child Count, Montana reported 287 children (two to three) of 677 total infants and toddlers in Part C. Children in this age range represent 42% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 145,357 (two to three) of a total of 269,596 children in Part C in the United States, which represents 54% of all children served in Part C early intervention.

**Total Percentage of Infants and Toddlers (0-3) Receiving Early Intervention Services in
2002, 2003, and 2004**



- On December 1, 2002, Montana served 1.81% of all infants/toddlers in the state as compared to the National average of 2.24%.
- On December 1, 2003, Montana Served 1.95% of all infants/toddlers in the state as compared to the National average of 2.24%.
- On December 1, 2004, Montana served 2.13% of all infants/toddlers in the state as compared to the National average of 2.24%.
- Between December 2002-2004, Montana had an increase of .32% of the total infants and toddlers receiving, Part C early intervention services.
- On December 1, 2004 Child Count, Montana served 186 (27%) of the 677 children served in Part C, are non-white.
- On December 1, 2004 Child Count, Montana served 144 (21%) of the 677 children served in Part C, are American Indian or Alaska Native.
- That is well over the percentage of those children in the general population. Thus, our public awareness and child find activities are reaching out to all Montana's population groups. Further, county-by-county data indicates that we are reaching the most rural areas of Montana.

SPP Template – Part C (3)

Montana
State

For the FY 2004-2005 the seven regional Part C agencies coordinated with and /or jointly conducted child find events with the following programs listed in the table below.

Number of Collaborative Child Find Events by Type of Program and FFY Year

Programs	Number of Child Find Events - FFY 2004-2005	Number of Child Find Events - FFY 2003-2004
Schools	164	195
Head Start/Early Head Start	20	28
Public Health	28	35
EPSDT	4	2
Other- clinics, daycares, and private schools	58	40

For the FY 2004-2005, the total number of referrals that the seven Part C agencies received from primary referral sources is listed in the table below.

Number and Percent of Total Referrals by Program to FFY Year

Primary Referral Sources	Number of Infants/Toddlers Referred	% by Referral Source FFY 2004-2005	% by Referral Source FFY 2003-2004
Hospitals	171	14%	13%
Physicians	221	18%	16%
Parents	262	22%	23%
Daycare Providers	17	1%	2%
Schools	85	7%	6%
Public Health	64	5%	8%
Child Protective Service	127	11%	7%
Other Social Services	70	6%	5%
Other Health Care Providers	65	5%	10%
Other	121	10%	10%

- Montana had an increase in five of the primary referral source.

SPP Template – Part C (3)

Montana
State

- Child Protective Service referrals increased as Part C/CAPTA referral procedures were improved.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Part C in Montana will serve 2.13% of all infants and toddlers in the state.
2006 (2006-2007)	Part C in Montana will serve 2.13% of all infants and toddlers in the state.
2007 (2007-2008)	Part C in Montana will serve 2.26% of all infants and toddlers in the state.
2008 (2008-2009)	Part C in Montana will serve 2.26% of all infants and toddlers in the state.
2009 (2009-2010)	Part C in Montana will serve 2.26% of all infants and toddlers in the state.
2010 (2010-2011)	Part C in Montana will serve 2.39% of all infants and toddlers in the state.

Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Annually review child find data by state and regional Part C agency to determine steps needed to improve child find efforts.	(1) January 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
2. Annually review, revise (when needed), develop and disseminate child find materials and activities.	(1) January 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

	(4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	
3. Disseminate child find materials and conduct public awareness activities with targeted medical staff in clinics and hospitals on an ongoing basis and analyze impact and revise strategies and materials biannually.	(1) July 1, 2006- June 30, 2008 (2) July 1, 2008- June 30, 2010 (3) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
4. Montana will review the TRACE recommendations that are relevant to the state's child find system and determine how to implement the ones that fit the child find system and state demographics.	July 1, 2006 to June 30, 2009	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
5. Annually review to ensure linkage of Part C early intervention to other local early childhood health and human services with specific emphasis on programs and providers serving infants.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
6. Review annually and enhance linkages of Part C early intervention with the Department of Public Health and Human Services statewide programs for infants, toddlers and young children.	(1) March 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
7. Review and update annually, Montana's Central Directory concerning Part C Services.	(1) July 1, 2005- June 30, 2006 (2) July 1, 2006 – June 30, 2007 (3) July 1, 2007 – June 30, 2008	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

	(4) July 1, 2008 – June 30, 2009	
	(5) July 1, 2009 – June 30, 2010	
	(6) July 1, 2010 – June 30, 2011	

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

Percent = # of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

Overview of Issue/Description of System or Process:

Brief Overview of Part C Services in Montana. See Indicator 1 for an introduction of how Part C services are provided in Montana and the role of the Family Support Specialist (FSS) in the planning and implementation of services.

Policies and Procedures Promote the Completion of Evaluations, Assessments and Development of IFSPs Within the 45-Day Timeline.

- Montana's policies and procedures require that eligibility evaluations, eligibility determination processes, assignment of a FSS, and development of the initial IFSP be completed within 45 days of referral to a regional Part C agency. For children with an established condition that makes the child eligible for Part C services, their eligibility can be determined and documented in a very short time (e.g., one week or less). For children requiring a multidisciplinary evaluation, the eligibility determination process will usually take longer, unless evaluations were completed prior to referral (e.g., evaluation completed as result of a screening process). However, Part C agencies provide timely evaluations for eligibility. During this process, the Intake Specialist will cover Part C services, procedural safeguards/rights, etc. and start to gather information that is not only needed for determining eligibility but may be used in IFSP planning. An FSS is immediately assigned to a child and family after eligibility is determined and the FSS immediately schedules a home visit with the family. The FSS follows the families lead in determining their priorities for their child and family, and with the family determines what other assessments may need to be completed and information gathered to develop the IFSP. Further, they determine with the family who should be involved with the development of their IFSP.
- If for any reason the 45-day time frame is not or will not be met, there must be documentation in the child and family's record, to include reasons for the extension, and initiation of the development of an interim IFSP. The timeline is monitored within the provider agencies and validated through the monitoring system of general supervision described for Indicator 9.

- Montana requires every FSS to complete certification, which includes competencies for the gathering of family information, conducting child evaluations and assessments, the development of IFSPs, and skills necessary to promote collaboration and teaming.
- FSSs and other appropriate Part C providers receive training in the areas of family information, conducting child evaluations and assessments, the development of IFSPs, and skills necessary to promote collaboration and teaming, as well as other necessary early intervention practices.

Part C General Supervision/Monitoring and Data Reporting: Please refer to Indicator 9 for a description of Montana's Part C general supervision and monitoring of Part C services as well as the plan for reporting the state APR and APR's for each of the seven regional Part C agencies.

Baseline Data for FFY 2004 (2004-2005):

100% ($35/35 = 1 \times 100 = 100\%$) of eligible infants and toddlers with IFSPs had evaluation and assessment completed and an initial IFSP meeting within Part C's 45-day timeline.

Discussion of Baseline Data:

Data from State monitoring (See Indicator 9 for description of monitoring):

- The 45-day timeline is monitored within the provider agencies and validated in the Montana's Comprehensive Evaluation Process for Family Education and Support Services. The Quality Improvement Specialist monitors the agencies to assure that timelines are being met. All seven regional Part C agencies were monitored for the FY 2004-2005.
- Each of the seven regional Part C agencies were monitored for the FY 2004-2005. The DPP conducted a file review of 5 randomly selected children and families with IFSPs in Part C services for each agency. A total of 35 files were reviewed during the monitoring process.
- The monitoring indicated evaluations and assessments were completed and an IFSP written within 45 days for each of the 35 files reviewed (100%).
- This data follows the trend of past APR data for this indicator.

Other Data Related to Timely Provision of Part C Services:

- Data collected through this process indicates that child and family needs are identified within 45 days of entering into services.
- Data collected continues to ensure that evaluations and assessments, both for children who are found eligible for Part C and those who are found ineligible, are individualized and multidimensional.
- Thirty-five out of thirty-five (100%) IFSPs reviewed are consistent with each of the requirement. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed showed that families were the primary decision makers. (FY 2002-2003, 100%, 2003-2004, 100%)

FFY	Measurable and Rigorous Target
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SPP Template – Part C (3)

Montana
State

2005 (2005-2006)	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.
2006 (2006-2007)	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.
2007 (2007-2008)	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.
2008 (2008-2009)	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.
2009 (2009-2010)	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.
2010 (2010-2011)	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.

Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.	January 1, 2005 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
2. Provide initial and annual training for the Quality Improvement Specialists.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

	30,2010 (5)July 1, 2010- June 30,2011	
3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
4. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 7.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
5. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers.	January 1, 2006 to December 31, 2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
6. Biannually assess personnel development needs concerning early intervention practices associated with Indicator 7.	(1)January 1, 2006 – June 30, 2006 (2)July 1, 2007 - June 30, 2008 (3)July 1, 2010 – June 30, 2011	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

7. Provide training concerning early intervention practices associated with Indicator 7 identified in activity 6 (above)	(1) January 1, 2006 – June 20, 2006. (2) July 1, 2007 - June 30 2008 (3) July 1, 2010- June 30, 2011	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
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Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services
- B. Notification to LEA, if child potentially eligible for Part B: and
- C. Transition conference, if child potentially eligible for Part B.

(20 USC 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by the # of children exiting Part C who were potentially eligible for Part B times 100.

Overview of Issue/Description of System or Process:

Brief Overview of Part C Services in Montana. See indicator 1 for an introduction of how Part C services are provided in Montana and the role of the Family Support Specialist (FSS) in the planning and implementation of services.

Montana has adopted policies and procedures in compliance with Part C statutes, rules and regulations to ensure a smooth transition for all children, including toddlers, in Part C services as they move into preschool, school and other appropriate services. Materials have been developed to explain all transition policies and procedures to all parties concerned, including materials developed specifically for parents and family members (**First Steps**) developed by Part C staff, Part 619/B staff and FSSAC in conjunction with Montana's Parent Training and Information Center, PLUK. The issue of transition is discussed with all new families enrolling in Part C services and at IFSP review and IFSP development meetings. Each regional Part C agency provides transition policies and procedures training to all new Family Support Specialist (FSS) and routine "refresher" training on transition to all FSSs, including the latest research based early intervention practices concerning transition. Further, transition policies, procedures and practices are one of the main competencies required for FSS certification and all FSSs must demonstrate competence in this area.

Interagency agreements with Part C and Part B.

- All seven regional Part C agencies have interagency agreements with the school districts in which they work with to comply with regulations regarding transition.
- At the State level there is an interagency agreement between the Office of Public Instruction (OPI) and the Developmental Disabilities Program (DPP), including Part C to 619 Part B services. Further collaboration with Part B is facilitated by the membership of

the Part B 619 Coordinator and a local Special Education Director on Montana's Family Support Service Advisory Council (FSSAC – Montana's ICC) and the FSSAC Chair is also a representative for Part C on OPI's Special Education Advisory Panel.

- DDP and OPI have a good working relationship. The Part C Coordinator and OPI's 619 Coordinator review each individual transition case that is brought to the State level by a parent, Part C provider or Local Education Agency.

Part C General Supervision/Monitoring and Data Reporting: Please refer to Indicator 9 for a description of Montana's Part C general supervision and monitoring of Part C services as well as the plan for reporting the state APR and APR's for each of the seven regional Part C agencies.

Baseline Data for FFY 2004 (2004-2005):

- 100% (35/35=1X100=100%) of children exiting Part C had an IFSP with transition steps and services.
- 89% (31/35=1X100=89%) of children exiting Part C and potentially eligible for Part B had notification sent to the LEA.
- 77% (27/35=1X100=77%) of children exiting Part C and potentially eligible for Part B had the transition conference occur.

Discussion of Baseline Data:

Baseline Data Collection:

As part of DDP's monitoring of Part C services, each of the seven Part C agencies, covering the whole state, were required to randomly select from all the Part C eligible children who were over the age of 24 months with IFSPs, 5 children/families representative of all Part C children/families in their particular region. The files were reviewed for each child/family selected to determine if (1) transition steps and services were on the IFSP, (2) Notification to LEA, if the child was potentially eligible for Part B, (3) and if a transition conference were held 90 days prior to 3rd birthday, if the child was potentially eligible for Part B. If the following steps did not occur, an explanation was provided. The seven regional Part C agencies reported the data to the Part C Coordinator who compiled the data for the SPP. Each of the Part C agencies will keep in their SPP record for this indicator, including the identification of the children/families randomly selected, so that the DDP during the annual monitoring visit will be able to verify the accuracy (reliability and validity) of the data reported.

Explanation of Baseline Data:

- Thirty-five out of thirty-five (100%) files reviewed, documented that the IFSPs had transition steps and services in place.
- Thirty-one out of thirty-five (89%) files reviewed documented that notification to the LEA was provided, if a child was potentially eligible for Part B.
 - One family did not want a referral to local LEA, but wanted a referral for Head Start.
 - Two children moved and release of information was signed and referral was made to a local Part C agency.
 - One child the family did not want to be referred.
- Twenty-seven out of thirty-five (77%) files reviewed documented that transition conferences were held if the child was potentially eligible for Part B.
 - Four families declined school services
 - One family the school rescheduled meeting.

- One family relocated to another state.
- Two children were not referred until they were 2 years, 10 months and 2 years, 9 months.

Other Data Related to Transition:

Other data gathered as part of Montana's general supervision and monitoring processes address factors dealing with transition. See Indicator 9 for a description of those processes. One monitoring activity is annually reviewing each regional Part C agency by DDP staff that includes reviewing a random sample of 5 files for children in Part C services using MONTANA'S COMPREHENSIVE EVALUATION PROCESS FOR FAMILY EDUCATION AND SUPPORT SERVICE TOOL. Results related to Indicator 8 for Fiscal Year (FY 2004-2005) include:

- Thirty-five out of thirty five (100%) files documented that the agency cooperated and coordinated with other community service agencies in meeting child and family needs.
- Thirty-five out of thirty-five (100%) files reviewed included documentation that let parents know that their child would be exited from Part C Services when they become three years of age.
- Seven out of seven agencies notified the regional office about changes in services to individual children on a client status sheet.
- Seven out of seven agencies where children were at transition age, there was documentation that the families were made aware of the differences and similarities between Part C and Part B services.
- Seven out of the seven agencies, which support smooth transitions for children and families to preschool services, had formal or informal interagency agreements in place with local education agencies.

The following data is from the monitoring of seven regional Part C agencies in FY 2002-2003 and FY 2003-2004. This data indicates a strong trend in providing transition services.

- Thirty-five files were reviewed and documentation was provided that IFSPs had transition steps and services. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five files were reviewed and documentation was provided that notification to LEA, was given if a child was potentially eligible for Part B. If the LEA was notified an explanation was provided. (FY 2002-2003, 91%, 2003-2004, 97%.)
 - The number of school districts being notified of children turning three dropped by 8%, because families were choosing not to notify the LEA.
- Thirty-five files were reviewed and documentation was provided that a transition conference was convened 90 days prior to the 3rd birthday, if a child was potentially eligible for Part B. (FY 2002-2003, 83%, 2003-2004, 77%.)
 - The number of transition conferences convened at least 90 days prior to the child's third birthday remains consistent. Explanations were provided on the various reasons why the conferences did not occur.
- Thirty-five files documented that the agency cooperated and coordinated with other community service agencies in meeting child and family needs (FY 2002-2003, 100%, 2003-2004, 100%.)
- Seven regional agencies notified the regional office about changes in services to individual children on a client status sheet. (FY 2002-2003, 100%, FY 2003-2004, 100%.)
- Seven regional agencies where children were at transition age, there was documentation that families were made aware of the differences and similarities between Part C and Part B services (FY 2002-2003, 100%, 2003-2004, 100%.)

Child Count Report (618). Montana relies on data collected for OSEP's Child Count Report (618) from each of the seven regional Part C agencies. Child Count Data for 2003-2004 is compared to the same data from previous Child Counts.

- Number and percentage of all children leaving Early Intervention Services **On or Before Their Third Birthday.**
 - 2001-2002
 - 149 (29%) of 521 children who left early intervention services demonstrated improved and sustained functional abilities at that time.
 - 2002-2003
 - 170 (30%) of 572 children who left early intervention services demonstrated improved and sustained functional abilities at that time.
 - 2003-2004
 - 198 (31%) of 636 children who left early intervention services demonstrated improved and sustained functional abilities at that time.

This data is included in the table below:

Infants and Toddlers Exiting Part C Services by Category for FFY 2002 - 2004

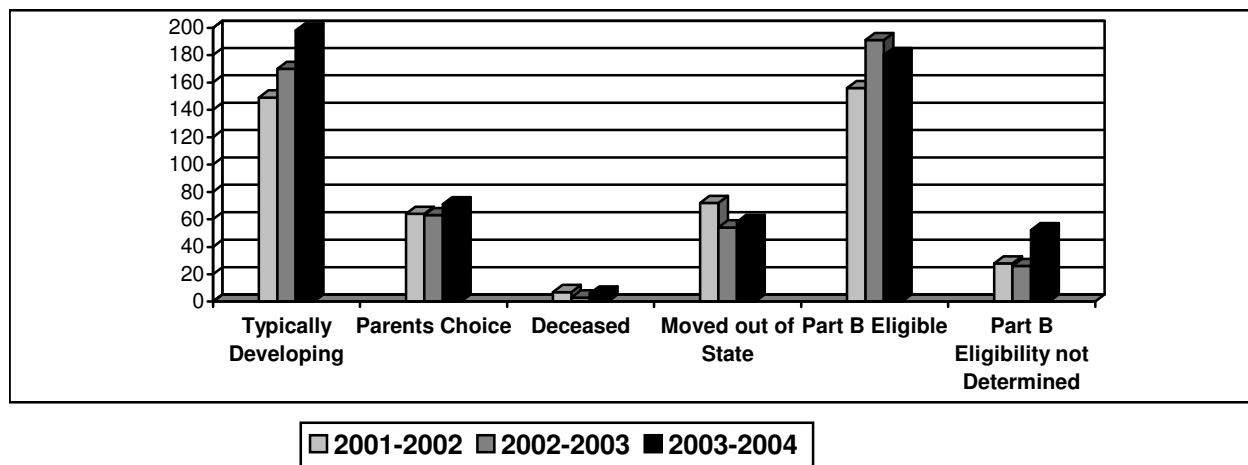


Table on the Number and Percentages of Infants and Toddlers Exiting Part C Services by Category for FFY 2002 -2004

Year	Typical Developing	Parent's Choice	Deceased	Moved	Part B Eligible	Part B Not Determined
2001-2002	149 (29%)	64 (12%)	7 (1%)	72 (14%)	156 (30%)	28 (5%)
2002-2003	170 (30%)	63 (11%)	3 (1%)	54 (9%)	191 (33%)	26 (5%)
2003-2004	198 (31%)	71 (11%)	6 (1%)	58 (9%)	180 (28%)	52 (8%)

FFY	Measurable and Rigorous Target
2005 (2005-2006)	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. 100% IFSPs with transition steps and services B. 100% Notification to LEA, if child potentially eligible for Part B: and C. 100% Transition conference occurred, if child potentially eligible for Part B.
	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. 100% IFSPs with transition steps and services B. 100%Notification to LEA, if child potentially eligible for Part B: and C. 100%Transition conference occurred, if child potentially eligible for Part B.
2007 (2007-2008)	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. 100% IFSPs with transition steps and services B. 100% Notification to LEA, if child potentially eligible for Part B: and C. 100% Transition conference occurred, if child potentially eligible for Part B.
2008 (2008-2009)	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <ul style="list-style-type: none"> A. 100% IFSPs with transition steps and services B. 100% Notification to LEA, if child potentially eligible for Part B: and C. 100% Transition conference occurred, if child potentially eligible for Part B.
2009 (2009-2010)	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p>

SPP Template – Part C (3)

Montana
State

	<p>A. 100% IFSPs with transition steps and services</p> <p>B. 100% Notification to LEA, if child potentially eligible for Part B: and</p> <p>C. 100%Transition conference occurred, if child potentially eligible for Part B.</p>
2010 (2010-2011)	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <p>A. 100% IFSPs with transition steps and services</p> <p>B. 100% Notification to LEA, if child potentially eligible for Part B: and</p> <p>C. 100%Transition conference occurred, if child potentially eligible for Part B.</p>

Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Review and revise Part C and Part B Interagency Agreements to ensure a seamless and timely transition for children and families eligible for Part B services.	July 1, 2005 to January 16, 2006	Part C Coordinator and Part B 619 Coordinator.
2. Review and revise Part C transition policies and procedures to ensure compliance to the IDEA 2004	January 1, 2006 to December 31, 2006	Part C Coordinator, Part B 619 Coordinator, FSSAC.
3. Review and revise transition resource materials for FSSs.	January 1, 2006 to December 31, 2006	Part C Coordinator, Part B 619 Coordinator, regional Part C agency staff, and FSSAC.
4. Review and revise the transition section of parent and family information resources, including the First Steps document.	January 1, 2006 to April, 2007	Part C Coordinator, PLUK, Part B 619 Coordinator, regional Part C agency staff, and FSSAC.
5. Work with the Part B Coordinator to provide technical assistance and/or training on transition with providers	<p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p>	Part C coordinator and Part B 619 Coordinator.

SPP Template – Part C (3)

Montana
State

of Part C and School Districts.	(3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	
6. Review and revise FSS competencies concerning transition to reflect IDEA 2004 and latest research based transition practices	January 1, 2006 to April 1, 2007	Part C Coordinator, regional Part C agency staff, and FSSAC.
7. General Enhancement Supervision Grant will assist Montana in identifying any additional transitional data that may improve documentation of transition of services and outcomes.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	Part C Coordinator, Regional Part C agency Managers and supervisors, and Part C technical assistance and trainers.
8. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.	January 1, 2006 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
9. Train DDP monitoring staff on changes made on Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.	January 1, 2006 to June 30, 2006	Part C Coordinator and DDP monitoring staff.
10. Based on annual transition data collected, provide training and technical assistance in areas that indicate possible transition problems.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010	Part C Coordinator, Part B 619 Coordinator, and regional Part C Agencies.

SPP Template – Part C (3)

Montana
State

	(5)July 1, 2010- June 30,2011	
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Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification:
- # of findings of noncompliance made related to priority areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.
- Percent = b divided by a times 100.
- For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.
- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification:
- # of findings of noncompliance made related to such areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.
- Percent = b divided by a times 100.
- For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.
- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification:
- # of EIS programs in which noncompliance was identified through other mechanisms.
 - # of findings of noncompliance made.
 - # of corrections completed as soon as possible but in no case later than one year from identification.
- Percent = c divided by b times 100.
- For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

Overview of Issue/Description of System or Process:**Overview Of Montana's General Supervision Procedures:**

The Developmental Disabilities Program's (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) general supervision monitoring process for Part C services promotes quality assurance and compliance to Part C requirements. The DDP contracts with seven regional Part C Child and Family Service Providers agencies in Montana's five service regions. Each region has at least one Part C agency.

The State's systems for compliance are based on the analysis and utilization of data from all available sources, including the following:

Montana's Comprehensive Evaluation Process for Family Education and Support

Services: Montana has developed *one* comprehensive monitoring system for all DDP children's services, including Part C services, utilizing *Montana's Comprehensive Evaluation Process for Family Education and Support Services*. Each of Montana's Child and Family Service Provider (Regional Part C agencies) agencies providing Part C services under go annual and ongoing monitoring by the DDP Quality Improvement Specialists (QIS) utilizing this tool. The QIS are specifically trained in this monitoring process and receive strong technical assistance and support from the Part C Coordinator in the implementation of this process. The QIS work out of DDP offices those are located in each of the five DDP service regions that cover the State of Montana.

The QIS in each region are responsible for gathering and reviewing quality assurance information for this process, including: 1) parent/consumer surveys, 2) desk reviews of administrative and compliance documents, 3) Part C file reviews of individual children and families, and 4) home visits with families who are being served in Part C. During home visits with Part C families, the QIS will ask questions that pertain to the services they are receiving and will follow-up with additional open-ended questions to be able to gain more of an understanding of the information provided by family members. The summary report and, when necessary, the development and implementation of a "Part C Enhancement Plan" that addresses corrective action of compliance issues represent the final product of the process with an individual regional Part C agency. If there are questions concerning compliance issues, the DDP and QIS will continue to monitor the corrective action through completion of the Part C Enhanced Plan.

This monitoring tool guides a comprehensive evaluation process, which includes the following steps:

- Continuous gathering and ongoing review of agency quality assurance documentation;
- Ongoing monitoring of direct services accomplished through Quality Improvement Specialists visits with families;
- Immediate follow up when the information or situation warrants quick actions;
- An annual desk review of all complied information;
- A periodic on-site file review to gather information which may still be needed (i.e., data and information that are not adequately documented by other quality assurance measures); and
- The creation of an annual summary report document, which synthesizes all quality assurance information regarding the agency. This document will focus on areas of accomplishment and exemplary practice that the agency exhibits and on areas where the agency and Developmental Disabilities Program will work together to improve services.
- The development of a Part C Enhancement Plan, concerning corrective action of compliance and improvement issues. This is the final product of the process using this monitoring tool with an individual regional Part C agency and will be monitored by DDP to ensure the plan is completed appropriately. If there are further questions concerning compliance issues, the Developmental Disabilities Program and Quality Improvement Specialist will continue to monitor the corrective action through completion of the Part C Enhanced Plan.

This tool and process has been reviewed by OSEP in the past. In addition, the tool was revised in November of 2003, and will continue to be modified to make sure Montana is gathering appropriate planning and monitoring data and information about Part C Services. The tool will be updated to reflect the 2004 IDEA Part C statutes and rules and regulations (when finalized) as well as data needed for the other SPP Indicators. The tool in the monitoring process will be updated to reflect the data needed for the APR and to verify the data provided by the regional Part C agencies, concerning the APR for all indicators.

The evaluation process was developed as a means of ensuring quality assurance, and monitoring contract compliance concerning Federal and State service programs provided by Child Family Service Provider agencies across Montana.

Additional Procedures and Data Sources Used For General Supervision and Monitoring of Part C services:

- **Montana Part C Annual Performance Report Data Sheet:** is used on a quarterly basis to gather information on all children who have received Part C services and had a completed IFSP or exited services during the reporting period.
- **Montana's Qualified Provider Standards:** are to assure the provision of high quality, comprehensive, family-centered services in the disability system for Montana's children and families. The process is three-tiered, involving 1) completion of the application process, 2) initial qualification, and 3) ongoing monitoring of a qualified provider agency.
- **Agency Certification Process:** The regional Part C agencies in the past were required to hold and maintain National Accreditation from either the Accreditation Council on Services for People with Disabilities (AC) or the Rehabilitation Accreditation Council (CARF). All seven regional Part C agencies were accredited during this reporting period.
- **Family Support Specialist Certification Process:** All personnel working in Montana's early intervention system are required to attain full second level Certification for Family Support Specialist (FSS) within their first three years of employment. This process ensures that FSSs fully understand and can provide services according to Part C requirements.
- **Complaint Resolution System:** Parents who express concerns related to compliance are informed and supported to exercise options for resolution either by Impartial Due Process Procedure/Mediation for Resolving Individual Child Complaints or by Procedures for Resolving Formal Complaints.
- **Parent/Consumer Surveys:** DDP and regional Part C agencies conduct routine family/consumer satisfaction surveys with all Part C families. The surveys address service issues concerning the service components of Part C (e.g., evaluation/assessment, IFSP, specific services, procedural safeguards, due process/mediation).

Part C System Improvement Comprehensive Strategic Plan: The DDP, FSSAC and regional Part C agencies have established a Part C System Improvement Comprehensive Strategic Plan to direct improvement outcomes, objectives and activities across all facets of Part C services, including the activities listed for each Indicator in the SPP. The plan is routinely updated for the status of each outcome and objective, to make any additions or changes, and it is reviewed for input with the FSSAC, DDP and regional Part C agencies. The Part C Coordinator and Part C Work Group with designated partners implement the objectives and activities for each outcome. This plan ensures that the various improvement strategies are coordinated and systemically tracked.

Baseline Data for FFY 2004 (2004-2005):

For the FFY 2004 reporting period, Montana did not have any noncompliance issues concerning:

- A. Noncompliance related to monitoring priority areas and indicators;
- B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; or
- C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).

Discussion of Baseline Data:**Data Related to Indicator 9:****Informal Complaints.**

Each of the seven regional Part C agencies documented informal complaint(s) that they received for the FY 2004-2005. All seven regional Part C agencies did not receive a formal complaint. They

SPP Template – Part C (3)

Montana
State

documented how each informal complaint was resolved, by whom, how many days did it take to resolve the issue, and if there was documentation that the family was informed about mediation and due process.

Agencies	Number of Informal Complaints	Who Handled the complaints	Days in which it got resolved	Reasons	Documentation
Agency 1	2	Family Support Specialist Supervisor	3 days	Complaint about FSS, Resolved by switching staff	Yes, for both
Agency 2	2	Family Support Specialist	Less then 10 days		Yes, for both
Agency 3	3	Family Support Specialist Supervisor,	1 day, 5 days 8 days	Change of FSS Complaint about validity of the child's assessment summary. FSS over stepping their job duties.	Yes
Agency 4	0	NA	NA	NA	NA
Agency 5	0	NA	NA	NA	NA
Agency 6	3	Middle Management	Between 9 to 16 days	Change of FSSs	Yes, all three
Agency 7	2	Family Support Specialist Supervisor	Within 7 days Unable to make contact	Change of FSS Family choose to leave services when FSS was going to change	Yes

At the State level there were two informal complaints for the FFY 2004 that were addressed by the Part C Coordinator. Both individuals were informed of their rights for mediation and due process. Each of the families chose to settle the complaint at the agency level.

Further discussion of Montana's General Supervision System, the following is a brief outline of the monitoring and compliances procedures:

Montana's Monitoring Policies and Procedures.

Each of the seven regional part C agencies is monitored on an annual bases using MONTANA'S COMPREHENSIVE EVALUATION PROCESS FOR FAMILY EDUCATION AND SUPPORT SERVICES TOOL. For the FY 2004-2005, all seven of the Part C agencies were found to be in compliance with priority areas for this monitoring period. If an agency were found to be in non-compliance in an area the following steps would occur.

- The DDP provides a written summary of all findings and recommendations to each provider. In the event the review indicates contractor noncompliance with the terms and conditions of the contract (including all requirements under Part C) the contractor must submit, within thirty (30) calendar days of receipt, a written improvement plan detailing actions and timelines for correcting the deficiencies. The DDP must respond in writing

within fifteen (15) days of receipt of such plan, accepting or suggesting modifications to the plan and establishing a data to evaluate progress of the plan.

- Generally, if a review reveals a systemic/pervasive deficiency, it is necessary to investigate further to confirm the finding. This will usually mean expanding the sample size to determine whether the identified issues apply beyond the original sample. It is not necessary that there be many issues identified at a provider organization for a Corrective Action Plan to be requested. It is not necessary that a Quality Assurance Review be in progress for a Quality Improvement Specialist to submit a Quality Assurance Observation Sheet that requires a Corrective Action Plan.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FFY 2004:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

DDP Procedures for Identifying Noncompliance through Complaints:

If DDP discovered any noncompliance issues during the following procedures for resolving complaints, due process or mediation, the issue would be addressed through the procedures outlined for Montana's Comprehensive Evaluation Process for Family Education and Support.

Lead Agency Procedures for Resolving Formal Complaints.

Montana did not have a Formal Complaint for the FFY 2004, but if a Formal Complaint would have occurred the following process would have occurred:

The DDP has adopted written procedures for receiving and resolving any written complaint that any public agency or private service provider who receives Part C funds is violating a requirement of Part C. Parents are informed regarding these system complaint procedures at least annually at the time when IFSPs are routinely evaluated and rewritten. Those procedures include:

- A time limit of 60-days after the complaint is filed to carry out an independent on-site investigation, if the lead agency determines such an investigation is necessary, and to resolve the complaint. There may be an extension of this 60-day time limit only if exceptional circumstances exist with respect to a particular complaint.
- Give the complainant the opportunity to submit additional information, either orally or in writing, about allegations in the complaint.
- Review all relevant information and make an independent determination whether the public or private agency is violating a requirement of Part C of IDEA, a provision of the current State Plan for implementation of Part C of IDEA, or the regulations.
- Issue a written decision to the complainant that addresses each allegation in the complaint and contains:
 - Findings or fact and conclusions.

- The reasons for the lead agency's final decision;
- Establish procedures for effective implementation of the lead agency's final decision, if needed, to include:
 - Technical assistance activities, which enable the public or private agency to comply with the lead agency's decision.
 - Negotiations between the parties to the complaint, which lead to mutually satisfactory resolution of the complaint.
 - Correction actions by the lead agency to ensure that the public or private agency achieves compliance with the decision.
- In resolving a complaint in which it finds a failure to provide appropriate services, a lead agency, pursuant to its general supervisory authority under Part C of IDEA, must address:
 - How to remedy the denial of those services, including, as appropriate, the awarding of monetary reimbursement or other corrective action appropriate to the needs of the child and the child's family.
 - Appropriate future provision of services for all infants and toddlers with disabilities and their families.

Montana's Impartial Procedures for Resolving Individual Child Complaints (Due Process).

Montana did not have any requests for impartial procedures (due process) in FFY 2004, but if there was a request for due process this is how it would proceed:

- The Department of Public Health and Human Services (DPHHS) Director is responsible for implementing written, impartial administrative procedures for complaints by any party concerning any matters.
- The Director of DPHHS will appoint an impartial person who is not an employee of any agency or other entity involved in the provision of early intervention services or the care of the child, to implement the part C impartial procedures for mediation and/or complaint resolution.
- DDP maintains a list of individuals who are qualified mediators and knowledgeable in laws and regulations relating to the provisions of Part C early intervention services, and who meet the qualifications.
- The state shall bear the cost of any mediation process, including the cost of meetings associated with the process of mediation.
- Any party aggrieved by the findings and decision regarding a Part C administrative complaint may appeal to a district court or may bring a civil action under 20 U.S.C 1480[1], section 680 [1] of the Act 9303.424).

Montana's Mediation Process in Early Intervention.

Montana did not have any requests for mediation in FFY 2004, but if there was a request for mediation this is how it would proceed:

- Requesting Mediation
 - A request for mediation may occur when parents and an agency reach an impasse after having made good faith efforts to resolve their differences. A request for mediation will most likely occur when parties are unable or unwilling to modify their positions without outside assistance. The parents or the agency or both may request mediation.

- Mediation may occur on any issue considered appropriate for due process. Mediation may occur prior to or concurrent with a request for due process. However, it may not, in any way, interfere with the right to due process. Mediation is not a necessary step prior to due process. It is an optional alternative.
- Agreeing to Mediate
 - Unless both parties agree to mediation as a way to resolve their disagreement, a mediation session cannot be scheduled. Once the parents and the agency agree to mediation, the parent completes the Agreement to Mediate form. The agency completes the Mediation Agreement Request Form, and sends both documents to the Developmental Disabilities Program (DDP). The DDP makes arrangements for the mediation to occur.
- Appointment of a Mediator
 - The Developmental Disabilities Program will submit to the parties involved a list of three potential mediators with a brief biography for each. The parties will have five days to reach an agreement on one of the mediators. If the parties cannot come to an agreement, the DDP Director will appoint a mediator in the matter.
- Mediation Timeline
 - Once appointed, the mediator will contact the parties to set the date, time and location for the mediation session and determine who will participate in the session. At that time, the mediator will answer any questions about the process and may request additional information from the parties. The mediation date should be as soon as possible, but no later than 30 days from the date of the request for mediation.
- Mediators
 - Individuals selected to serve as mediators have successfully completed a training program specifically designed for mediators. The Developmental Disabilities Program maintains a list of qualified mediators.
 - Mediators are selected on the basis of these qualifications:
 - Neutrality, both real and perceived;
 - Knowledge of early intervention;
 - Knowledge of the process of mediation; and
 - Appropriate personal communication skills.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <p>A. Noncompliance related to monitoring priority arrears and indicators;</p> <p>B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and</p> <p>C. Noncompliance identified through other mechanisms (complaints, due process</p>

SPP Template – Part C (3)

Montana
State

	hearings, mediations, etc.).
2006 (2006-2007)	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).
2007 (2007-2008)	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).
2008 (2008-2009)	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).
2009 (2009-2010)	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).

SPP Template – Part C (3)

Montana
State

<p>2010 (2010-2011)</p>	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ul style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).
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Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
<p>1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.</p>	<p>January 1, 2006 to June 30, 2006</p>	<p>FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.</p>
<p>2. Provide initial and annual training for the Quality Improvement Specialists.</p>	<p>(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011</p>	<p>FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.</p>
<p>3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.</p>	<p>(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011</p>	<p>FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.</p>

SPP Template – Part C (3)

Montana
State

4. To update the information that is located in our handbook called FIRST STEPS, which is provided by Parents' Lets Unite for Kids (PLUK).	July 1, 2005 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
5. Review, monitor and revise when necessary Montana's Part C Systems Improvement Comprehensive Strategic Plan.	July 1, 2005 to June 30, 2008	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

Part C State Performance Plan (SPP) for 2005-2010

Overview of the State Performance Plan Development:

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = (1.1(b) + 1.1(c)) divided by (1.1) times 100.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing and resolving complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Seven Regional Part C agencies:

- Each agency has an informal process in place to handle complaints from families.
- Each agency disseminates and explains complaint information to families during each IFSP development period.

Lead Agency Procedures for resolving Formal Complaints.

- The DDP has adopted written procedures for receiving and resolving any written complaint that any public agency or private service provider who receives Part C funds is violating a requirement of Part C. Parents are informed regarding these system complaint procedures at least annually at the time when IFSPs are routinely evaluated and rewritten. Those procedures include:
 - A time limit of 60 days after the complaint is filed to carry out an independent on-site investigation, if the lead agency determines such an investigation is necessary, and to resolve the complaint. There may be an extension of this 60-day time limit only if exceptional circumstances exist with respect to a particular complaint.
 - Give the complainant the opportunity to submit additional information, either orally or in writing, about allegations in the complaint.

SPP Template – Part C (3)

Montana
State

- Review all relevant information and make an independent determination whether the public or private agency is violating a requirement of Part C of IDEA, a provision of the current State Plan for implementation of Part C of IDEA, or the regulations.
- Issue a written decision to the complainant that addresses each allegation in the complaint and contains:
 - Findings of fact and conclusions.
 - The reasons for the lead agency's final decision;
- Establish procedures for effective implementation of the lead agency's final decision, if needed, to include:
 - Technical assistance activities, which enable the public or private agency to comply with the lead agency's decision.
 - Negotiations between the parties to the complaint, which lead to mutually satisfactory resolution of the complaint.
 - Correction actions by the lead agency to ensure that the public or private agency achieves compliance with the decision.
- In resolving a complaint in which it finds a failure to provide appropriate services, a lead agency, pursuant to its general supervisory authority under Part C of IDEA, must address:
 - How to remedy the denial of those services, including, as appropriate, the awarding of monetary reimbursement or other corrective action appropriate to the needs of the child and the child's family.
 - Appropriate future provision of services for all infants and toddlers with disabilities and their families.

Baseline Data for FFY 2004 (2004-2005):

For the FFY 2004 reporting period, Montana did not have any written complaints.

Discussion of Baseline Data:

Informal Complaints.

- Each of the seven regional Part C agencies documented formal or informal complaint that they received for the FY 2004-2005. All seven regional Part C agencies did not receive a formal complaint. They documented how each informal complaint was resolved, by whom, how many days did it take to resolve the issue, and if there was documentation that the family was informed about mediation and due process.

SPP Template – Part C (3)

Montana
State

Agencies	Number of Informal Complaints	Who Handled the complaints	Days in which it got resolved	Reasons	Documentation
Agency 1	2	Family Support Specialist Supervisor	3 days	Complaint about FSS, Resolved by switching staff	Yes, for both
Agency 2	2	Family Support Specialist	Less then 10 days		Yes, for both
Agency 3	3	Family Support Specialist Supervisor,	1 day, 5 days 8 days	Change of FSS Complaint about validity of the child's assessment summary. FSS over stepping their job duties.	Yes
Agency 4	0	NA	NA	NA	NA
Agency 5	0	NA	NA	NA	NA
Agency 6	3	Middle Management	Between 9 to 16 days	Change of FSSs	Yes, all three
Agency 7	2	Family Support Specialist Supervisor	Within 7 days Unable to make contact	Change of FSS Family chose to leave services when FSS was going to change	Yes

SPP Template – Part C (3)

Montana
State

- At the State level there were two informal complaints for the FY 2004-2005 that were addressed by the Part C Coordinator. Both individuals were informed of complaint procedures and their rights for mediation and due process. Each family chose to settle the complaint at the agency level.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FY 2004-2005:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure an/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2006 (2006-2007)	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2007 (2007-2008)	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2008 (2008-2009)	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2009 (2009-2010)	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.
2010 (2010-2011)	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

Improvement Activities/Timelines/Resources:

SPP Template – Part C (3)

Montana
State

Activities	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator (biannually).	(1) January 1, 2006- June 30, 2008 (2) July 1, 2008- June 30, 2010 (3) July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
2. Provide initial and annual training for the Quality Improvement Specialists.	(1) July 1, 2006- June 30, 2007 (2) July 1, 2007- June 30, 2008 (3) July 1, 2008- June 30, 2009 (4) July 1, 2009- June 30, 2010 (5) July 1, 2010- June 30, 2011	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
3. Provide biannual training on formal written complaint procedures for regional Part C agency directors and managers and PLUK staff.	(1) July 1, 2006 – June 30, 2007 (2) July 1, 2008 – June 30, 2009 (3) July 1, 2010 – June 30, 2011	Part C Coordinator, FSSAC, regional Part C agency directors and managers, PLUK staff
4. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	July 1, 2005 to June 30, 2011	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
5. To update the information that is located in our handbook called First Steps , which is provided by Parents' Lets Unite for Kids	July 1, 2005 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

SPP Template – Part C (3)

Montana
State

(PLUK).		
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Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = (3.2(a) + 3.2(b)) divided by (3.2) times 100.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing and resolving complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Lead Agency Impartial Procedures for Resolving Individual Child Complaints (Due Process).

Montana did not have any requests for impartial procedures (due process) in FFY 2004, but if there was a request for due process this is how it would proceed:

- The Department of Public Health and Human Services (DPHHS) Director is responsible for implementing written, impartial administrative procedures for complaints by any party concerning any matters.
- The Director of DPHHS will appoint an impartial person who is not an employee of any agency or other entity involved in the provision of early intervention services or the care of the child, to implement the part C impartial procedures for mediation and/or complaint resolution.
- DDP maintains a list of individuals who are qualified mediators and knowledgeable in laws and regulations relating to the provisions of Part C early intervention services, and who meet the qualifications.
- The state shall bear the cost of any mediation process, including the cost of meetings associated with the process of mediation.
- Any party aggrieved by the findings and decision regarding a Part C administrative complaint may appeal to a district court or may bring a civil action under 20 U.S.C 1480[1], section 680 [1] of the Act 9303.424).

Baseline Data for FFY 2004 (2004-2005):

For the FFY 2004-2005 reporting period Montana did not have any requests for due process.

Discussion of Baseline Data:

If there is a request for due process, DDP will follow the mediation policies and procedures listed above.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FY 2004-2005:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure an/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.
2006 (2006-2007)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.
2007 (2007-2008)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.
2008 (2008-2009)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.
2009 (2009-2010)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.
2010 (2010-2011)	100% of fully adjudicated due process hearing requests will be fully adjudicated within

SPP Template – Part C (3)

Montana
State

	the applicable timeline.
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Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator. (biannually)	(1)January 1, 2006- June 30, 2006 (2)July 1, 2008- June 30, 2010 (3)July 1, 2010- June 30, 2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
2. Provide initial and annual training for the Quality Improvement Specialists.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
3. Provide biannual training on due process and mediation for regional Part C agency directors and managers, and PLUK staff.	(1) July 1, 2006 – June 30, 2007 (2) July 1, 2008 – June 30, 2009 (3) July 1, 2010 – June 30, 2011	Part C Coordinator, FSSAC, regional Part C agency directors and managers PLUK staff
4. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	(1)July 1, 2006- June 30,2007 (2)July 1, 2007- June 30,2008 (3)July 1, 2008- June 30,2009 (4)July 1, 2009- June 30,2010 (5)July 1, 2010- June 30,2011	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
5. Recruit new and provide training for impartial	(1) July 1, 2006 – June 30, 2007	FSSAC, Part C Coordinator, DDP legal counsel, and Impartial

SPP Template – Part C (3)

Montana
State

persons for due process and mediation for child complaints once every three years or more frequently if necessary.	(2) July 1, 2009 – June 30, 2010	persons for due process and mediation.
6. To update the information that is located in our handbook called First Steps , which is provided by Parents' Lets Unite for Kids (PLUK).	July 1, 2005 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = 3.1(a) divided by (3.1) times 100.

Overview of Issue/Description of System or Process:

Montana Part C program has not adopted Montana's Part B due process system. Thus, the issues of resolution session agreements does not apply.

Baseline Data for FFY 2004 (2004-2005):**Discussion of Baseline Data:**

FFY	Measurable and Rigorous Target
2005 (2005-2006)	This process is not applicable for Montana.
2006 (2006-2007)	
2007 (2007-2008)	
2008 (2008-2009)	
2009 (2009-2010)	
2010	

SPP Template – Part C (3)

Montana
State

(2010-2011)	
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Improvement Activities/Timelines/Resources:

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = (2.1(a)(i) + 2.1(b)(i)) divided by (2.1) times 100.

Overview of Issue/Description of System or Process:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing and resolving complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Montana's Mediation Process in Early Intervention.

Montana did not have any requests for mediation in FFY 2004, but if there was a request for mediation this is how it would proceed:

- Requesting Mediation
 - Parent(s) can request mediation at any time if they have concerns regarding early intervention services (e.g. evaluation, placements, or other service issues).
 - A request for mediation may occur when parents and an agency reach an impasse after having made good faith efforts to resolve their differences. A request for mediation will most likely occur when parties are unable or unwilling to modify their positions without outside assistance. The parents or the agency or both may request mediation.
 - Mediation may occur on any issue considered appropriate for due process. Mediation may occur prior to or concurrent with a request for due process. However, it may not, in any way, interfere with the right to due process. Mediation is not a necessary step prior to due process. It is an optional alternative.
- Agreeing to Mediate
 - Unless both parties agree to mediation as a way to resolve their disagreement, a mediation session cannot be scheduled. Once the parents and the agency agree to mediation, the parent completes the Agreement to Mediate form. The agency completes the Mediation Agreement Request Form, and sends both documents to the Developmental Disabilities Program (DDP). The DDP makes arrangements for the mediation to occur.

- Appointment of a Mediator
 - The Developmental Disabilities Program will submit to the parties involved a list of three potential mediators with a brief biography for each. The parties will have five days to reach an agreement on one of the mediators. If the parties cannot come to an agreement, the DDP Director will appoint a mediator in the matter.
- Mediation Timeline
 - Once appointed, the mediator will contact the parties to set the date, time and location for the mediation session and determine who will participate in the session. At that time, the mediator will answer any questions about the process and may request additional information from the parties. The mediation date should be as soon as possible, but no later than 30 days from the date of the request for mediation.
- Mediators
 - Individuals selected to serve as mediators have successfully completed a training program specifically designed for mediators. The Developmental Disabilities Program maintains a list of qualified mediators.
 - Mediators are selected on the basis of these qualifications:
 - Neutrality, both real and perceived;
 - Knowledge of early intervention;
 - Knowledge of the process of mediation; and
 - Appropriate personal communication skills.

Baseline Data for FFY 2004 (2004-2005):

Montana did not report any mediations for the FFY 2004, which follows the trend.

Discussion of Baseline Data:

Montana will establish a baseline when 10 or more mediations are brought to the State. If there is a request for mediation, DDP will follow the mediation policies and procedures listed above.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FY 2004-2005:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure an/or procedure to appeal agency decisions. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (FY 2002-2003, 100%, 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (FY 2002-2003, 100%, FY 2003-2004, 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (FY 2002-2003, 100%, 2003-2004, 100%)

SPP Template – Part C (3)

Montana
State

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Montana will set targets when 10 or more requests for mediations have occurred.
2006 (2006-2007)	
2007 (2007-2008)	
2008 (2008-2009)	
2009 (2009-2010)	
2010 (2010-2011)	

Improvement Activities/Timelines/Resources:

Montana will establish improvement Activities/Timelines/Resources when 10 or more requests for mediations have occurred.

Part C State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Indicator 1 for a description of the State Performance Plan development.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring accuracy).

Overview of Issue/Description of System or Process:

Montana has policies, procedures, guidelines and a monitoring process to ensure that Part C data for OSEP's 618 report, State Performance Plan (SPP) and Annual Performance Report (APR) are completed in accordance to the OSEP due dates and that the data is accurate. DDP Part C staff, FSSAC members, and technical assistance providers have participated in OSEP conferences, meetings and technical assistance conference calls that have specifically addressed the 618 reports, SPP and APR. DDP has a Part C General Supervision Enhancement Grant Project, which addresses early childhood and family outcomes, and the general supervision (monitoring) concerning those outcomes. In addition, the DDP has collaborated with The University of Montana Rural Institute's OSEP funded Dynamic Community Connections Project, one of six directed child find demonstration projects which included addressing data for Indicators 5 and 6 and other service provision indicators. Data for these reports are submitted from each regional Part C agency.

The DDP has developed guidelines and instructions for the collection of data for the 618, SPP and past APR reports. Further, the DDP has provided technical assistance and follow-up with each regional Part C agency to ensure that the agencies are collecting the data appropriately in accordance to the guidelines and instructions. DDP's Quality Improvement Specialist (QIS) in each regional DDP office completes annual monitoring (see Indicator 9 for a description of monitoring) to ensure compliance to Part C of the IDEA and review of various data reports (including 618, SPP and APR data reports). The Part C Coordinator compiles and analyzes all the data for these reports. If there are any concerns about the data reported by an agency for any report, the part C Coordinator will discuss the issue with the agency data manager for the specific data and agency management staff, to clarify that the data was collected and reported accurately, and if not, corrections that need to be made to resolve the problem.

While Montana is a large state geographically, an advantage in collecting and monitoring data is that there are only 600 – 700 infants and toddlers receiving Part C services at a point in time; there are only 5 DDP service regions, there are only 7 regional Part C agencies, and most DDP staff associated with Part C services and regional Part C agency directors have been in their jobs for many years. For instance, since the beginning of the then Part H Infant and Toddler Program, only one regional Part C agency director was not their agency's director or in a supervisory position in their

SPP Template – Part C (3)

_____ Montana _____

State

agency. With fewer programs and staff involved in data collection and reporting for these reports, it is easier to provide training and technical assistance and monitor these activities in the regional Part C agencies. Further, even prior to Part C services, DDP required the routine reporting of detailed program data. Thus, there is a culture of collecting accurate data for the DDP's children and family services, including Part C.

Baseline Data for FFY 2004 (2004-2005):

100% compliance with reporting on time and accurately. State reported data for the 618 report and APR were both submitted:

- (a.) on time (618 report and APR), and
- (b.) with accurate data.

Discussion of Baseline Data:

According to the data collection, data analyses, and monitoring processes described in the Overview of Issue/Description of System or Process described above and the overall monitoring process described in that section for Indicator 9, the DDP developed the 618 and APR reports in a timely manner and submitted the reports to OSEP by the specific due dates. Further, the DDP reviewed and analyzed the data submitted to ensure accuracy of the data before completing the reports. Any data that might not appear accurate was addressed with the appropriate staff in the regional Part C agency and if needed, corrected.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100% compliance for reporting on time and accurately.
2006 (2006-2007)	100% compliance for reporting on time and accurately.
2007 (2007-2008)	100% compliance for reporting on time and accurately.
2008 (2008-2009)	100% compliance for reporting on time and accurately.
2009 (2009-2010)	100% compliance for reporting on time and accurately.
2010 (2010-2011)	100% compliance for reporting on time and accurately.

Improvement Activities/Timelines/Resources:

Activities	Timelines	Resources
1. Annually review DDP data collection instructions,	(1) July 1, 2006- June 30, 2007	Part C Coordinator, regional Part C agency managers and staff,

SPP Template – Part C (3)

Montana

State

procedures and monitoring activities for 618, SPP and APR reports to determine steps needed to improve data collection, analyses and verification efforts.	<p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p>	and DDP staff.
2. Annually provide a review, training, and/or technical assistance (for new DDP or regional Part C agency staff) concerning data requirements for the 618, SPP and APR reports	<p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p>	Part C Coordinator, regional Part C agency managers and staff, and DDP staff.
3. Annually participate in OSEP's training and technical assistance activities concerning the 618, SPP and APR reports, and make any necessary changes to DDP's policies procedures for data collection and monitoring. Incorporate changes in the training and technical assistance noted in Activity 2 above.	<p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p> <p>(4) July 1, 2009- June 30, 2010</p> <p>(5) July 1, 2010- June 30, 2011</p>	Part C Coordinator, regional Part C agency managers and staff, and DDP staff.
4. Prior to submission, annually review the 618, SPP and APR with the DDP, regional Part C agencies, and FSSAC to determine if changes, additions or corrections are needed and make such modifications and obtain FSSAC approval for the SPP/APR to be submitted.	<p>(1) November 1, 2006-February 1, 2007</p> <p>(2) November 1, 2007-February 1, 2008</p> <p>(3) November 1, 2008-February 1, 2009</p> <p>(4) November 1, 2009-February 1, 2010</p> <p>(5) November 1, 2010-February 1, 2011</p>	Part C Coordinator, FSSAC, regional Part C agency managers and staff, and DDP staff.
5. Annually, the DDP accurately complete 618 and SPP/APR reports and submit according to OSEP due dates.	<p>(1) July 1, 2006- June 30, 2007</p> <p>(2) July 1, 2007- June 30, 2008</p> <p>(3) July 1, 2008- June 30, 2009</p>	Part C Coordinator, FSSAC, regional Part C agency managers and staff, and DDP staff.

SPP Template – Part C (3)

_____ Montana _____
State

	(4) July 1, 2009- June 30, 2010	
	(5) July 1, 2010- June 30, 2011	

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)*

Overview of the Annual Performance Report Development:

Broad Stakeholder Input: Montana's lead agency, The Developmental Disabilities Program (DDP) of the Department of Health and Human Services engaged in a variety of activities to ensure broad stakeholder input.

- DDP staff was informed of the SPP/APR requirements, including stakeholder input.
- The Family Support Service Advisory Council (FSSAC-Montana's ICC) and seven regional Part C agency's staff were informed about the APR requirements and reviewed and discussed the data at quarterly meetings for the 2008 APR.
- The Part C workgroup, which includes representatives of the FSSAC, each regional Part C agencies, Part C Coordinator, DDP monitoring staff and a Part C technical assistant provider/Part C GSEG Director, addressed the SPP/APR indicators and Part C improvements.
- Reviewed and discussed the updates of the Montana's Developmental Disabilities Program Part C General Supervision and Data Manual needed for data collects for APR, Child Count,(618), and other steps involved with General Supervision of Part C services with the stakeholders listed above.
- The Part C Coordinator and Part C technical assistant provider/Part C GSEG Director reviewed the APR data, obtained clarifications concerning the data when needed, and developed the APR draft.
- The FSSAC chairperson provided assistance in reviewing and editing the APR to ensure that the information was clear and accurate on the 2008 APR.

Dissemination of Part C APR to the Public:

State APR:

- Announcement of the Part C 2008 APR and how to obtain a copy will be published in Montana's major newspapers.
- The Part C APR will be on the following websites: DDP/FSSAC; <http://www.dphhs.mt.gov/fssac>; Office of Public Instruction (OPI) Special Education; Parent's Lets Unit for Kids (MT Parents Training Information Program, PLUK); Montana Council on Developmental Disabilities; and regional Part C agencies.
- Other advisory councils and planning groups associated with young children and people with disabilities will be made aware of the Part C 2008 APR and how to obtain a copy.
- PLUK will disseminate the Part C 2008 APR through their weekly electronic newsletter, which is also available in print.
- Montana State Library will have copies available to send out to other state libraries throughout Montana. On their website they will have a link, so that it can be accessed through the web. They will also include it in their electronic database catalog.

Seven Regional Part C Agencies APR:

- Announcement of each of the seven regional Part C agency's 2008 APR and how to obtain a copy will be published in Montana's major newspapers.
- Each of the seven regional Part C agency's 2008 APR will be on the following websites: DDP/FSSAC: <http://www.dphhs.mt.gov/fssac>; Office of Public Instruction (OPI) Special

APR Template – Part C (4)

____ Montana ____
State

Education; Parent's Lets Unit for Kids (MT Parents Training Information Program); and regional Part C agency.

- Regional Part C 2008 APRs will be available to the following groups: members of the FSSAC, Special Education advisory Panel, Montana Council On Developmental Disabilities, board of Directors for the regional Part C agencies, and CSPD.
- Other advisory councils and planning groups associated with young children and people with disabilities will be made aware of the regional Part C agencies 2008 APRs and how to obtain a copy.
- PLUK will disseminate the availability of each of the seven regional Part C agencies' 2008 APR through their weekly electronic newsletter, which is also available in print.

Montana State Library will have copies available to send out to other state libraries throughout Montana. On their website they will have a link, so that it can be accessed through the web. They will also include it in their electronic database catalog.

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Monitoring Priority: Early Intervention Services in Natural Environments**

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services.

FFY	Measurable and Rigorous Target
FFY 2006	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

Actual Target Data for *(2006-2007):*

100%=(70/70*100)

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for *(2006-2007):***Explanation of Progress or Slippage:**

100% was the target set for the FFY 2006. The actual target data for FFY 2006 was 100%.

This data is based on survey and monitoring data from each of the seven regional Part C agencies (covering the whole state). Each agency reviewed five infant or toddler files concerning compliance to Montana's definition of timely provision of services (total of 35 files reviewed, 5for each agency) (see SPP). In addition, Part C monitoring, using Montana's Comprehensive Evaluation Process for Family Education and Support Tool for the FY 2006-2007, indicated seven out of the seven regional Part C agencies (covering the whole state) were found to be in compliance with this indicator (total of 35 files were reviewed, 5for each agency). A total of 70 files were reviewed by these two methods.

Data from Regional Part C agencies Survey and Reporting for the APR Information:

- Thirty-five out of thirty-five files randomly selected using the "Research Randomizer Program" indicated that infants and toddlers received Part C early intervention services within 30 days of parents signing IFSP.

Montana collected the actual target data using the process that was described in the SPP. Each of the seven regional Part C agencies (covering the whole state) were required to do a random sample of five files using the "Research Randomizer Program" from all the Part C eligible children with IFSP. The files were reviewed for each child/family selected to determine if services were provided according to the definition of timely services, and recorded: (1) if the Part C service(s) were provided in a timely manner,

and if not, (2) the number of days over the timely definition for each child if services scheduled were not provided within the 30 days after the IFSP was signed and (3) the reason the services were not provided (e.g. child was sick, winter weather prevented travel, service provider canceled, and exceptional family circumstances listed in Part C measurement Table- (12/31/09). The definition and procedures/instructions were developed by the Part C Coordinator and the Part C Work Group (with representatives from each of the regional Part C agencies) to ensure uniform implementation of the data collection. The seven regional Part C agencies reported the data to the Part C Coordinator who compiled the data for the APR. Each of the Part C agencies will keep in their APR record for this indicator, including the identification of the children/families randomly selected, so that the DDP during the annual monitoring visit will be able to verify the accuracy (reliability and validity) of data.

Data from Montana's Comprehensive Evaluation Tool Monitoring

As part of Montana's general supervision and monitoring process, a State Quality Improvement Specialist (QIS) in each of the seven regional Part C agencies (covering the whole state), reviews a random sample of five files for children in Part C services using the Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool. The Tool addresses factors that promote the timely delivery of early intervention services. This data is based on monitoring for the FY 2006-2007, seven out of the seven Part C agencies were found to be in compliance with this indicator for these items (total of 35 files reviewed, 5 from each agency).

- Thirty-five out of thirty-five (100%) files reviewed indicated that services were provided without delay and according to Part C requirements for children/family.
- Thirty-five out of thirty-five (100%) files reviewed showed that each agency provided coordination services for each eligible child and family review.
- Thirty-five out of thirty-five (100%) files documented that resources and support services identified on the IFSP are provided to each eligible child and family.
- Thirty-five out of thirty-five (100%) files reviewed that IFSPs are in place within 45-days of the referral date.
- Thirty-five out of thirty-five (100%) files reviewed documented contracts with families for the purposed of providing support coordination, direct services, or to provide supervision and consultation to subcontracted personnel.

Data from previous Monitoring

The data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool for the last four FY 2002-2003, 2003-2004, 2004-2005, and 2005-2006, demonstrated 100% compliance for the 5 questions that are used for monitoring timelines (Please see SPP for details)

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities from SPP FFY 2006	Timelines	Resources
1. Provide initial and annual training for the Quality Improvement Specialists.	July 1, 2006- June 30,2007	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana continues to provide initial and annual training for QISs to ensure that the seven regional Part C agencies are providing timely and quality services as stated on the IFSPs to infants and toddlers and their families. Montana wants to ensure that families are satisfied with the		

APR Template – Part C (4)

____ Montana ____
State

services that they are receiving and are aware of their rights.		
2. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop, implement and monitor an improvement Plan or Corrective Action.	July 1, 2006- June 30,2007	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Each of the seven regional Part C agencies was monitored for compliance in this area.		
3. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 1.	January 1, 2006- June 30,2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
Status: At both the Part C work group and regional Part C agencies meetings the requirements that FSSs receive training in all Part C components, including rules and regulations related to Indicator 1, was clearly articulated and implemented by the agencies. All seven regional Part C agencies were found to be in compliance.		
4. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers.	January 1, 2006 to December 31, 2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana continues to provide in-service training and resource in research-based practices for evaluation, assessments, and all aspects of IFSP planning and development. Regional CSPD's training events addressed providing in-service training and resources concerning research-based practices related to assessment and program implementation in community settings. Each of the seven regional Part C agencies also provides training in this area for their Part C staff.		

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007)

NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Early Intervention Services in Natural Environments**

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
FFY 2006	93% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.

Actual Target Data for *(2006-2007):*

92.8%=(630/679*100)

December 1, 2006, Child Count: 92.78% of infants and toddlers with IFSPs primarily received early intervention services in the home or programs for typically developing children.

- Home- 89.10%
- Program for typically developing children- 3.68%
- Other setting: 7.21%

Primary settings by age:

- 112 infants, zero to one (92%), received services in the home or program designed for typically developing children. $103/112*100=91.96\%$
- 228 toddlers age one to two (93%) received services in the home or program designed for typically developing children. $213/228*100= 93.42\%$
- 339 toddlers two to three (93%), received services in the home or program designed for typically developing children. $314/339*100= 92.62\%$

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for *(2006-2007):*

The target data that was set for FFY 2006 was 93%. Actual data for FFY 2006 was 92.8% of infants and toddlers receive services in the home or programs for typically developing children. Services provided

APR Template – Part C (4)

____ Montana ____
State

primarily in the home setting and programs designed for typically developing children have each increased 1% from the FY 2005. Other settings have decreased 4% from the FY 2005. The decrease in the number of those children being served in other settings may be due to the additional and specific training provided to the regional Part C agencies' data staff in completing the Child Count Data (618) forms on setting definitions. Montana will continue to provide additional training in completing the Child Count Data (618) forms in regards to setting definitions. When reviewing trend data from FY 1999-2005, it demonstrates that there have been fluctuations in providing early intervention services in the home and programs for typically developing children by a 1-3% difference from year to year.

Child Count Data from 1999-2005 Table

	1999	2000	2001	2002	2003	2004	2005	2006
Total number of children in December 1st Child Count	580	574	600	574	628	677	724	679
Home	525 (91%)	520 (91%)	551 (92%)	527 (92%)	559 (89%)	600 (89%)	635 (88%)	605 (89%)
Program designed for typically developing children	13 (2%)	30 (5%)	17 (3%)	20 (3%)	16 (3%)	24 (4%)	22 (3%)	25 (4%)
Other settings	42/580 (8%)	24/574 (4%)	32/600 (5%)	27/574 (5%)	53/628 (8%)	53/677 (8%)	79/724 (11%)	49/679 (7%)

**% were rounded to whole #'s, in rounding the % to whole numbers, the % may be lesser or greater than 100%.*

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities from SPP FFY 2006	Timelines	Resources
1. Based on each regional Part C agencies 618 data and monitoring data, work with the regional Part C agencies and the Quality Improvement Specialist to continue to promote utilization of appropriate community settings and programs for typically developing children in which services are delivered.	February 1, 2006- June 30,2007	Seven regional Part C agencies, Part C Coordinator, Quality Improvement Specialist.
Status: Discussions and training have taken place during quarterly meetings concerning Child Count Data (618) on what the definitions are for each of the three reporting categories and how to report the information to the Part C Coordinator for FY 2008.		
2. Collaborate with the Child and Family Services Division for providing training and technical	February 1, 2006- June 30,2007	Seven regional Part C agencies, Part C Coordinator, Child and Family Service Division, and

APR Template – Part C (4)

____ Montana ____
State

assistance for the support of early childhood childcare settings that serve all children with appropriate high quality services.		Child Care Plus, Child Care Regional Resource Centers and child care providers.
Status: Collaboration with the Child and Family Service Division has lead to training and TA for the support of early childhood development within child care settings that serve all children through Child Care Plus, Early Childhood Partnership for Professional Development, and the Governor's Readiness Workgroup.		
3. Based on Activity 3 above collaborate with the Child and Family Services Division for providing training and technical assistance for the support of early childhood childcare settings that serve all children with appropriate high quality services.	January 1, 2006- June 30,2007	Seven regional Part C agencies, FSSAC, and Part C coordinator.
Status: Collaboration with the Child and Family Service Division has lead to training and TA for the support of early childhood development within child care settings that serve all children through Child Care Plus, Early Childhood Partnership for Professional Development, and the Governor's Readiness Workgroup.		
4. Annually review 618 data and monitoring data for state and each regional Part C agency and provide focused training and technical assistance for regional Part C agency staff/Family Support Specialists, Quality Improvement Specialist on 618 settings definitions and data collection requirements, monitoring requirements for Indicator 2.	January 1, 2006- June 30,2007	Seven regional Part C agencies, FSSAC, and Part C coordinator.
Status: The Part C Coordinator has provided data of progress and slippage to the FSSAC and the seven regional Part C agencies at quarterly meetings. Child Count Data (618) instructions have been provided to all seven regional Part C agencies. TA has been provided to regional Part C agencies who needed additional assistance.		

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007)

Note: (1) Montana will be looking at future targets of 95% given the actual current circumstances, related to serve providers (e.g. speech pathologist, occupational therapists, physical therapist, etc.) thus few wanting to travel long distances to child/family's home which results in some children receiving services in

APR Template – Part C (4)

____Montana____
State

clinical settings. (2) while the general improvement activities may remain the same there will be continual specific training in completing the Child Count Data (618) forms in regards to settings definitions.

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Early Intervention Services in Natural Environments****Indicator 3:** Percent of infants and toddlers with IFSPs who demonstrate Improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

A. Positive social-emotional skills (including social relationships):

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improve functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication):

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.

If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by # of infants and toddlers with IFSPs assessed times 100.
- b. Percent of infants and toddlers who improved functioning = # of infants and toddlers who improved functioning divided by # of infants and toddlers with IFSPs assessed times 100.
- c. Percent of infants and toddlers who did not improve functioning = # of infants and toddlers who

APR Template – Part C (4)

____ Montana ____
State

did not improve functioning divided by # of infants and toddlers with IFSPs assessed times 100.
If children meet the criteria for a, report them in a. Do not include children reported in a in b or c. If a + b + c does not sum to 100%, explain the difference.

FFY	Measurable and Rigorous Target
FFY 2006	

Actual Target Data for *(2006-2007):*

Please see attached SPP Template for this indicator

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Early Intervention Services in Natural Environments**

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family know their rights divided by the # of respondent families participating in Part C times 100.
- B. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs divided by the # of respondent families participating in Part C times 100.
- C. Percent = # of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn divided by the # of respondent families participating in Part C times 100.

FFY	Measurable and Rigorous Target
FFY 2006	<ul style="list-style-type: none"> A. 89% will report that early intervention services has helped the family help their children develop and learn; B. 89% will report that early intervention services has helped the family effectively communicate their children's needs; C. 84% will report that early intervention services has helped the family help their children develop and learn

Clarification of Baseline Data for FFY 05 (2005-2006):

- A. 87.5% = $(210/240 \times 100)$, reported that early intervention services have helped the family know their rights.

- B. $90.1\% = (229/254 \times 100)$, reported that early intervention services have helped the family effectively communicate their children's needs.
- C. $84.5\% = (236/279 \times 100)$, reported that early intervention services have helped the family help their children develop and learn.

Baseline data includes all seven regional Part C agencies Parent Surveys. Six of the providers used the ECO Center's Family Outcome items and the one agency's items on their Parent Survey that related to the three sub-indicators were used for baseline data. Details concerning data collection for this baseline data are contained in the FFY 2005 APR submitted in February of 2007.

Actual Target Data for (2006-2007):

- A. $93.0\% = (310/333 \times 100)$, of respondent families reported that early intervention services have helped the family know their rights.
- B. $92.8\% = (312/336 \times 100)$, of respondent families reported that early intervention services have helped the family effectively communicate their children's needs.
- C. $94.8\% = (315/332 \times 100)$, of respondent families reported that early intervention services have helped the family help their children develop and learn.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for (2006-2007):

Explanation of Progress or Slippage:

Montana increased the number of responses for each of the sub-indicators from the baseline data that was provided for FFY 05. Montana wanted to ensure that the responses received for the FFY 06 data was representative of the entire state. The increased number of responses is attributed to each of the regional Part C agencies received a letter of determination from the state encouraging a high response rate for the survey. If an agency did not have at least a 50% return rate, the agencies were asked to review their survey process and determine if the response rate to their survey was representative of the population served and methods to have an improved return rate. The other attributing factor is that the one agency that did not use the ECO Center Family Outcome questions in FFY 05 Parent Survey, did incorporate those three questions to their Parent Survey for FFY 06.

Montana saw an increase in sub-indicator 4-A of 5.5% from the FFY 2005 (87.5%) to 2006 (93.0%). Sub-indicator 4-B, there was an increase of 2.7% from the FFY 2005 (90.1%) to 2006 (92.8%). Sub-indicator 4-C, there was an increase of 10.3% from the FFY 2005 (84.5%) to 2006 (94.8%).

- Montana had set for a target for FFY 2006 for 4-A at 89%. Montana's actual data shows 93% of respondent families reported that early intervention services have helped the family know their rights. Montana exceeded its target by 4%.
- Montana had set for a target for FFY 2006 for 4-B at 89%. Montana's actual data shows 92.8% of respondent families reported that early intervention services have helped the family effectively communicate their child's needs. Montana exceeded its target by 4%.
- Montana had set for a target for FFY 2006 4-C at 84%. Montana's actual data shows 94.8% of respondent families reported that early intervention services have helped the family help their children develop and learn. Montana exceeded its target by 11%.

To ensure representation from across the state all seven regional Part C agencies conducted a parent survey for all families whose children had an IFSP and participated in Part C services for FFY 2006. Each of the seven regional Part C agencies incorporated the ECO Center's three questions on Family Outcomes and used the ECO Center's rating scale as a way to measure family's responses. Each of the seven regional Part C agencies' processes and methods for disseminating and collecting survey information has been reviewed and approved by DDP, to ensure representation by utilizing common survey methods described below.

APR Template – Part C (4)

____ Montana ____
State

A total of 780 surveys were disseminated to parents participating in Part C throughout the entire state by each of the seven regional Part C agencies; 348 of those surveys were returned. (A return rate of 45%= $348/780 \times 100$) The range for the percentage of surveys returned to each of the regional Part C agencies was 66% to 26%. If a regional Part C agency had a survey return rate less than 30%, their Part C letter of determination required the regional Part C agency to establish improvement activities to improve their return rate for the next parent survey.

Data collection occurred during each regional Part C agency's parent survey process, which occurs on an annual basis, and initiated according to each agency's timeline. The surveys were conducted through mailings, directly delivered surveys, telephone, or in person interview. The parents or interviewer submitted the survey data anonymously. If parents wanted to submit the data with their name, they could, it was their choice. Each of the seven regional Part C agencies then followed uniform procedures in filling out the reporting form and submitting the form to the Part C Coordinator and their regional DDP Staff member (QIS) responsible for monitoring their agency. The Part C Coordinator compiled the data for the APR according to:

- How many total surveys that were sent out and then returned to each regional Part C agency (return rate)
- How many total responses each of the regional Part C agencies received for each question in response to Family Outcomes
- All responses that were four and below (ECO Center rating scale) were considered "no" responses.
- The "no" responses were totaled for the State.
- All questions with a five or higher (ECO Center rating scale) were considered "yes" responses.
- The "yes" responses were totaled for the State.
- Any Family Outcomes question that did not have a response marked on the survey, was not included in the actual data. This accounts for the variation in the "actual" numbers used to calculate the percents for 4-A.-B. and -C.

Data from Montana's Comprehensive Evaluation Tool Monitoring

As part of Montana's general supervision monitoring, Quality Improvement Specialist (QIS) review files of children and families receiving Part C services to determine compliance with Part C requirements. In addition, the QIS will interview a sample of families who have participated in Part C services to determine the impact of and satisfaction with their Part C services. During the last year, all the families receiving services from the regional Part C agencies that were interviewed indicated that they thought the services benefitted their child and family and were satisfied with the services they received.

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities from SPP FFY 2006	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator (biannually)	January 1, 2006- June 30, 2008	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.

APR Template – Part C (4)

____ Montana ____
State

<p>Status: Montana reviewed the Montana Comprehensive Evaluation Tool with stakeholders involved with children services to begin making changes on how the questions are being asked and turning them into statements. The process is also ensuring that Montana is using Part C language within the tool when collecting data, as recommend by OSEP during the verification visit. Montana continues to collect additional information from the tool that may not be reported to OSEP for programmatic improvement for all children services. This includes information obtained directly from families through an interview process about a family's satisfaction with Part C services and impact of services on the child and family. Montana uses a spread sheet program that was created to separate the information, so when looking at the data being collect it protects the identity of which children receiving services were reviewed.</p>			
2. Provide initial and annual training for the Quality Improvement Specialists.	July 1, 2006- June 30,2007	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.	
<p>Status: Montana continues to provide initial and annual training for QISs to ensure that the seven regional Part C agencies are providing quality services to infants and toddlers and their families. Montana wants to ensure that families are satisfied with the services that they are receiving and are aware of their rights.</p>			
3. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with the program to determine nature of noncompliance, develop and implement improvement plan or corrective action.	July 1, 2006 – June 30, 2011	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.	
<p>Status: Each of the seven regional Part C agencies were monitored for compliance in this area as noted above and all seven regional Part C agencies were found to be in compliance. If an issue of noncompliance is found with a regional Part C agency (please reference the SPP for the process), the QIS will work with the regional Part C agency to correct the noncompliance and make improvements. The QIS with the regional Part C agency would monitor the approved plan to correct the noncompliance to ensure the correction and improvements were completed within a year time line.</p>			
4. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 4.	January 1, 2006- June 30,2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.	
<p>Status: At both the Part C work group and regional Part C agencies meetings the requirements that FSSs receive training in all Part C components, including rules and regulations related to Indicator 4, were clearly articulated and implemented by the agencies.</p>			

APR Template – Part C (4)

____ Montana ____
State

5. Montana's Part C workgroup will continue: a. To review data b. Discussing issue/problem solving issues related to family outcomes. c. Review and refine the approach to receiving a good survey response.	July 1, 2006 to June 30, 2007	Regional Part C agency managers and supervisors, Part C technical assistance and Part C Coordinator.
Status: The workgroup reviewed data and discussed methods for collecting survey information to increase the return rate. The group discussed the pro's and con's of the different methods to get better return rates. One approach that was discussed at length was the possibility of having the State send out a statewide survey. A Statewide survey will continue to be discussed to determine if more of the con's can be resolved and if this is the method that the State would want to proceed with.		
6. Biannually assess personnel development needs concerning early intervention practices associated with Indicator 4.	July 1, 2006 to June 30, 2007	Regional Part C agency managers and supervisors, Part C technical assistance and Part C Coordinator.
Status: Through working with the Part C workgroup and the regional Part C agencies personnel development needs have been assessed and the information used to develop and provide training.		
7. Provide in-service training and resources concerning research-based practice for improvement with child development and child outcomes for infants and toddlers.	July 1, 2006 to June 30, 2007	Regional Part C agency managers and supervisors, Part C technical assistance and Part C Coordinator.
Status: Montana will continue to provide in-service training and resource in research-based practices for evaluation, assessments, and all aspects of IFSP planning and development. Regional CSPD's training events addressed providing in-service training and resources concerning research-based practices related to assessment and program implementation in community settings. Each of the seven regional Part C agencies also provides training in this area.		

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for 2006 (2006-2007)

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / Child Find****Indicator 5:** Percent of infants and toddlers birth to 1 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to National data..

FFY	Measurable and Rigorous Target
FFY 2006	Part C in Montana will serve 1.58 % of all infants in the state.

Actual Target Data for *(2006-2007):*

- A. $0.96\% = (112/11,644 * 100)$
 - Montana's December 1, 2006, Child Count data (618) indicates 0.96% of Montana's infants are served compared to .77% for the other 15 states with the Narrow Eligibility Definition.
 - Montana ranked 5th out of the 16 states that have the Narrow eligibility criteria.
(Data came from WESTAT's Website. www.IDEAdata.org)
- B. $0.96\% = (112/11,644 * 100)$
 - Montana's December 1, 2006, indicates 0.96% of Montana's infants are served compared to 1.04% National baseline.
 - Montana is ranked 26th for all 50 states and 6 territories.
(Data came from WESTAT's Website. www.IDEAdata.org)

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 *(2006-2007):***Explanation of Progress or Slippage:**

Montana's SPP target for FFY 2006 was to serve on the child count date 1.58% of all infants in the state. Montana's actual data shows .96% of all infants being served on the child count date. This is a slippage

of 0.62 from the SPP target for FFY 2006 and it is a slippage of 0.37 from the child count for FFY 2005 (2005 - 2006) which was 1.33% of all infants and toddlers in the state.

In order to better understand the slippage in percent of infants served in Montana, several activities have occurred: preliminary reviews and analysis of child count data since 1995; reviews of child find activities and initiatives with the Family Support Services Advisory Council (FSSAC - Montana's ICC) and each of the regional Part C agencies; and discussions with Mr. James Sylvester, Bureau of Business and Economic Research at the University of Montana (appointed by Montana's Governor as the contact for the U.S. Census Bureau's Federal State Cooperative Program for Population Estimates), to gain a better understanding of census data and estimates for the birth through 12 months population in Montana, including the amount of error for population estimates. Further, Mr. Sylvester also assisted in reviewing child count data from 1995 to 2006 in conjunction with Montana's census data and estimates for that time period.

The initial findings indicate:

In looking at data since 1995, there has been more variability in the percents and numbers of infants served on the child count date for each year then just looking at the data from 2004 and 2005 which was used to develop the SPP targets.

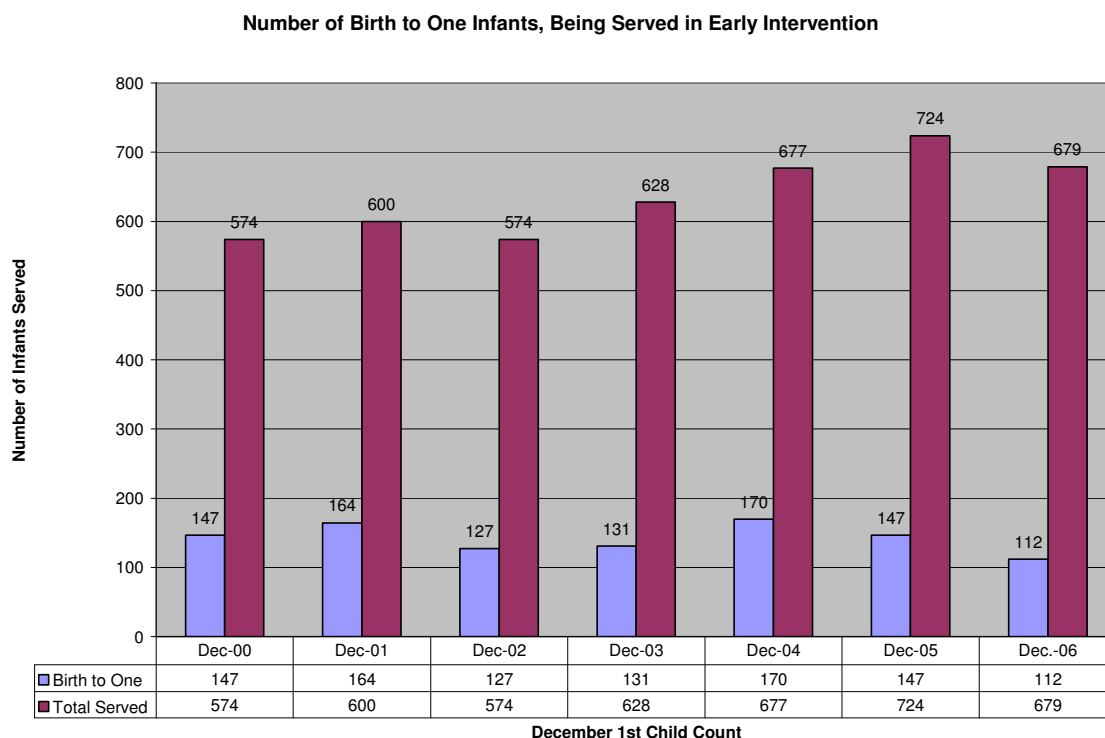
At the state level, there has been turnover and vacant positions in other state programs that have cooperated in coordination of Part C child find and public awareness activities (including FSSAC representatives), thus there has been less coordination of these activities. Montana's state programs in health and human services often only have one person responsible for these activities in their program (e.g., newborn screening program) and when that position is vacant for a long period, there is no one to coordinate or work with on child find and public awareness.

For FFY 2006, the regional Part C agencies did not have as many collaborative child find events with other community programs as in FFY 2005.

There is a great deal of error in making estimations about a specific population due to the relatively small population in Montana (46th in the U.S.) and the data used to make population estimations. Further, population estimations are revised each year by the U.S Census Bureau for each year back to the last census. Thus, in looking at an even smaller population like infants and toddlers in Part C as determined by a one-day a year child count, and comparing it to the population estimate for children birth to three years of age for one year can include a great deal of error. *These data need to be looked at over several years to have a more accurate picture of the percent of infants and toddlers served in Part C on a child count date.*

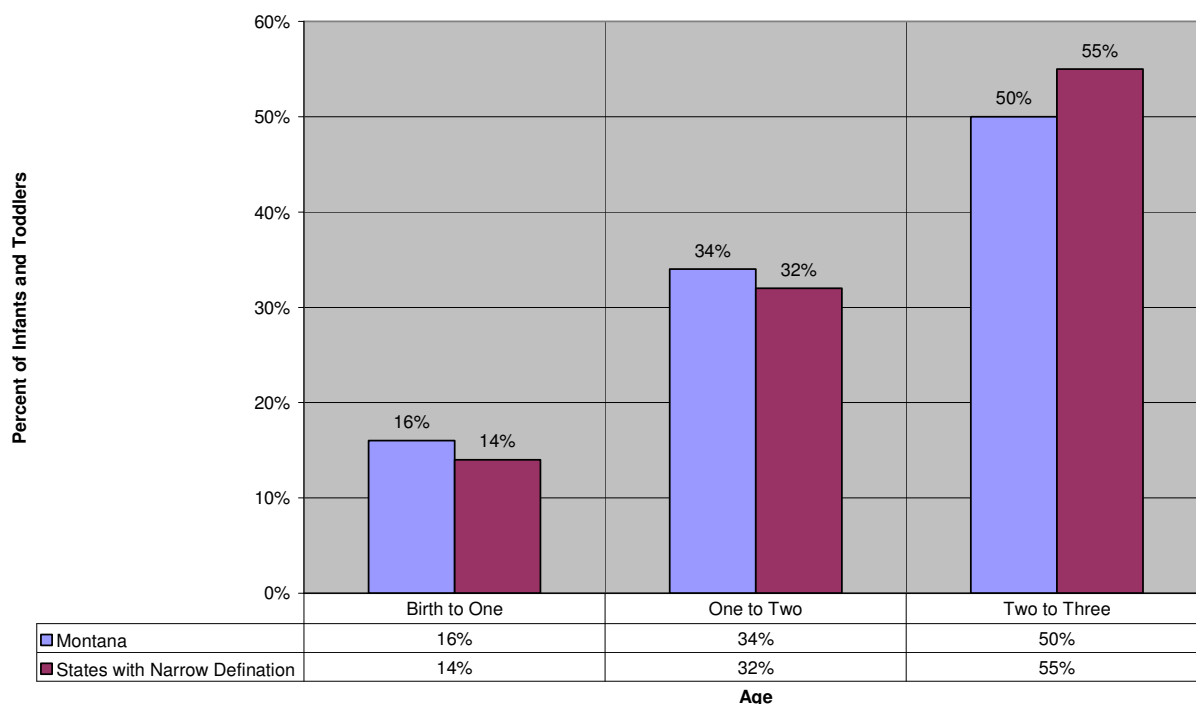
Montana will continue to look at child count and population data in order to develop specific activities designed to make improvements in the percent of infants and toddlers served in Part C. [See status updates in Improvement Activities section.]

[Data and other information to support the initial findings are reported in the Additional Child Count Data and Comparison section.]

Additional Child Count Data and Comparisons:

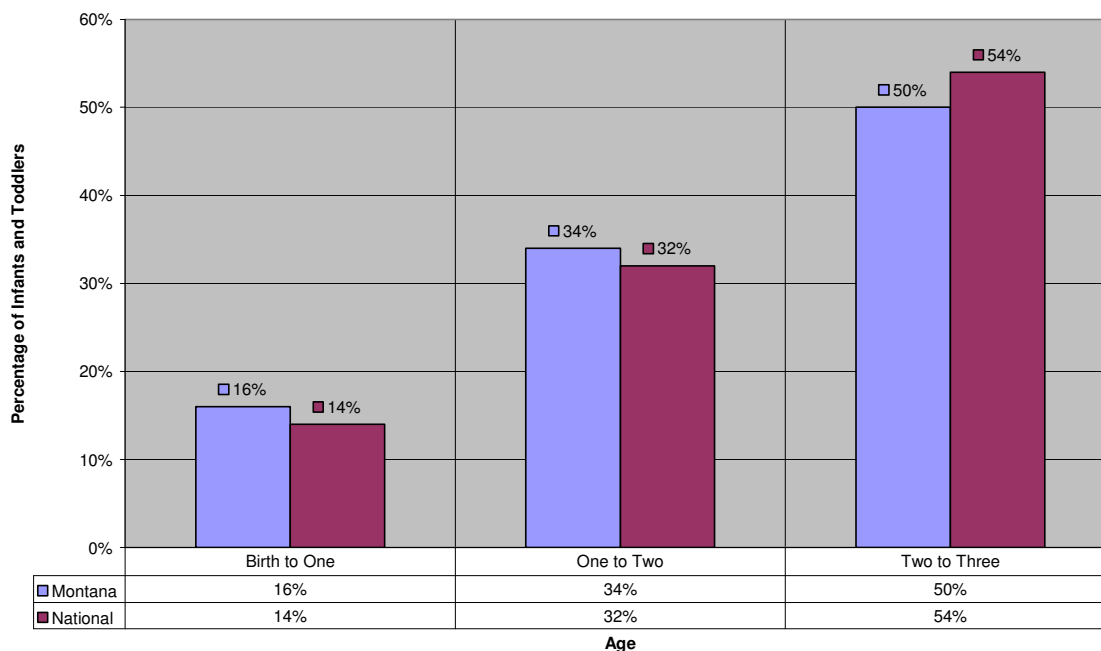
- December 1, 2005, 147 of 724 (20%) are children, birth to 1.
- December 1, 2006, 112 of 679 (16%) are children, birth to 1.
- Mean number of infants served from December 2000 to December 2006 is 143 (range 112-170). (See Montana's SPP for past FFY data).

Percentage of Infants and Toddlers (0-3) Served by Age in Montana Compared to the Narrow Definition States on December 1, 2006 Child Count (Source WESTAT website, Table C-9)



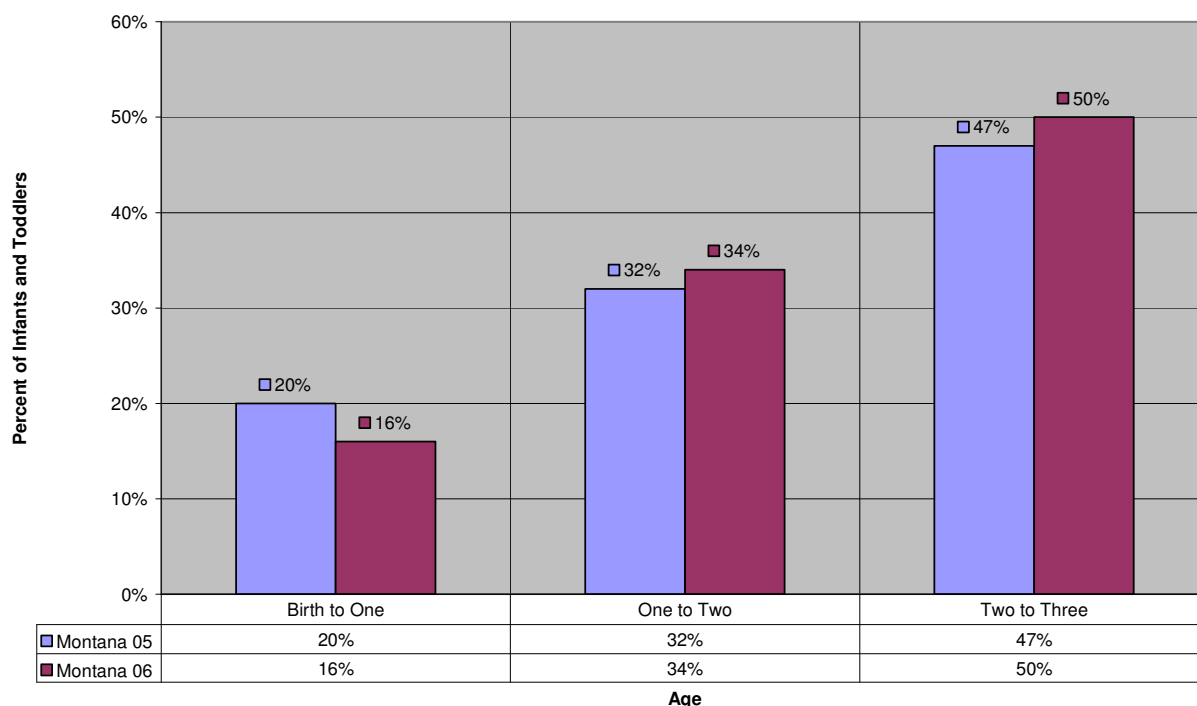
- On December 1, 2006 Child Count, Montana reported 112 infants (0 to 1) of 679 total infants and toddlers in Part C. Infants represent (16%) of all children being served in Part C early intervention in Montana. States with narrow definition reported by OSEP had a total of 5,189 infants (0 to 1) of 37,397 children in Part C with the narrow definition. Infants, with the narrow eligibility criteria definition, represent 14% of all children served in Part C early intervention with this definition.
- Montana shows 2% more infants (0 to 1) being served compared to other states with the narrow definition in early intervention.

**Percentage of Infants and Toddlers (0-3) Served by Age on December 1st Child Count
(Montana compared to National Data)**



- On December 1, 2006 Child Count, Montana reported 112 infants (0 to 1) of 679 total infants and toddlers in Part C. Infants represent 16% of all children being served in Part C early intervention in Montana.
- The OSEP National data indicated 43,448 (birth to 1) of a total of 304,510 children in Part C in the United States and outlying areas, which represents 14% of all children served in Part C early intervention.
- On the December 1, 2006 Child Count, Montana shows 2% more 0 to 1 infants being served in Montana as compared to the OSEP's National data.

**Comparison of Percentage of Infants and Toddlers (0-3) Served by Age on Montana's Dec. 1st
Child Count for 2005-2006**



- Montana's 2005 and 2006 Child Count data for infants (birth to 1), has decreased by 4%.
- Montana continues to increase in the number of referrals from CAPTA, which might explain the increase in the number of children being served in the 12 month through 36 month old age range.

**Comparison of 0- 12 Months Population and Child Count Data from 1995 – 2006 Using U.S.
Census Bureau Revised 0 - 12 Months Population Estimates**

Table 5a

Child Count Year	Montana 0- 12 Months Population Revised Estimates	Population Difference from Previous Year	Number of 0-12 Months Child Count in Part C	Per Cent of 0-12 Months in Part C Using Revised Estimates
1995	10,779		93	.86
1996	10,676	103 (-)	94 (+)	.88
1997	10,433	243 (-)	101 (+)	.97
1998	10,413	20 (-)	141 (+)	1.35
1999	10,527	114 (+)	114 (-)	1.08
2000	10,804	277 (+)	147 (+)	1.36
2001	10,964	160 (+)	164 (+)	1.50

APR Template – Part C (4)

____ Montana ____
State

2002	10,982	18 (+)	127 (-)	1.16
2003	11,279	297 (+)	131 (+)	1.16
2004	11,559*	280 (+)	170 (+)	1.47*
2005	11,655*	96 (+)	147 (-)	1.26*
2006	11,644	11 (-)	112 (-)	.96
<p>OSEP data taken from U.S. Department of Education's website. All 0-12 months population census data are U.S. Census Bureau "revised" estimates except for 2000 which is actual state census data. The (*) population data and % data for 2004 - 2006 is not the data used by OSEP for the APR tables. The (+) and (-) indicate an increase or decrease from the previous year.</p>				

According to Montana's U.S. Census Bureau's Federal State Cooperative Program for Population Estimates contact, there is an error of (+) or (-) 1000 for the 0 - 1 general population in Montana which could greatly impact the percent calculation for the percent of 0 - 12 months (infants) in Part C on the child count date.

In comparing the 0 - 12 months **general population data** from year to year, in four comparisons, the general population number decreased from the previous year.

In comparing the 0 - 12 months **child count data** for number of infants in Part C from year to year, in four comparisons, the number of infants in Part C decreased from the previous year.

In comparing the 0 - 12 months **child count data** for percent of infants in Part C from year to year, in four comparisons, the percent of infants in Part C decreased from the previous year.

A better comparison then year to year data for child count data might be to average child count data by four year blocks. For example, the 0 - 12 months child count data for percent of infants in Part C sorted into four year blocks and an average percent calculated for the four years would be: 1.02% for 1995 - 1998; 1.28% for 1999 - 2002; and 1.21% for 2003 - 2006. By looking at data in blocks of years, the process can better account for year to year variability, and provide a more accurate view of 0 - 12 months child count data for percent of infants in Part C and true trends for percent of infants in Part C.

Comparison of 0-12 Months Population and Child Count Data from 2000 - 2006 Using U.S. Census Bureau Revised 0 - 12 Months Population Estimates

Table 5b

Child Count Year	Montana 0 - 12 Months Population Reported by OSEP for APRs	Revised Montana 0 - 12 Months Population	Number of 0-12 Months Child Count in Part C	APR Reported Percent of 0-12 Months in Part C	Percent of 0-12 Months in Part C Revised to Reflect Revised Population
2004	10,738	11,559	170	1.58	1.47
2005	11,076	11,655	147	1.33	1.26
2006	11,644	11,644	112	.96	.96

APR Template – Part C (4)

____ Montana ____
State

Annually the U.S. Census Bureau revises their population estimates for each year back to the last full census. The 0 - 12 months general population census data is from U.S. Census Bureau revised population data for Montana.

The third column, Revised Montana 0 - 12 Months Population, reflects little change in the 0 - 12 months population from year to year, since OSEP started to calculate the 0 - 12 months percent in Part C.

The second column, Montana 0 - 12 Months Population Reported by OSEP for APRs, are initial estimates based on less accurate data, and the year to year comparison reflects somewhat smaller 0 - 12 months population growth and then a major increase from 2005 to 2006. Thus, the data provided by OSEP to be used for the APR is not as accurate as the Revised Montana 0 - 12 Months Population data.

The fifth column, Percent of 0-12 Months in Part C Revised to Reflect Revised 0 - 12 Months Population, compared to the fourth column, APR Reported Percent of 0-12 months in Part C, reflects a smaller percent of 0 - 12 months population served in Part C on the child count date for each year. Further, the percents noted in the fifth column are a more accurate calculation of the percent of the 0 - 12 month populations in Part C for each of the years noted. This would be better data to use to set targets in the SPP than the data originally use to set targets in the approved SPP.

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Annually review child find data by state and regional Part C agency to determine steps needed to improve child find efforts.	January 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: Child count data has been reported to the FSSAC and to the seven regional Part C agencies during quarterly meetings. Both groups have started work on the "First Step Manual," updating materials that are provided to primary referral sources, and provide updated training to primary referral sources about Part C early intervention services. The Part C Coordinator has also been asked to participate on Montana's Assuring Better Child Health and Development (ABCD) project. The projects focus on providing training and resources to health providers on how to conduct a standardized screening tool and where to make a referral for early intervention services. Montana also includes Child Find within Montana's Part C improvement Plan-2008.		
2. Annually review, revise (when needed), develop and disseminate child find materials and activities.	January 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: Child Find materials and activities have been reviewed and are in the process of being revised by the FSSAC and the seven regional Part C agencies.		
3. Disseminate child find materials and conduct	July 1, 2006- June 30,2008	FSSAC, regional Part C agency managers, Part C

APR Template – Part C (4)

____ Montana ____
State

public awareness activities with targeted medical staff in clinics and hospitals on an ongoing basis and analyze impact and revise strategies and materials biannually.		technical assistance and trainers, PLUK and the Part C Coordinator.
Status: As noted above the Part C Coordinator has been asked to participate on Montana's Assuring Better Child Health and Development (ABCD) Project. The project focuses on providing training and resources to health providers on how to conduct a standardized screening tool and where to make a referral for early intervention services.		
4. Montana will review the TRACE recommendations that are relevant to the state's child find system and determine how to implement the ones that fit the child find system and state demographics.	July 1, 2006 to June 30, 2009	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: The GSEG director bi-monthly provides the FSSAC and seven regional Part C agencies with resources that are provided by TRACE.		
5. Annually review to ensure linkage of Part C early intervention to other local early childhood health and human services with specific emphasis on programs and providers serving infants.	July 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: Please see tables located in Indicator 6 named: "Number of Collaborative Child Find Events by Type of Program and FFY" and "Number and Percent of Total Referrals by Program."		
6. Review annually and enhance linkages of Part C early intervention with the Department of Public Health and Human Services statewide programs for infants, toddlers and young children and their screening and child find programs.	July 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: The Part C Coordinator continues to participate in the Governor's Readiness Taskforce, Universal Newborn Hearing Task Force, Early Childhood Partnership for Professional		

APR Template – Part C (4)

____ Montana ____
State

Development, the ABCD Project and through the FSSAC.		
7. Review and update annually, Montana's Central Directory concerning Part C Services.	July 1, 2006- June 30, 2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: At both the Part C work group and regional Part C agencies meetings the requirements that FSSs receive training in all Part C components, including rules and regulations related to Indicator 4, were clearly articulated and implemented by the agencies.		

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007))

Montana is going to review child count data and censuses data to revises the targets set for the SPP, to ensure that realistic targets are being set for this indicator.

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / Child Find****Indicator 6:** Percent of infants and toddlers birth to 1 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to National data..

FFY	Measurable and Rigorous Target
FFY 2006	Part C in Montana will serve 2.13 % of all infants in the state.

Actual Target Data for *(2006-2007):*

- A. $1.94\% = (679/35,033 * 100)$
 - Montana's December 1, 2006, Child Count data (618) indicates 1.94% of Montana's infants and toddlers are served compared to 1.83% for the other 16 states with the Narrow Eligibility Definition.
 - Montana ranked 7th out of the 16 states that have the Narrow eligibility criteria.
(Data came from WESTAT's Website. www.IDEAdata.org)
- B. $0.96\% = (679/35,033 * 100)$
 - Montana's December 1, 2006, indicates 0.96% of Montana's infants are served compared to 2.43% for the National average.
 - Montana is ranked 32nd for all 50 states and 6 territories.
(Data came from WESTAT's Website. www.IDEAdata.org)

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 (2006-2007):**Explanation of Progress or Slippage:**

Montana's SPP target for FFY 2006 was to serve on the child count date 2.13% of all infants and toddlers in the state. Montana's actual data shows 1.94% of all infants and toddlers being served on the child count date. This is a slippage of 0.19 from the SPP target for FFY 2006 and it is a slippage of 0.27 from the child count for FFY 2005 (2005 - 2006) which was 2.21% of all infants and toddlers in the state.

In order to better understand the slippage in percent of infants and toddlers served in Montana, several activities have occurred: preliminary reviews and analysis of child count data since 1995; reviews of child find activities and initiatives with the Family Support Services Advisory Council (FSSAC - Montana's ICC) and each of the regional Part C agencies; and discussions with Mr. James Sylvester, Bureau of Business and Economic Research at the University of Montana (appointed by Montana's Governor as the contact for the U.S. Census Bureau's Federal State Cooperative Program for Population Estimates), to gain a better understanding of census data and estimates for the birth through 36 month population in Montana, including the amount of error for population estimates. Further, Mr. Sylvester also is assisted in reviewing child count data from 1995 to 2006 in conjunction with Montana's census data and estimates for that time period.

The initial findings indicate:

In looking at data since 1995, there has been more variability in the percent and numbers of infants and toddlers served on the child count date for each year then just looking at the data from 2000 to 2005 which was used to develop the SPP targets.

At the state level, there has been turnover and vacant positions in other state programs that have cooperated in coordination of Part C child find and public awareness activities (including FSSAC representatives), thus there has been less coordination of these activities. Montana's state programs in health and human services often only have one person responsible for these activities in their program (e.g., newborn screening program) and when that position is vacant for a long period, there is no one to coordinate or work with on child find and public awareness.

For FFY 2006, the regional Part C agencies did not have as many collaborative child find events with other community programs as in FFY 2005.

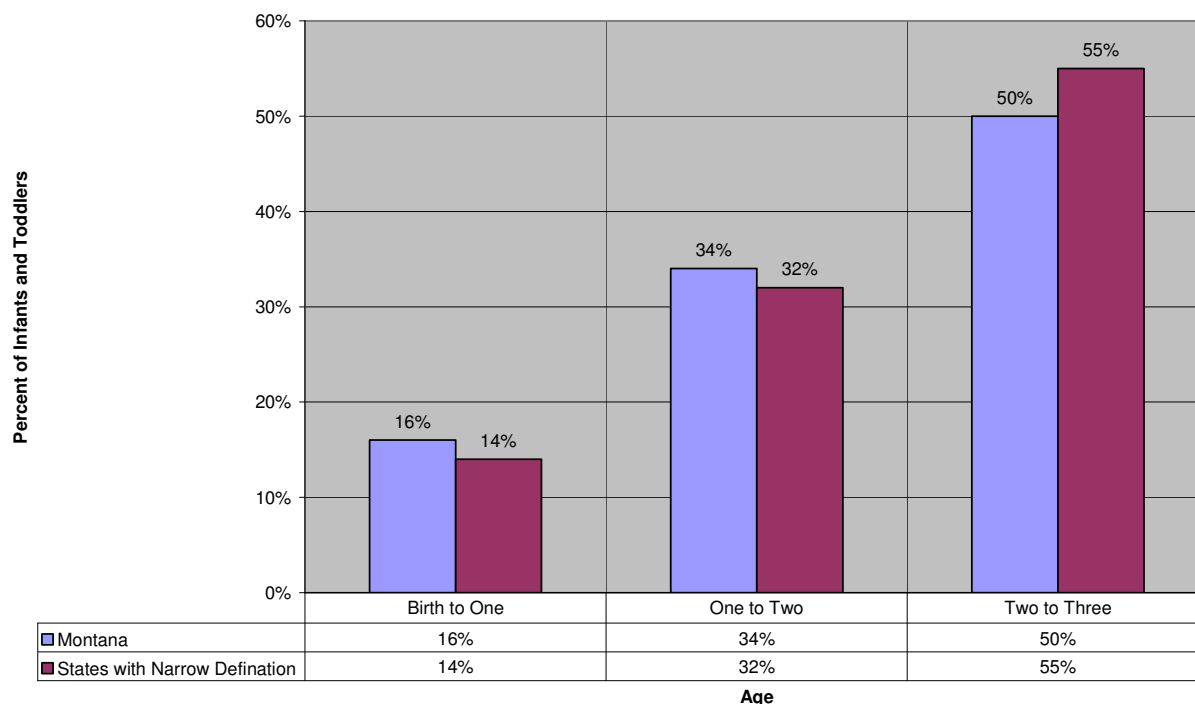
There is a great deal of error in making estimations about a specific population in Montana due to relatively small population in Montana (46th in the U.S.) and the data used to make population estimations. Further, population estimations are revised each year by the U.S Census Bureau for each year back to the last census. Thus, in looking at an even smaller population like infants and toddlers in Part C as determined by a one-day a year child count, and comparing it to the population estimate for children birth to three years of age for one year can include a great deal of error. *These data need to be looked at over several years to have a more accurate picture of the percent of infants and toddlers served in Part C on a child count date.*

Montana will continue to look at child count and population data in order to develop specific activities designed to make improvements in the per cent of infants and toddlers served in Part C. [See status updates in Improvement Activities section.]

[Data and other information to support the initial findings are reported in the Additional Child Count Data and Comparison section.]

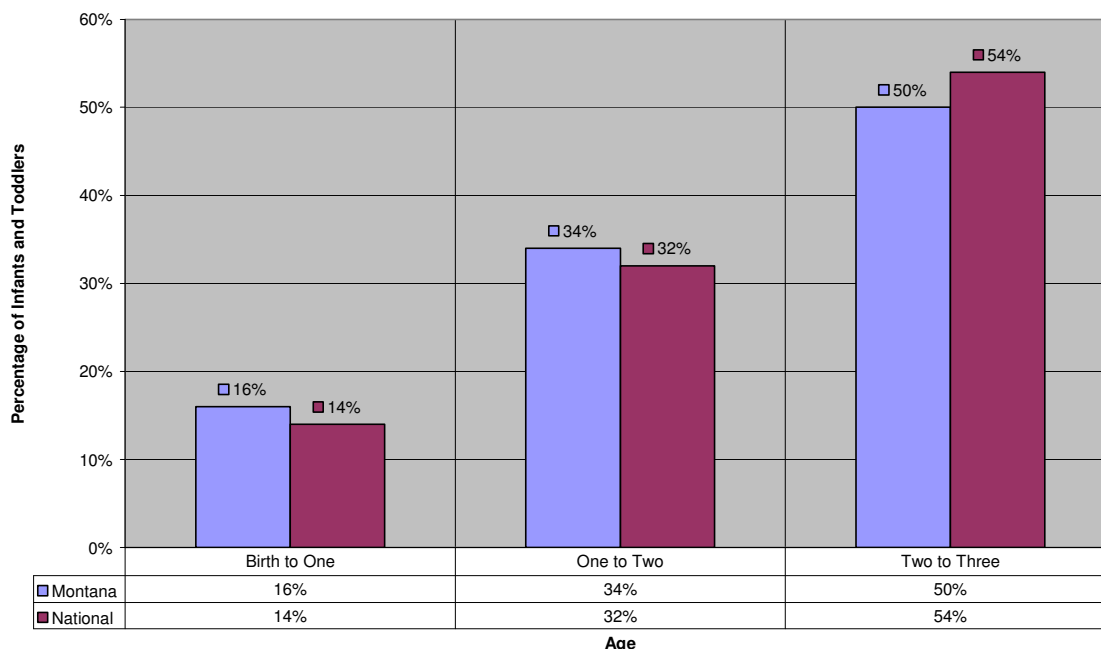
Additional Child Count Data and Comparisons:

Percentage of Infants and Toddlers (0-3) Served by Age in Montana Compared to the Narrow Definition States on December 1, 2006 Child Count (Source WESTAT website, Table C-9)



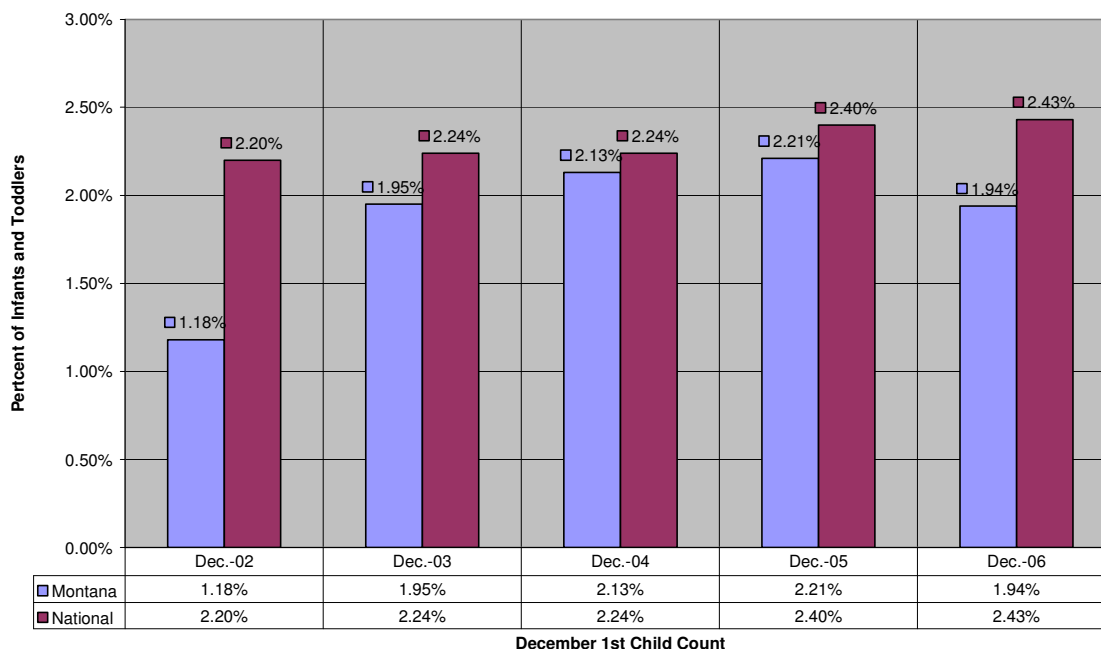
- On December 1, 2006 Child Count, Montana reported 112 infants (0 to 1) of 679 total infants and toddlers in Part C. Infants represent 16% of all children being served in Part C early intervention in Montana. States with narrow definition reported by OSEP had a total of 5,189 infants (birth-1) of 37,397 children in Part C with the narrow definition. Infants, with the narrow eligibility criteria definition, represent 14% of all children served in Part C early intervention with this definition.
- On December 1, 2006 Child Count, Montana reported 228 children (1-2) of 679 total infants and toddlers in Part C. This age range represents 34% of all children being served in Part C early intervention in Montana. States with narrow definition reported by OSEP had a total of 11,805 children (1-2) of 37,397 children in Part C with the narrow definition. Toddlers (1-2), with the narrow eligibility criteria definition, represents 32% of all children served in Part C early intervention with this definition.
- On December 1, 2006 Child Count, Montana reported 339 children (2-3) of 37,397 children in Part C with the narrow definition. Toddlers (2-3), with the narrow eligibility criteria definition, represent 54% of all children served in Part C early intervention with this definition.

**Percentage of Infants and Toddlers (0-3) Served by Age on December 1st Child Count
(Montana compared to National Data)**



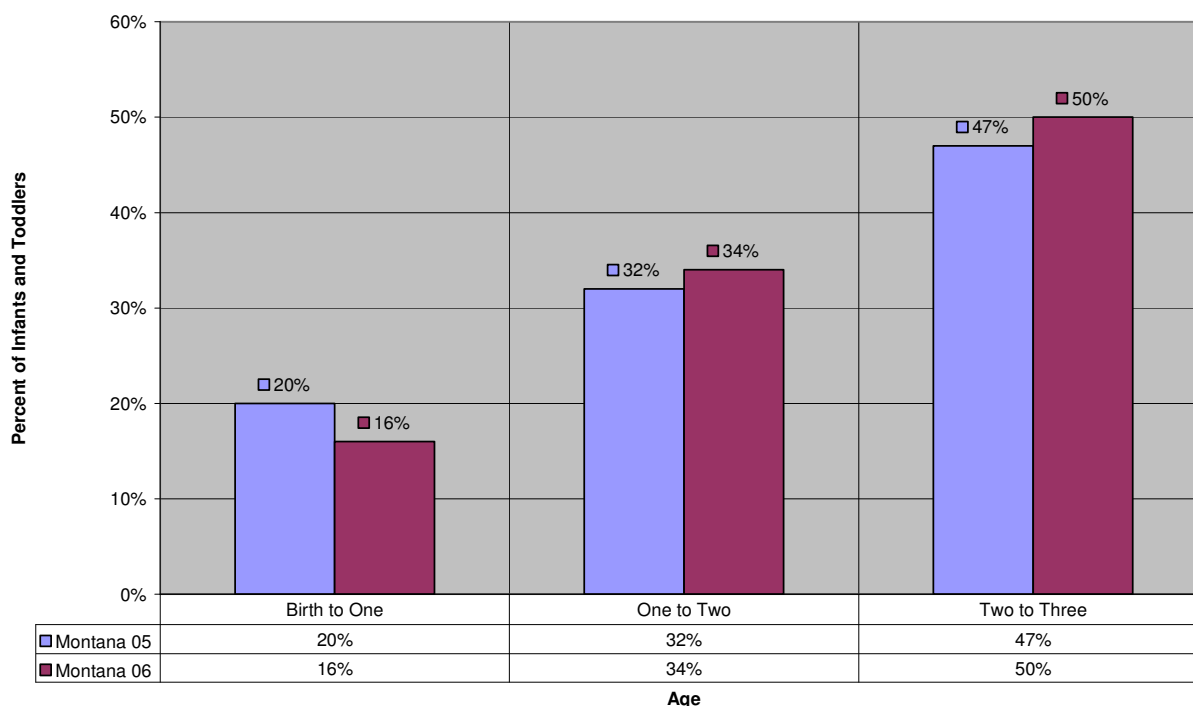
- December 1, 2006 Child Count, Montana reported 112 infants (0 - 1) of 679 total infants and toddlers in Part C, infants represent 16% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 43,448 (0- 1) of a total of 304,510 children in Part C in the United States and outlying areas, which represents 14% of all children served in Part C early intervention.
- December 1, 2006 Child Count, Montana reported 228 children (1-2) of 679 total infants and toddlers in Part C. Children in this age range represent 34% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 97,287 (1-2) of a total of 304,510 children in Part C in the United States and outlying areas, which represents 32% of all children served in Part C early intervention.
- December 1, 2006 Child Count, Montana reported 339 children (2-3) of 679 total infants and toddlers in Part C. Children in this age range represent 50% of all children being served in Part C early intervention in Montana. The OSEP National data indicated 163,775 (2-3) of a total of 304,510 children in Part C in the United States and outlying areas, which represents 54% of all children served in Part C early intervention.

Total Percent of Infants and Toddlers (0-3) Recieving Early Intervention Services in 2002 through 2006



- December 1, 2005, Montana served 2.21% of all infants/toddlers in the state as compared to the National average of 2.40%.
- December 1, 2006, Montana served 1.94% of all infants/toddlers in the state as compared to the National average of 2.43%.
- December 1, 2006 Child Count, Montana served 200 (29%) of the 679 children served in Part C, are non-white.
- December 1, 2006 Child Count, Montana served 174 (21%) of the 679 children served in Part C, are American Indian or Alaska Native.
- That is well over the percentage of those children in the general population. Thus, our public awareness and child find activities are reaching out to all Montana's population groups.
- (See Montana's SPP for older FFY data)

**Comparison of Percentage of Infants and Toddlers (0-3) Served by Age on Montana's Dec. 1st
Child Count for 2005-2006**



- 2005 and 2006 Child Count data for infants (0 - 1), has decreased by 4%.
- 2005 and 2006 Child Count data for children (1-2), has increased by 2%.
- 2005 and 2006 Child Count data for children (2-3), has increased by 3%.
- Montana continues to receive an increase in the number of referrals from CAPTA, which might explain the increase in the number of children being served in the 12 months through 36 month old age range.

**Comparison of 0-3 Population and Child Count Data from 1995 - 2006 Using U.S. Census Bureau
Revised 0 - 3 Population Estimates**

Table 6a

Child Count Year	Montana 0-3 Population	Population Difference from Previous Year	Number of 0-3 Child Count in Part C	Per Cent of 0-3 in Part C
1995	33,106		512	1.55%
1996	32,655	(-) 451	508 (-)	1.56%
1997	32,233	(-) 422	531	1.65%
1998	32,174	(-) 59	580	1.8%
1999	32,243	(+) 69	628	1.95%
2000	32,512	(+) 269	574 (-)	1.77% (-)
2001	31,551	(-) 961	600	1.9%

APR Template – Part C (4)

____ Montana ____
State

2002	32,093	(+) 542	574 (-)	1.79% (-)
2003	32,268	(+) 175	628	1.95%
2004	32,555	(+) 287	677	2.08%
2005	32,706	(+) 151	724	2.21%
2006	35,033	(+) 2,327	679 (-)	1.94% (-)
OSEP data taken from U.S. Department of Education's website. All 0-3 population census data are U.S. Census Bureau estimates except for 2000 which is actual state census data.				

According to Montana's U.S. Census Bureau's Federal State Cooperative Program for Population Estimates contact, there is an error of (+) or (-) 3000 for the 0 - 3 general population in Montana which could greatly impact the percent calculation for the percent of 0 - 3 infants and toddlers in Part C on the child count date.

In comparing the 0 - 3 **general population data** from year to year, in four comparisons, the general population number decreased from the previous year.

In comparing the 0 - 3 **child count data** for number of children in Part C from year to year, in four comparisons, the number of children in Part C decreased from the previous year.

In comparing the 0 - 3 **child count data** for percent of children in Part C from year to year, in three comparisons, the per cent of children in Part C decreased from the previous year.

A decrease in the 0 - 3 **general population data** from year to year did not necessarily correlate to either a decrease in the 0 - 3 child count data for number of children in Part C or 0 - 3 child count data for percent of children in Part C.

A better comparison then year to year data for child count data might be to average child count data by four year blocks. For example, the 0 - 3 child count data for percent of children in Part C sorted into four year blocks and an average percent calculated for the four years would be: 1.64% for 1995 - 1998; 1.85% for 1999 - 2002; and 2.04% for 2003 - 2006. By looking at data in blocks of years, the process can better account for year to year variability, and provide a more accurate view of 0 - 3 child count data for percent of children in Part C and true trends for percent of children in Part C.

Comparison of 0-3 Population and Child Count Data from 2000 - 2006 Using U.S. Census Bureau Revised 0 - 3 Population Estimates

Table 6b

Child Count Year	Montana 0 - 3 Population Reported by OSEP for APRs	Revised Montana 0 - 3 Population	Number of 0-3 Child Count in Part C	APR Reported Percent of 0-3 in Part C	Percent of 0-3 in Part C Revised to Reflect Revised 0 - 3 Population
2000	32,512	32,552	574	1.77%	1.76%
2001	31,551	32,763	600	1.9%	1.83%
2002	32,093	32,822	574	1.79% (-)	1.75%
2003	32,268	33,406	628	1.95%	1.88%

APR Template – Part C (4)

____ Montana ____
State

2004	32,555	34,073	677	2.08%	1.99%
2005	32,706	34,685	724	2.21%	2.09%
2006	35,033	35,033	679	1.94% (-)	1.94%

Annually the U.S. Census Bureau revises their population estimates for each year back to the last full census. The 0 - 3 general population census data is from U.S. Census Bureau revised population data for Montana.

The third column, Revised Montana 0 - 3 Population, reflects a gradual increase in the 0 - 3 population from year to year.

The second column, Montana 0 - 3 Population Reported by OSEP for APRs, are initial estimates based on less accurate data, and the year to year comparison reflects somewhat smaller population growth and then a major increase from 2005 to 2006. Thus, the data provided by OSEP to be used for the APR is not as accurate as the Revised Montana 0 - 3 Population data.

The fifth column, Per Cent of 0-3 in Part C Revised to Reflect Revised 0 - 3 Population, compared to the fourth column, APR Reported Percent of 0-3 in Part C, reflects a smaller percent of 0 - 3 population served in Part C on the child count date for each year. However, the percents noted in the fifth column are a more accurate calculation of the percent of the 0 - 3 population in Part C for each of the years noted. This would be better data to use to set targets in the SPP than the data originally use to set targets in the approved SPP.

Conducting Collaborative Child Find Events with the following agency:

Agency	# of activities for 2006-2007	# of activities for 2005-2006	Comparison of the years
Schools	178	160	18
Head/Early Start	24	29	5
Public Health	41	42	1
EPST	0	0	0
Other	53	51	2

The total # of referrals that the seven regional Part C agencies received from primary referral sources:

Agency	# of referrals for 2006-2007	# of referrals for 2005-2006	Comparison of the years
Hospitals	122	145	23
Physicians	227	261	34
Parents	218	238	20
Daycare	18	20	2
Schools	37	78	41
Public Health	62	88	26
Child Protective	205	168	37
Other Social Services	86	133	47
Other Healthcare Provider	50	47	3
Other	110	77	33

- Child Protective Service referrals increased as Part C/CAPTA referral procedures have improved.

APR Template – Part C (4)

____ Montana ____
State

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Annually review child find data by state and regional Part C agency to determine steps needed to improve child find efforts.	January 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: Child count data has been reported to the FSSAC and to the seven regional Part C agencies during quarterly meetings. Both groups have started work on the “First Step Manual,” updating materials that are provided to primary referral sources, and provide updated training to primary referral sources about Part C early intervention services. The Part C Coordinator has also been asked to participate on Montana’s Assuring Better Child Health and Development (ABCD) project. The projects focus on providing training and resources to health providers on how to conduct a standardized screening tool and where to make a referral for early intervention services. Montana also includes Child Find within Montana’s Part C improvement Plan-2008.		
2. Annually review, revise (when needed), develop and disseminate child find materials and activities.	January 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: Child Find materials and activities have been reviewed and are in the process of being revised by the FSSAC and the seven regional Part C agencies.		
3. Disseminate child find materials and conduct public awareness activities with targeted medical staff in clinics and hospitals on an ongoing basis and analyze impact and revise strategies and materials biannually.	July 1, 2006- June 30,2008	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: As noted above the Part C Coordinator has been asked to participate on Montana’s Assuring Better Child Health and Development (ABCD) Project. The project focuses on providing training and resources to health providers on how to conduct a standardized screening tool and where to make a referral for early intervention services.		
4. Montana will review the TRACE recommendations that are relevant to the state’s child find system and determine how to implement the ones that fit the child find system and	July 1, 2006 to June 30, 2009	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.

APR Template – Part C (4)

____ Montana ____
State

state demographics.		
Status: The GSEG director bi-monthly provides the FSSAC and seven regional Part C agencies with resources that are provided by TRACE.		
5. Annually review to ensure linkage of Part C early intervention to other local early childhood health and human services with specific emphasis on programs and providers serving infants.	July 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: Please see tables located in previous section named: “Number of Collaborative Child Find Events by Type of Program and FFY” and “Number and Percent of Total Referrals by Program.”		
6. Review annually and enhance linkages of Part C early intervention with the Department of Public Health and Human Services statewide programs for infants, toddlers and young children and their screening and child find programs.	July 1, 2006- June 30,2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: The Part C Coordinator continues to participate in the Governor’s Readiness Taskforce, Universal Newborn Hearing Task Force, Early Childhood Partnership for Professional Development, the ABCD Project and through the FSSAC.		
7. Review and update annually, Montana’s Central Directory concerning Part C Services.	July 1, 2006- June 30, 2007	FSSAC, regional Part C agency managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: The FSSAC and the seven regional Part C agencies have reviewed and are in the process of updating the “First Step Manual” which is used by Montana’s Parent’s Let’s Unit for Kids- PLUK (PTI) to provide information to families about Part C and B services in Montana. PLUK serves as Montana’s Central Directory for Part C and these groups will collaborate to update the directory.		

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007))

Montana is going to review child count data and censuses data to revises the targets set for the SPP, to ensure that realistic targets are being set for this indicator.

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / Child Find**

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed)] times 100.

Account for untimely evaluations.

FFY	Measurable and Rigorous Target
FFY 2006	100% of all infants and toddlers eligible for Part C services will have completed evaluation(s) and assessment(s) and an initial IFSP meeting conducted within Part C's 45-day timeline.

Actual Target Data for *(2006-2007):*

100% = $(35/35 * 100)$

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 *(2006-2005):***Explanation of Progress or Slippage:**

100% was the target set for the FFY 2006. The actual target data for FFY 2006 was 100%. This data is based on monitoring with Montana's Comprehensive Evaluation Process for Family Education and Support Tool, for the FY 2006-2007, seven out of the seven regional Part C agencies (covering the whole state) were found to be in compliance with this indicator (35 files reviewed, five for each agency).

Data from Montana's Comprehensive Evaluation Tool:

As part of Montana's general supervision and monitoring process, a Quality Improvement Specialist (QIS) for each of the seven regional Part C agencies (covering the whole state), reviews a random sample of five files for children in Part C services using the Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool. The tool addresses factors that promote the timely delivery of early intervention services.

- Thirty-five out of thirty-five (100%) files reviewed indicated that services were provided without delay and according to Part C requirements for children/family.

APR Template – Part C (4)

____ Montana ____
State

- Thirty-five out of thirty-five (100%) files reviewed showed that each agency provided coordination services for each eligible child and family review.
- Thirty-five out of thirty-five (100%) files documented that resources and support services identified on the IFSP are provided to each eligible child and family.
- Thirty-five out of thirty-five (100%) files reviewed that IFSPs are in place within 45-days of the referral date.
- Thirty-five out of thirty-five (100%) files reviewed documented contracts with families for the purposed of providing support coordination, direct services, or to provided supervision and consultation to subcontracted personnel.

Other data gathered from regional Part C agencies Survey and Reporting for the APR Information:

- Thirty-five out of thirty-five files randomly selected using the “Research Randomizer Program” indicated that infants and toddlers received Part C early intervention services within 30 days of parents signing an IFSP.

Each of the seven regional Part C agencies (covering the whole state) were required to do a random sample of five files using the “Research Randomizer Program” from all the Part C eligible children with IFSP. The files were reviewed for each child/family selected to determine if services were provided according to the definition of timely services, and recorded: (1) if the Part C service(s) were provided in a timely manner, and if not, (2) the number of days over the timely definition for each child if services scheduled were not provided within the 30 days after the IFSP was signed and (3) the reason the services were not provided (e.g. child was sick, winter weather prevented travel, service provider canceled, and exceptional family circumstances listed in Part C measurement Table- (12/31/09)). The definition and procedures/instructions were developed by the Part C Coordinator and the Part C Work Group (with representatives from each of the regional Part C agencies) to ensure uniform implementation of the data collection. The seven regional Part C agencies reported the data to the Part C Coordinator who compiled the data for the APR. Each of the Part C agencies will keep in their APR record for this indicator, including the identification of the children/families randomly selected, so that the DDP during the annual monitoring visit will be able to verify the accuracy (reliability and validity) of data.

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Provide initial and annual training for the Quality Improvement Specialists.	July 1, 2006- June 30,2007	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana continues to provide initial and annual training for QISs to ensure that the seven regional Part C agencies are providing timely and quality services as stated on the IFSPs to infants and toddlers and their families. Montana wants to ensure that families are satisfied with the services that they		

APR Template – Part C (4)

Montana
State

are receiving and are aware of their rights.		
2. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	July 1, 2006- June 30,2007	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Each of the seven regional Part C agencies was monitored for compliance in this area and were found to be in compliance with Indicator 7 requirements.		
3. Regional Part C agencies will train new FSSs on the Part C requirements and competences related to Indicator 7.	July 1, 2006- June 30,2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
Status: At both the Part C work group and regional Part C agencies meetings the requirements that FSSs receive training in all Part C components, including rules and regulations related to Indicator 7, was clearly articulated and implemented by the agencies		
4. Provide in-service training and resources concerning research-based practices for evaluation and assessment of infants and toddlers.	January 1, 2006 to December 31, 2007	Regional Part C agency Managers and supervisors, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana will continue to provide in-service training and resource in research-based practices for evaluation, assessments, and all aspects of IFSP planning and development. Regional CSPD's training events addressed providing in-service training and resources concerning research-based practices related to assessment and program implementation in community settings. Each of the seven regional Part C agencies also provides training in this area.		

APR Template – Part C (4)

____ Montana ____
State

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 *(2006-2007)*

In the APR for FFY 2005, Montana proposed to collect additional data from the seven regional Part C agencies concerning this indicator. It was determined to be too burdensome at this time for the regional Part C agencies for this APR but will be reconsidered for future APR data collection.

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Early Intervention Services in Natural Environments**

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to LEA, if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = $[(\# \text{ of children exiting Part C who have an IFSP with transition steps and services}) \div (\# \text{ of children exiting Part C})] \times 100$.
- B. Percent = $[(\# \text{ of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred}) \div (\# \text{ of children exiting Part C who were potentially eligible for Part B})] \times 100$.
- C. Percent = $[(\# \text{ of children exiting Part C and potentially eligible for Part B where the transition conference occurred}) \div (\# \text{ of children exiting Part C who were potentially eligible for Part B})] \times 100$.

FFY	Measurable and Rigorous Target
FFY 2006	<p>100 % of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including.</p> <p>A. 100% IFSPs with transition steps and services</p> <p>B. 100% Notification to LEA, if child potentially eligible for Part B: and</p>

	C. 100% Transition conference occurred, if child potentially eligible for Part B.
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Actual Target Data for (2006-2007):

- A. 100% ($=35/35 \times 100$) of children exiting Part C had an IFSP with transition steps and services.
- B. 100% ($=35/35 \times 100$) of children exiting Part C and potentially eligible for Part B had notification sent to the LEA.
- C. 100% ($=32/32 \times 100$) of children exiting Part C and potentially eligible for Part B had the transition conference occur.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 (2006-2007):**Explanation of Progress or Slippage:**

As part of DDP's monitoring of Part C services, each of the regional Part C agencies, covering the whole state, were required to randomly select five files using the Research Randomizer tool of all eligible children who were over the age of 24 months with IFSPs and transitioned from Part C services during FFY 2006. The files were reviewed for each child/family selected to determine if (1) transition steps and services were on the IFSP, (2) notification to LEA, if the child was potentially eligible for Part B, (3) and if a transition conference was held 90 days prior to 3rd birthday, if the child was potentially eligible for Part B. If the above steps did not occur, an explanation was provided. The seven regional Part C agencies reported the data to the Part C Coordinator who reviewed and compiled the data for the APR. Each of the regional Part C agencies will keep this information in their APR record for this indicator, including the identification of the children/families randomly selected, so that the DDP will be able to verify the accuracy (reliability and validity) of the data reported during the annual monitoring visit.

100% for A, B, and C, was the target set for the FFY 2006. The actual target data for FFY 2006 based on Provider Survey and Reporting and exceptional family circumstances:

- A. 100% ($35/35 \times 100$) of children exiting Part C had an IFSP with transition steps and services.
All thirty-five files reviewed had documentation that there were transition steps and services on the IFSPs.
- B. 100% ($35/35 \times 100$) of children exiting Part C and potentially eligible for Part B had notification sent to the LEA.
All thirty-five files reviewed had documentation that the LEA was notified of the potential child being eligible for Part B services.
- C. 100% ($32/32 \times 100$) of children exiting Part C and potentially eligible for Part B had the transition conference occur.

*Although thirty-five files were reviewed three files had a family circumstance outside of the regional Part C agency's control.

- One file documented that the transition conference did not meet the 90 day timeline due to the child was in foster care and placement was changing as the child was on the list for statewide adoption. Child and Family Services wanted to wait until new placement was decided.
- Two files documented that the families chose to have transition conferences with other agencies and chose those services instead of Part B services.

Other Data Related to Transition:

Data was collected from Montana's Comprehensive Evaluation Process for Family Education and Support Service Tool which is part of Montana's general supervision and monitoring processes address

factors dealing with transition for the FY 2006-2007 (Total of 35 files were reviewed, five from each agency).

- Thirty-five out of thirty-five (100%) files documented that the agency cooperated and coordinated with other community service agencies in meeting child and family needs.
- Thirty-five out of thirty-five (100%) files reviewed included documentation that let parents know that their child would be exited from Part C Services when they become three years of age.
- Seven out of seven agencies notified the regional office about changes in services to individual children on a client status sheet.
- Seven out of seven agencies where children were at transition age, there was documentation that the families were made aware of the differences and similarities between Part C and Part B services.
- Seven out of the seven agencies, which support smooth transitions for children and families to preschool services, have interagency agreements in place with local education agencies.

The data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool for the last four FY 2002-2003, 2003-2004, 2004-2005, and 2005-2006, demonstrated 100% compliance for the 5 questions that are used for monitoring transition. (Please see SPP for details)

Child Count Report (618). Montana relies on data collected for OSEP's Child Count Report (618) from each of the seven regional Part C agencies. Child Count Data for 2005-2006 is compared to the same data from previous child counts.

- Number and percentage of all children leaving Early Intervention Services **On or Before Their Third Birthday.**
 - 2005-2006
 - 242 (32%) of 748 children who left early intervention services demonstrated improved and sustained functional abilities at that time.
 - Range of children from FFY 2001-2005 was 9% to 32% of children who left early intervention services demonstrated improved and sustained functional abilities during the time frame.

This data is included in the table below:

Infants and Toddlers Exiting Part C Services by Category for FFY 2002-2006

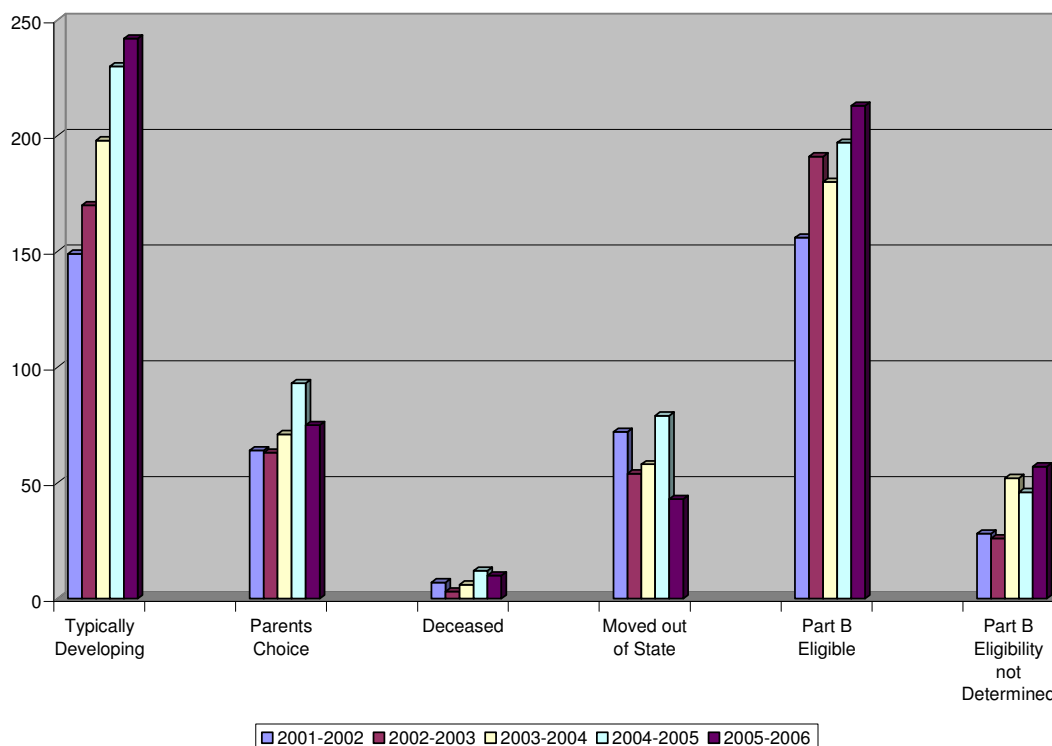


Table on the Number and Percentages of Infants and Toddlers Exiting Part C Services by Category for FFY 2002-2006

Year	Typical Developing	Parent's Choice	Deceased	Moved	Part B Eligible	Part B Not Determined
2001-2002	149 (29%)	64 (12%)	7 (1%)	72 (14%)	156 (30%)	28 (5%)
2002-2003	170 (30%)	63 (11%)	3 (1%)	54 (9%)	191 (33%)	26 (5%)
2003-2004	198 (31%)	71 (11%)	6 (1%)	58 (9%)	180 (28%)	52 (8%)
2004-2005	230 (32%)	93 (13%)	12 (2%)	79 (11%)	197 (27%)	46 (6%)
2005-2006	242 (32%)	75 (10%)	10 (1%)	43 (6%)	213 (28%)	57 (8%)

APR Template – Part C (4)

____ Montana ____
State

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities From SPP 2005	Timelines	Resources
1. Review and revise Part C and Part B Interagency Agreements to ensure a seamless and timely transition for children and families eligible for Part B services.	July 1, 2005 to January 16, 2006	Part C Coordinator and Part B 619 Coordinator.
Status: The State Interagency Agreement between Part C and Part B services has been updated to ensure a seamless and timely transition for children and families eligible for Part B services. The State Part C agency is working with the State Part B agency to help them collect data needed for their APR about children who have potentially transitioned to Part B services. The two agencies will continue to work together to improve a system for collecting that data and make improvements in transition. Each of the seven regional Part C agencies have agreements with school districts to help ensure that children and families are getting timely and seamless transitions.		
2. Review and revise Part C transition policies and procedures to ensure compliance to the IDEA 2004	January 1, 2005 to December 31, 2006	Part C Coordinator, Part B 619 Coordinator, FSSAC.
Status: At the FSSAC and regional Part C agencies quarterly meetings the two groups were informed of the updates that were needed for transition to ensure compliance to the IDEA 2004. A draft of the Part C transition policies and procedures are in place.		
3. Review and revise transition resource materials for FSSs.	January 1, 2006 to December 31, 2006	Part C Coordinator, Part B 619 Coordinator, regional Part C agency staff, and FSSAC.
Status: Regional Part C agencies have reviewed and revised their transition resource materials for FSSs and families.		
4. Review and revise the transition section of parent and family information resources, including the First Steps document.	January 1, 2006 to April, 2007	Part C Coordinator, PLUK, Part B 619 Coordinator, regional Part C agency staff, and FSSAC.
Status: The FSSAC and the seven regional Part C agencies are in the process of updating the "First Step" which is used by Montana's Central Directory (Parent's Let United for Kids) to provide information to families about Part C and B service in Montana. Edits have been made to include the updates from the 2004 Statutes and Part B rules and regs. PLUK is aware that updates are being made and that further training will be provided to their staff to ensure they are aware of the new updates about the two services.		
5. Work with the Part B Coordinator to provide technical assistance and/or training on transition with providers of Part C and School Districts.	July 1, 2006- June 30,2007	Part C coordinator and Part B 619 Coordinator.
Status: Both the Part B and C Coordinators provided technical assistance/or training to both the regional Part C service providers and local school districts concerning transition requirements between the two programs.		

APR Template – Part C (4)

____ Montana ____
State

6. Review and revise FSS competencies concerning transition to reflect IDEA 2004 and latest research based transition practices.	January 1, 2006 to April 1, 2007	Part C Coordinator, regional Part C agency staff, and FSSAC.
Status: The Part C workgroup has started to review and update the FSS competencies concerning transition. The group will review and discuss the DEC recommended practices to see if the competencies reflect DEC 's recommended practices.		
7. General Enhancement Supervision Grant will assist Montana in identifying any additional transitional data that may improve documentation of transition of services and outcomes.	July 1, 2006- June 30,2007	Part C Coordinator, Regional Part C agency Managers and supervisors, and Part C technical assistance and trainers.
Status: The Director of the GESG grant and Part C Coordinator reviewed the "Provider Survey and Reporting Process" to identify any additional transitional data that may be needed to improve documentation of transition of services and outcomes.		
8. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.	January 1, 2006 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana reviewed the Montana Comprehensive Evaluation Tool with stakeholders involved with children services to begin making changes on how the questions are being asked and turning Part C requirements into statements. The process is also ensuring that Montana is using Part C language within the tool when collecting data, as recommend by OSEP during the verification visit. Montana continues to collect additional information from the tool that may not be reported to OSEP for programmatic improvement for all children services. Montana continues to review files of those children who will be transitioning out of Part C services to ensure that parents know the difference between Part C and B services, transition plans are in the IFSPs and that the 90 day timeline for the transition conference is taking place. Montana is using a spread sheet program that has been created to record the information, so when looking at the data collected, it protects the identity of children in services when their files were reviewed.		
9. Train DDP monitoring staff on changes made on Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator.	January 1, 2006 to June 30, 2006	Part C Coordinator and DDP monitoring staff.
Status: Montana continues to providing initial and annual training for QIS to ensure that the seven regional Part C agencies are providing quality services to infants and toddlers and their families.		

APR Template – Part C (4)

____ Montana ____
State

10. Based on annual transition data collected, provide training and technical assistance in areas that indicate possible transition problems.	July 1, 2006- June 30,2007	Part C Coordinator, Part B 619 Coordinator, and regional Part C Agencies.
Status: Data has been reviewed, technical assistance has been provided, and training will be coordinated with other training to ensure compliance and research based practice are implemented for transition.		

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007))

NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / General Supervision**

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

FFY	Measurable and Rigorous Target
FFY 2006	<p>100% noncompliance identified through general supervision system will be corrected as soon as possible but in no case later than one year from identification for each of the following:</p> <ol style="list-style-type: none"> A. Noncompliance related to monitoring priority arrears and indicators; B. Noncompliance related to areas not included in the above monitoring priority areas and indicators; and C. Noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.).

Actual Target Data for *(2006-2007):*

APR Template – Part C (4)

____ Montana ____
State

- A. For the FFY 2006 reporting period Montana did not find any noncompliance related to monitoring priority areas and indicators;
- B. Montana did not find any noncompliance issues concerning noncompliance related to areas not included in the above monitoring priority areas and indicators. (B, in Measurable and Rigorous Target)
- C. Montana did not find any noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) (C, Measureable and Rigorous Target)

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 (2006-2007):

Explanation of Progress or Slippage:

100% was the target set for the FFY 2006. The actual target data for FFY 2006 was 100%.

This data is based on Montana's Comprehensive Evaluation Process for Family Education and Support Tool, for the FY 2006-2007, seven out of the seven regional Part C agencies (covering the whole state) were found to be in compliance with this indicator. (35 files reviewed, five for each agency)

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Service Tool:

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)

Informal Complaints.

Each of the seven regional Part C agencies documented informal complaint(s) that they received for FFY 2006 (2006-2007). None of the seven regional Part C agencies received a formal complaint. They documented how each informal complaint was resolved, by whom, how many days it took to resolve the issue, and if there was documentation that the family was informed about mediation and due process.

Agencies	Number of Informal Complaints	Who Handled the complaints	Days in which it got resolved	Reasons	Documentation
Agency 1	1	Agency Director and Program Director	21 days	Complaint about FSS, resolved by assigning a new FSS.	Yes
Agency 2	0	NA	NA	NA	NA
Agency 3	2	Family Support Supervisor	1 day,	Change of FSS	Yes, in both cases.

APR Template – Part C (4)

_____Montana_____
State

		Home Based Service Director	7 days	Paying for therapy which occurred after the child entered services, but before the IFSP was written. The family stated they were told by their FSS that therapy would be paid for from the date the child entered services.	
Agency 4	2	Middle manager FSS and middle manager	1 1	Family not wanting FSS than previously. Physician who was concerned about the services a family was getting. But this Dr. had misunderstood the info the family provided. (Family actually felt the Dr. had purposefully chosen to misunderstand). The services the child was receiving was explained to the Dr. The family was in agreement with their services and were actually upset with the Dr. for misrepresenting them.	Yes, in both cases.
Agency 5	0	NA	NA	NA	NA
Agency 6	0	NA	NA	NA	NA
Agency 7	4	Family Support Specialist Supervisor	Within 7 days Unable to make contact	3 Change of FSS Family chose to leave services when FSS was going to change	Yes, in all four cases.

State formal or informal complaints:

At the State level there were no formal or informal complaints filed for FFY 2006.

(See Montana SPP for further discussion of Montana's General Supervision System regarding monitoring and compliances procedures.)

APR Template – Part C (4)

____ Montana ____
State

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator (biannually	January 1, 2006- June 30, 2008	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana reviewed the Montana Comprehensive Evaluation Tool with stakeholders involved with children services to begin making changes on how the questions are being asked and turning them into statements. The process is also ensuring that Montana is using Part C language within the tool when collecting data, as recommend by OSEP during the verification visit. Montana continues to collect additional information from the tool that may not be reported to OSEP for programmatic improvement for all children services. This includes information obtained directly from families through an interview process about a family's satisfaction with Part C services and impact of services on the child and family. Montana uses a spread sheet program that was created to separate the information, so when looking at the data being collect it protects the identity of which children receiving services were reviewed.		
2. Provide initial and annual training for the Quality Improvement Specialists.	July 1, 2006- June 30,2007	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: At both the Part C work group and regional Part C agencies meetings the requirements that FSSs receive training in all Part C components, including rules and regulations related to Indicator 9, were clearly articulated and implemented by the agencies.		
3. Provide biannual training on formal written complaint procedures for regional Part C agency directors and managers and PLUK staff.	July 1, 2006- June 30, 2007	Part C Coordinator, FSSAC, regional Part C agency directors and managers, PLUK staff.
Status: Montana has an updated draft of the Dispute Resolution Handbook. The updates were completed with the help of the Part C work group, FSSAC, and the seven regional Part C agencies. Discussion has also occurred at the FSSAC and regional Part C agency meetings about the process for a formal written complaint. The handbook will remain in draft form until Rules and Regs. are completed.		

APR Template – Part C (4)

____ Montana ____
State

4. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with the program to determine nature of noncompliance, develop and implement improvement plan or corrective action.	July 1, 2005 – June 30, 2011	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Each of the seven regional Part C agencies was monitored for compliance in this area and each agency was found to be in compliance with Part C requirements. APRs for each regional Part C agency was developed and disseminated for the previous year. Letters of Determination were also completed for each agency for the previous year.		
5. To update the information that is located in our handbook called First Steps , which is provided by Parents Let's Unite for Kids (PLUK).	July 1, 2006- June 30, 2007	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: The FSSAC and the seven regional Part C agencies are in the process of reviewing and updating the "First Steps" manual which is used by Montana's Parents Let's Unite for Kids- PLUK(PTI) provide information to families about Part C and B services in Montana. (It was hoped at the writing of the SPP that the Part C Rules and Regulations would have been available during the above timeline, which did not happen. Thus, the activity is being continued.)		

Note: Activities for indicators 10-11-13 can also be related to Montana's State Improvement Plan which includes improvements within the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007)

NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / General Supervision****Indicator 10:** Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(1.1(b) + 1.1(c)) divided by 1.1] times 100.

FFY	Measurable and Rigorous Target
FFY 2006	100% of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

Actual Target Data for *(2006-2007):*

For the FFY 2006 reporting period, Montana did not have any written complaints.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 *(2006-2007):***Explanation of Progress or Slippage**

While there were no written complaints, the regional Part C agencies (covering the whole state) document informal complaints that were resolved between the family and the agency at the local level. Further, parties may contact the Part C Coordinator with an issue of concern, after being informed again of the various Part C dispute resolution methods, and if the party chooses to address the issue through informal means.

Informal Complaints:

- Each of the seven regional Part C agencies documented informal complaint(s) they received for FFY 2006 (2006-2007). None of the seven regional Part C agencies received a formal complaint. They documented how each informal complaint was resolved, by whom, how many days it took to resolve the issue, and if there was documentation that the family was informed about medication and due process.

Agencies	Number of Informal Complaints	Who Handled the complaints	Days in which it got resolved	Reasons	Documentation

APR Template – Part C (4)

____ Montana ____
State

Agency 1	1	Agency Director and Program Director	21 days	Complaint about FSS, resolved by assigning a new FSS.	Yes
Agency 2	NA	NA	NA	NA	NA
Agency 3	2	Family Support Supervisor Home Based Service Director	1 day, 7 days	Change of FSS Paying for therapy which occurred after the child entered services, but before the IFSP was written. The family stated they were told by their FSS that therapy would be paid for from the date the child entered services.	Yes, in both cases.
Agency 4	2	Middle manager FSS and middle manager	1 1	Family did not want FSS from previous time. Physician who was concerned about the services a family was getting. But this Dr. had misunderstood the info the family provided. (Family actually felt the Dr. had purposefully chosen to misunderstand). The services the child was receiving was explained to the Dr. The family was in agreement with their services and were actually upset with the Dr. for misrepresenting them.	Yes, in both cases.
Agency 5	0	NA	NA	NA	NA
Agency 6	0	NA	NA	NA	NA
Agency 7	4	Family Support Specialist Supervisor	Within 7 days Unable to make contact	3 Change of FSS Family choose to leave services when FSS was going to change	Yes, in all fours cases.

- At the State level, there were no informal complaints for FFY 2006 (2006-2007).

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FFY 2006 (2006-2007) (35 files reviewed, five from each agency):

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific compliant and appeal processes for issues of eligibility, screening, and IFSP's. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator (biannually).	January 1, 2006- June 30,2008	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana reviewed the Montana Comprehensive Evaluation Tool with stakeholders involved with children services to begin making changes on how the questions are being asked and turning them into statements. The process is also ensuring that Montana is using Part C language within the tool when collecting data, as recommend by OSEP during the verification visit. Montana continues to collect additional information from the tool that may not be reported to OSEP for programmatic improvement for all children services. This includes information obtained directly from families through an interview process about a family's satisfaction with Part C services and impact of services on the child and family. Montana uses a spread sheet program that was created to separate the information, so when looking at the data being collect it protects the identity of which children receiving services were reviewed.		
2. Provide initial and annual training for the Quality Improvement Specialists.	July 1, 2006- June 30,2007	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: At both the Part C work group and regional Part C agencies meetings the requirements that		

APR Template – Part C (4)

____ Montana ____
State

FSSs receive training in all Part C components, including rules and regulations related to Indicator 9, were clearly articulated and implemented by the agencies.		
3. Provide biannual training on formal written complaint procedures for regional Part C agency directors and managers and PLUK staff.	July 1, 2006 – June 30, 2007	Part C Coordinator, FSSAC, regional Part C agency directors and managers, PLUK staff
Status: Montana has an updated draft of the Dispute Resolution Handbook. The updates were completed with the help of the Part C work group, FSSAC, and the seven regional Part C agencies. Discussion has also occurred at the FSSAC and regional Part C agency meetings about the process for a formal written complaint. The handbook will remain in draft form until Rules and Regs. are completed.		
4. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	July 1, 2005 to June 30, 2011	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Each of the seven regional Part C agencies were monitored for compliance in this area as noted above. All the agencies were found to be in compliance with Part C requirements. Note that activities for 10, 11, and 13 are also related to indicator 9.		
5. To update the information that is located in our handbook called First Steps , which is provided by Parents' Lets Unite for Kids (PLUK).	July 1, 2005 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
Status: The FSSAC and the seven regional Part C agencies have reviewed and made updates to the draft form of "First Step" which is used by Montana's Central Directory to provide information to families about Part C and B services in Montana. (It was hoped at the writing of the SPP that the Part C Rules and Regulations would have been available during the above timeline, which did not happen. Thus, the activity is being continued.)		

Note: Activities for indicators 10-11-13 can also be related to Montana's State Improvement Plan which includes improvements within the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007)) NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / General Supervision**

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(3.2(a) + 3.2(b) divided by 3.2)] times 100.

FFY	Measurable and Rigorous Target
FFY 2006	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.

Actual Target Data for *(2006-2007):*

For the FFY 2006 (2006-2007) reporting period Montana did not have any requests for due process.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 *(2006-2007):***Explanation of Progress or Slippage**

If there is a request for due process, DDP will follow the following policies and procedures:

The Developmental Disabilities Program (DDP-Montana's lead agency for Part C in the Department of Public Health and Human Services) has adopted complaint policies, procedures and a statewide system to ensure that the requirements for filing complaints within the timelines (60 days) meet the IDEA Part C statutes, rules and regulations. Further, the DDP has engaged in dissemination (e.g., through interagency agreements, documents) of these complaint policies and procedures to parents through the regional Part C agencies and other agencies and programs associated with Part C services. In addition, DDP monitoring ensures that regional Part C agencies inform their parents of complaint procedures.

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies (covering the whole state) for the FFY 2006 (2006-2007) (35 files reviewed, 5 from each of the seven agencies):

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)

- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Montana will continue to refine the tool, Montana's Comprehensive Evaluation Process for Family Education and Support Services, to enhance the State's data collection process for this indicator (biannually).	January 1, 2006- June 30,2008	FSSAC, Providers Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: Montana reviewed the Montana Comprehensive Evaluation Tool with stakeholders involved with children services to begin making changes on how the questions are being asked and turning them into statements. The process is also ensuring that Montana is using Part C language within the tool when collecting data, as recommend by OSEP during the verification visit. Montana continues to collect additional information from the tool that may not be reported to OSEP for programmatic improvement for all children services. This includes information obtained directly from families through an interview process about a family's satisfaction with Part C services and impact of services on the child and family. Montana uses a spread sheet program that was created to separate the information, so when looking at the data being collect it protects the identity of which children receiving services were reviewed.		
2. Provide initial and annual training for the Quality Improvement Specialists.	July 1, 2006- June 30,2007	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
Status: At both the Part C work group and regional Part C agencies meetings the requirements that FSSs receive training in all Part C components, including rules and regulations related to Indicator 9, were clearly articulated and implemented by the agencies.		
3. Provide biannual training on due process and mediation for regional Part	July 1, 2006 – June 30, 2007	Part C Coordinator, FSSAC, regional Part C agency directors and

APR Template – Part C (4)

____ Montana ____
State

C agency directors and managers, PLUK staff.		managers, PLUK staff
<p>Status: Montana has an updated draft of the Dispute Resolution Handbook. The updates were completed with the help of the Part C work group, FSSAC, and the seven regional Part C agencies. Discussion has also occurred at the FSSAC and regional Part C agency meetings about the process for a formal written complaint. The handbook will remain in draft form until Rules and Regs. are completed.</p>		
4. DDP will monitor programs for compliance with this indicator. When noncompliance is identified, Quality Improvement Specialists and Part C Coordinator will work with program to determine nature of noncompliance, develop and implement improvement Plan or Corrective Action.	July 1, 2005 to June 30, 2011	FSSAC, regional Part C agency Directors and Managers, Part C technical assistance and trainers, and the Part C Coordinator.
<p>Status: Each of the seven regional Part C agencies were monitored for compliance in this area as noted above. All agencies were found to be in compliance with Part C requirements. Note that activities for 10, 11, and 13 are also related to indicator 9.</p>		
5. To update the information that is located in our handbook called First Steps , which is provided by Parents' Lets Unite for Kids (PLUK).	July 1, 2005 to June 30, 2006	FSSAC, Providers Managers, Part C technical assistance and trainers, PLUK and the Part C Coordinator.
<p>Status: The FSSAC and the seven regional Part C agencies have reviewed and made updates to the draft form of "First Step" which is used by Montana's Central Directory to provide information to families about Part C and B service in Montana. (It was hoped at the writing of the SPP that the Part C Rules and Regulations would have been available during the above timeline, which did not happen. Thus, the activity is being continued.)</p>		
6. Recruit new and provide training for impartial persons for due process and mediation for child complaints once every three years or more frequently if necessary.	July 1, 2006- June 30, 2007	FSSAC, Part C Coordinator, DDP legal counsel, and impartial persons for due process and mediation.

Status: Continuing the process of recruiting new impartial persons.

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 *(2006-2007)*

NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:**

Monitoring Priority: Effective General Supervision Part C / General Supervision
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Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.
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FFY	Measurable and Rigorous Target
FFY 2006	Montana's Part C program has not adopted Montana's Part B due process system. Thus, the issues of resolution session agreements does not apply.

Actual Target Data for *(2006-2007):*

NA.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 *(2006-2007)*

NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / General Supervision****Indicator 13:** Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.0(a)(i) + 2.1(b)(i) divided by 2.1)] times 100.

FFY	Measurable and Rigorous Target
FFY 2006	Montana will set targets when 10 or more requests for mediations have occurred.

Actual Target Data for *(2006-2007):*

Montana did not have any requests for mediation for the FFY 2006, which follows the trend.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 *(2006-2007):***Explanation of Progress or Slippage**

Montana will establish a baseline when 10 or more mediations are brought to the State. If there is a request for mediation, DDP will follow the medication policies and procedures. (Please reference the SPP for the Dispute Process)

Data from Montana's Comprehensive Evaluation Process for Family Education and Support Services Tool.

The following data is from the monitoring of the seven regional Part C agencies for the FFY 2006 (2006—2007)(35 files reviewed, 5 from each of the seven agencies):

- Thirty-five out of thirty-five (100%) files reviewed documented that agencies provided each family with a copy of its internal complaint procedure and/or procedure to appeal agency decisions. . (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that families are informed of specific complaint and appeal processes for issues of eligibility, screening, and IFSP's. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)
- Thirty-five out of thirty-five (100%) files reviewed documented that parents have access to child and family records. (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)

- Thirty-five out of thirty-five (100%) files documented that families received all information on services (including information on families' rights and safeguards) in their native language or typical means of communication, and in language that is jargon-free. . (Monitoring in FFY 2002-2003, 2003-2004, 2004-2005, and 2005-2006 were 100%)

Montana will establish improvement activities/timelines/resources when 10 or more requests for mediations have occurred.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 *(2006-2007)*

NA

Part C State Annual Performance Report (APR) for 2006 *(July 1, 2006 to June 30, 2007)***Overview of the Annual Performance Report Development:****Monitoring Priority: Effective General Supervision Part C / General Supervision**

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State Performance Plan, and Annual Performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met.)

FFY	Measurable and Rigorous Target
FFY 2006	100% compliance for reporting on time and accurately.

Actual Target Data for *(2006-2007):*

100% compliance for reporting on time and accurately for the 618 data, SPP, and APR.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006 *(2006-2007):***Explanation of Progress or Slippage:**

Montana has submitted all required reports on time and accurately:

- 618 Data-submitted February 1st and November 1st of 2007.
- Data notes were completed and submitted to WESTAT
- SPP-submitted December 1, 2005
- Last APR- submitted February 1, 2007
- OSEP did not request follow-up or corrections

Montana ensures that the data that is submitted is error free, consistent, valid and reliable: DDP has developed "Montana's Developmental Disabilities Program Part C General Supervision and Data Manual." The manual outlines:

APR Template – Part C (4)

_____ Montana _____
State

- DDP's procedures for general supervision, collecting and verifying the accuracy and reporting of data.
- Regional Part C agencies procedures for collecting, verifying the accuracy and reporting of data.
- The State and regional level of training and technical assistance concerning general supervision and data.
- The use of data for the purpose of public reporting, monitoring services, and improving services.

These procedures ensure that all data from each regional Part C agency is reviewed for correctness/accuracy (e.g., the correct data was submitted, calculations are correct), appropriately analyzed and compiled. All data is reviewed at a minimum of two levels at DDP prior to public submission.

Improvement Activities from the SPP for FFY 2006 (2006-2007):

Activities	Timelines	Resources
1. Annually review DDP data collection instructions, procedures and monitoring activities for 618, SPP, and APR reports to determine steps needed to improve data collection, analyses and verification efforts.	July 1, 2006 – June 30, 2007	Part C Coordinator, regional Part C agency managers and staff, and DDP staff.
Status: The Part C Coordinator, DDP staff and Part C technical assistance provider/Part C GSEG Director reviewed DDP's data collection instructions, procedures and monitoring activities for 618, SPP, and APR. The Part C Coordinator is in the process of updating the data collection instructions for FFY 2007-2008.		
2. Annually provide a review, training, and/or technical assistance (for new DDP or regional Part C agency staff) concerning data requirements for the 618, SPP and APR reports.	July 1, 2006- June 30,2007	Part C Coordinator, regional Part C agency managers and staff, and DDP staff.
Status: The Part C Coordinator provided overview training and reviewed "Montana's Developmental Disabilities Program Part C General Supervision and Data Manual," with DDP and regional Part C agency staff. In addition, the FSSAC was made aware of the Manual, its' purpose and how to access a copy. Technical assistance was provided to regional Part C agency staff with specific data management responsibilities (e.g., local data manager for 618 data, supervisors for collection of APR data.		
3. Annually participate in OSEP's training and technical assistance activities concerning the 618, SPP and APR reports, and makes any necessary changes to DDP's policies procedures for data	July 1, 2006 – June 30, 2007	Part C Coordinator, regional Part C agency managers and staff, and DDP staff

APR Template – Part C (4)

____ Montana ____
State

collection and monitoring. Incorporate changes in the training and technical assistance noted in Activity 2 above.		
Status: The Part C Coordinator has participated in OSEP's trainings and conference call concerning 618, SPP, and APR.		
4. Prior to submission, annually review the 618, SPP and APR with the DDP, regional Part C agencies, and FSSAC to determine if changes, additions or corrections are needed and make such modifications and obtain FSSAC approval for the SPP/APR to be submitted.	November 1, 2007- February 1, 2008.	Part C Coordinator, FSSAC, regional Part C agency managers and staff, and DDP staff.
Status: 618, SPP, and APR was reviewed by the FSSAC, regional Part C agencies, Part C work group, and DDP staff to determine if changes, additions or corrections were needed and made modifications.		
5. Annually, the DDP accurately complete 618, SPP, and APR reports and submit according to OSEP due dates.	July 1, 2005 to June 30, 2006	Part C Coordinator, FSSAC, regional Part C agency managers and staff, and DDP staff.
Status: DDP has accurately completed 618, SPP, and APR reports and submitted them according to OSEP's due dates. The APR and 618 data were reported to the public and are available on FSSAC website.		

Note that the Montana State Improvement Plan includes improvement activities from the SPP/APR activities for the 13 indicators applicable to Montana.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for 2006 (2006-2007))

NA